

Preparing for the next REF is only 12 months away!

Bill Hubbard

Director, Centre for Research Communications

University of Nottingham

ARMA Open Access Good Practice Exchange

FOSTER Initiative

Hilton Euston, 19th March 2015

Open Access and the REF

To be eligible -

- Deposited at the point of acceptance
- Metadata immediately available
- Full-text available after 12 or 24 months
- Applies from April 2016
- *In-house systems, processes, workflows:
12 months to be tested and
ready for business!*

REF is not alone . . .

Open Access policies for compliance

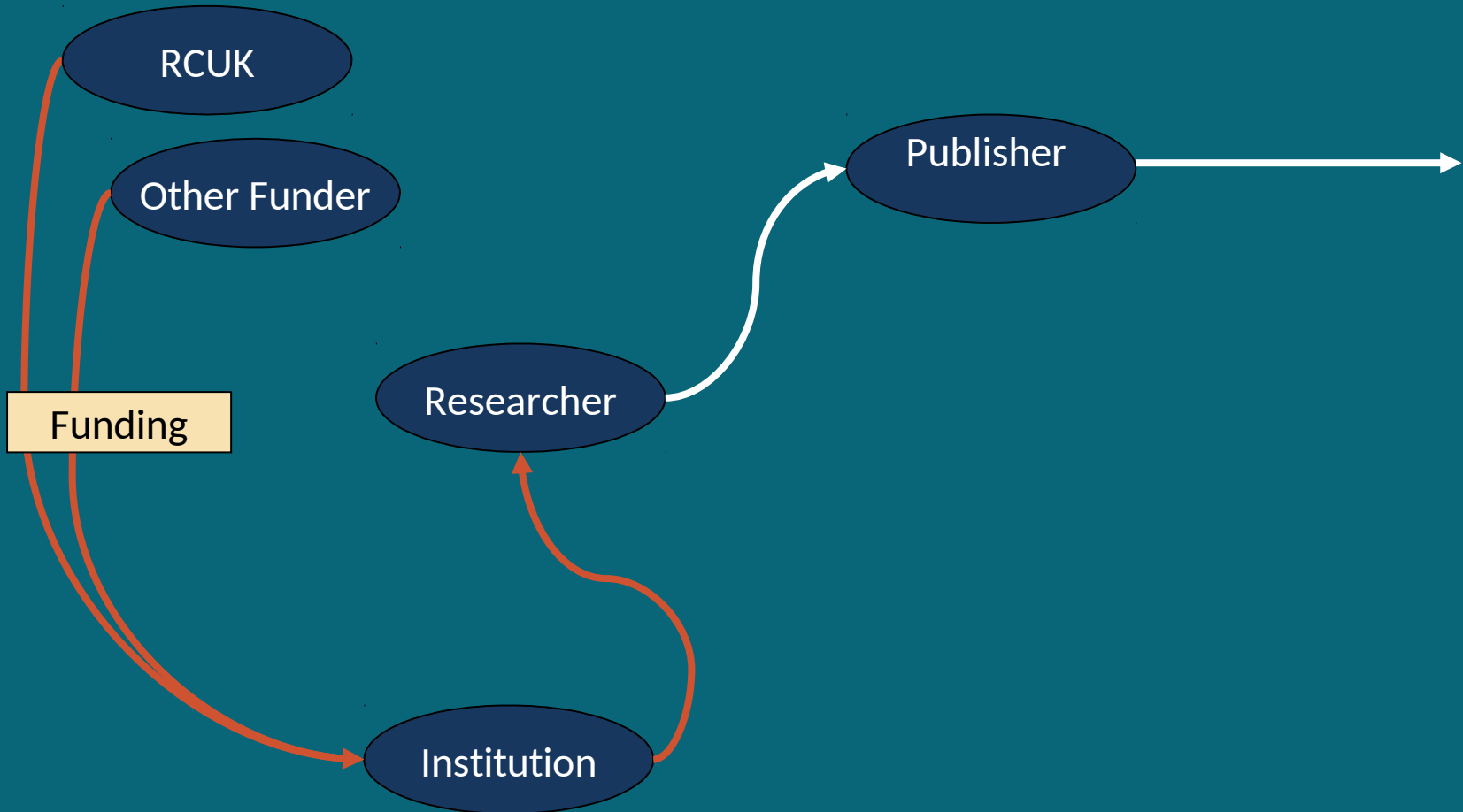
- National Funding Council REF policy
- Research Council policies
- Institutional policy
- Publisher policies

Dealing with policies . . .

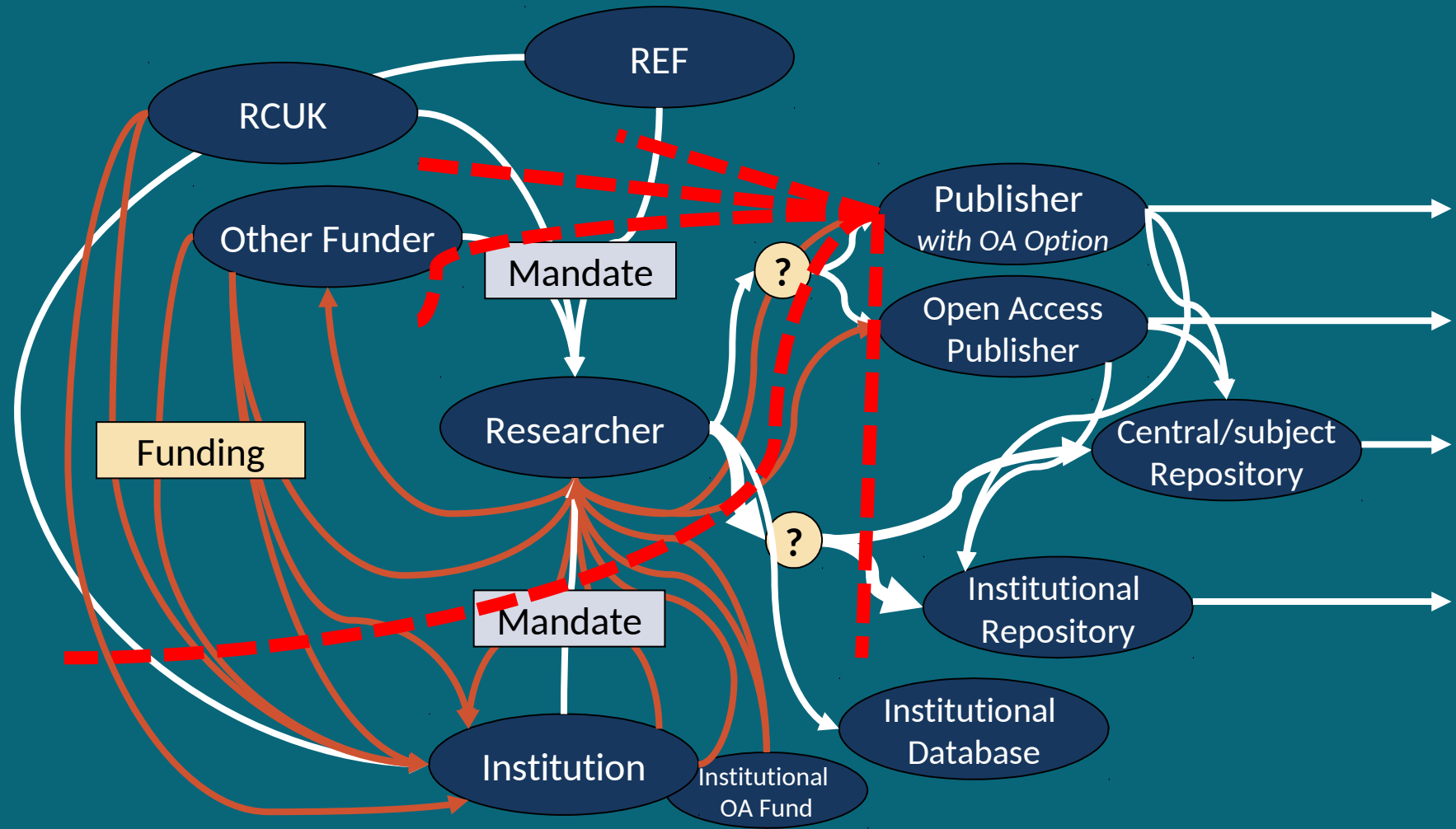
- How do you mesh together all policies and get meaningful actions to take?

Authors cannot be left to sort it out for you

Researcher's view from the past. . .



Researcher's view . . .



Dealing with policies: using SHERPA

- What we do
- Value proposition and benefits we offer
- Example use case

RoMEO

- Global service of author's rights for using repositories, giving details journal by journal

www.sherpa.ac.uk/romeo

JULIET

- Registry of policies on Open Access form research funders worldwide

www.sherpa.ac.uk/juliet

FACT

- Advice to UK authors on compliance with funders' policies in their journal of choice

www.sherpa.ac.uk/fact

What we do



+ API



+ API



+ API



+ API



The University of
Nottingham

UNITED KINGDOM · CHINA · MALAYSIA



Centre for
Research
Communications

Benefits

- Efficiency gains at sector level
- Single points of information
- Quick access to policies and information
- Allows quick comparison of policies and information
- Takes pressure off institutional support

Using SHERPA Services

- Support staff can use RoMEO, JULIET and OpenDOAR directly
- Authors can use FACT directly
- Institutional systems can use our APIs

- SHERPA/REF is in the pipeline

Centralised datasets

1

SHERPA RoMEO

Data on archiving permissions and rights given to authors by journal publishers

2

SHERPA JULIET

Data on research funder mandates and requirements

3

OpenDOAR

Data on global institutional repository growth

Use-case: FACT

- Uses data from RoMEO and JULIET via the APIs



SHERPA/FACT

Funders & Authors Compliance Tool

... opening access to research

[Home](#) • [Definitions](#) • [FAQ](#) • [API](#) • [Feedback](#) • [About](#)

SHERPA/FACT is a tool to help researchers check if the journals in which they wish to publish their results comply with their funder's requirements for open access to research.

Funders & Authors Compliance Tool


Helping you comply with research funders' policies on open access to publications

Journal *(Title or ISSN):*

Funder(s):

You must select a funder

[Advanced mode](#)

SHERPA/FACT uses policy data from [SHERPA/RoMEO](#) and [SHERPA/JULIET](#). 



SHERPA/FACT

Funders & Authors Compliance Tool

... opening access to research

[Home](#) • [Definitions](#) • [FAQ](#) • [API](#) • [Feedback](#) • [About](#)



Funders & Authors Compliance Tool

Helping you comply with research funders' policies on open access to publications

Funder: Biotechnology and Biological Sciences Research Council

Journal: Biochemistry

Wrong journal? [Try Advanced mode](#)

ISSN: 0006-2960, Publisher: American Chemical Society

✓ You can comply with your funder's policy:

✓ You can publish your article compliantly with open access

Paid open access option available - [ACS AuthorChoice](#) - with a range of Creative Commons licences to be selected or confirmed by the author.

Indicative fee: \$1000-\$3000 per article - Discount for members

✓ You can archive your article compliantly in an open access repository

This journal has a compliant special embargo of 12 months for funders that require archiving of articles.

Use either of the required methods.

Publishing with open access:

Your article is published under one of a range of Creative Commons Licences.

To ensure compliance with your funder's policy:

- Confirm that it is published under a [Creative Commons](#) Attribution (CC-BY) or more open licence. [?](#)

Your notification of acceptance should invite you to use the open access option. If not, or if already published, contact the journal's editor.

Self-archiving:

Please ensure that your article is deposited in an open access repository within 12 months of publication.

To ensure compliance with your funder's policy:

- Confirm that the special arrangements apply before self-archiving.

o "If mandated by funding agency or employer/ institution"

Some figures

- RoMEO - 26,000 Journals, 1,800 publishers
- JULIET - 143 Funders
- OpenDOAR - 2,811

- Interactive use - c. 30,000 per month
- RoMEO API use - c. 4,000,000 per month

Support for policies - what else?

12 months to find out:

- Who does what, when?
- What is the “life-cycle” of the output and to track its policy compliance?
- What needs to be built in-house?
 - policies, systems, processes, workflows
- And to build it!

Thoughts on REF

- Is the default to take everything?
- Deposit is not the same thing as access
- Recognition that this will underpin eligibility
- Buy-in and engagement from academics
- Change in research output workflow
 - Link between researchers and research support office/ repository staff day-to-day
- Institutional policy support

REF - institutional developments #1

- Business process analysis
 - who needs to know what, when?
- Advocacy
 - for top-level recognition and support
 - for academic engagement
 - recognition of need for change to workflows
 - for policy development

REF - institutional developments #2

- Workflow change
 - for researchers and for support staff
 - sensitive ground
- IT development of support services
 - financial
 - administrative
 - sophisticated use of repository by authors and support staff

Summary

- Researchers need to know what to do - clear, concise, contextualised
- Research support offices - on top of OA as an idea; as a process; as a work-flow; as requirements; as a developing policy environment
- Institutions need to accept the repository and OA services as essential infrastructure

There are issues - *BUT!*

- Open Access is a real benefit for researchers, the research process, institutions, funders, tax-payers, the public and for our culture and our future
- Support and belief from all of the stakeholders in the research process
- All of the issues *can* be resolved

Bill Hubbard

Director, Centre for Research Communications

University of Nottingham

bill.hubbard@nottingham.ac.uk

sherpa.ac.uk/romeo

sherpa.ac.uk/juliet

sherpa.ac.uk/fact

sherpa.ac.uk/opendoar