

Open Access to Publications in Horizon 2020

Webinar, February 7, 2019

Antónia Correia (FOSTER, University of Minho) & Pedro Príncipe, (OpenAIRE, University of Minho)





AGENDA

Are you participating in a H2020 funded project? Would you like to know more on how to comply with the H2020 Open Access mandate?

- 1. Open Access in H2020: summary of requirements
- 2. Open Access in H2020: practical implementation
- 3. Supporting the compliance: OpenAIRE services and tools



Open Access is the

DEFAULT

for research results in H2020





WHY OPEN ACCESS TO SCIENTIFIC PEER-REVIEWED PUBLICATIONS?



GOOD FOR SCIENCE

allows scientists to build on previous research results and avoids unnecessary duplication of effort improved quality and greater efficiency







GOOD FOR THE ECONOMY

speeds up innovation faster progress to market



GOOD FOR SOCIETY

makes research available to individual citizens and to non-profit organisations greater transparency







See 40% to 252 of page payers's Court Agreement.

WHY OPEN ACCESS TO SCIENTIFIC PEER-REVIEWED PUBLICATIONS?





mproved nuality and

HOW TO ACHIEVE OPEN ACCESS IN HORIZON 2020

SELF-ARCHIVING

rehers must ensure abort access to the





BOTH OPTIONS ARE POSSIBLE with Article 29.2

MISCONCEPTIONS ABOUT OPEN ACCESS







The decision whether to publish through spen across comes after the mare general decision on whether to publish directly or to first seek protection.



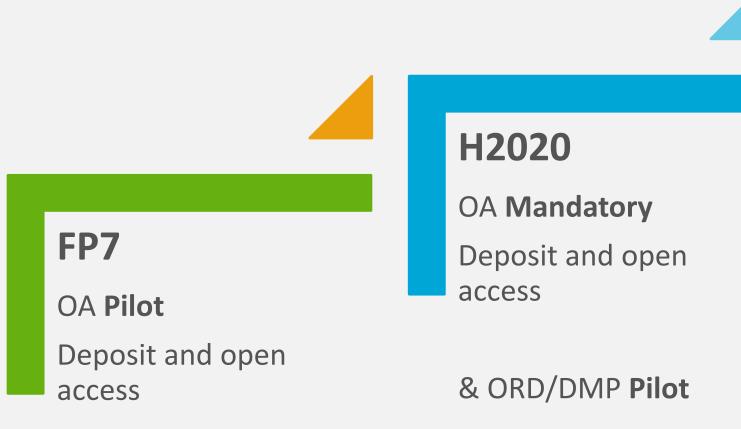
s, important to stress that open access publications undergo the same kin peer review graness as a discription productions.

Get support





Open Science policies: the evolution of the EU funding programmes for R&I



H2020

OA Mandatory

Deposit and open access

& ORD/DMP by default (opt-out)

Horizon Europe

- OA Mandatory
- Deposit and open access
- DMP + FAIR dataMandatory
- OD by default (optout)
- & Open Science
 embedded

1

Open Access in H2020: SUMMARY OF REQUIREMENTS



Multi-beneficiary General Model Grant Agreement

29.2 Open access to scientific publications

29.3 Open access to research data



Multi-Beneficiary General Model Grant Agreement

Grant Agreement: § 29.2 Open access to scientific publications



Each beneficiary must ensure open access (free of charge, online access for any user) to all peer-reviewed scientific publications relating to its results.

In particular, it must:

(a) as soon as possible and at the latest on publication, deposit a machine-readable electronic copy of the published version or final peer-reviewed manuscript accepted for publication in a repository for scientific publications;

Moreover, the beneficiary must aim to deposit at the same time the research data needed to validate the results presented in the deposited scientific publications.

- (b) ensure open access to the deposited publication via the repository at the latest:
 - (i) on publication, if an electronic version is available for free via the publisher, or
- (ii) within six months of publication (twelve months for publications in the social sciences and humanities) in any other case.
- (c) ensure open access via the repository to the bibliographic metadata that identify the deposited publication.

The bibliographic metadata must be in a standard format and must include all of the following:

- the terms ["European Union (EU)" and "Horizon 2020"] ["Euratom" and Euratom research and training programme 2014-2018"];
- the name of the action, acronym and grant number;
- the publication date, and length of embargo period if applicable, and
- a persistent identifier.



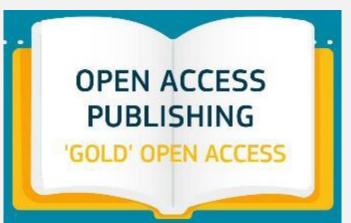
Open Access requirements and who is covered by them?

All beneficiaries of H2020 funding must provide open access (free of charge, online access for any user) to all peer-reviewed publications by depositing them into a repository



In other words...



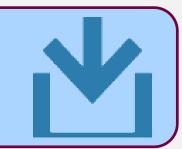


Authors are free to choose between the two main and non-exclusive routes toward Open Access

Self-archiving (,green' open access): the published article or the final peer reviewed manuscript is uploaded in an online repository - access is often delayed (,embargo period')

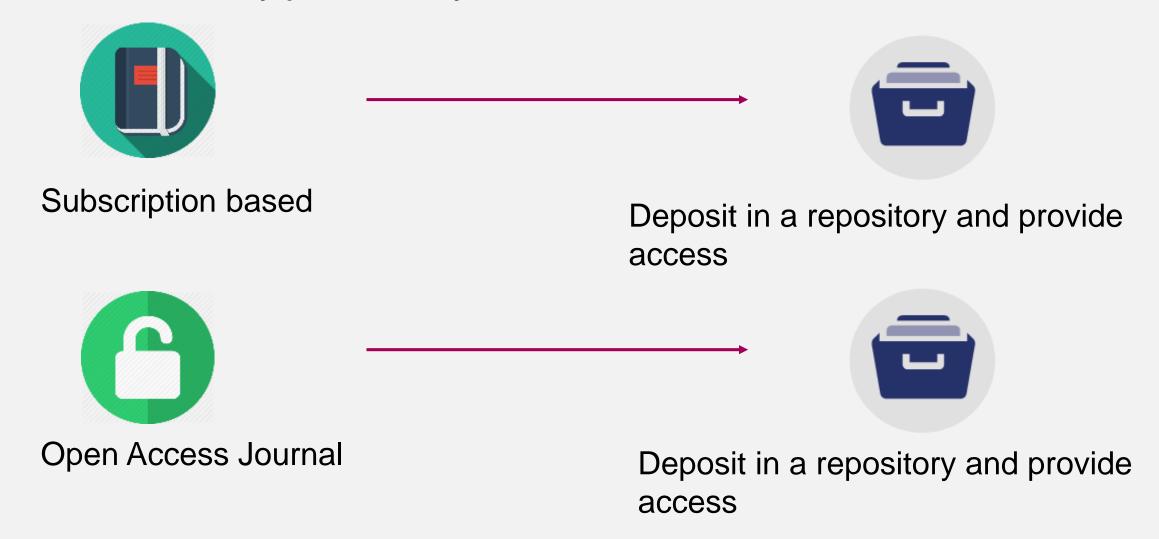
Open access publishing (,gold' open access): the article is immediately in open access mode, through the publisher. The associated costs are covered by the author/institution/funder.

The article must always be deposited in a repository, even if the gold route has been choosen.



How to make your publication OA?

1. Publish in any journal of your choice



- → ALWAYS deposit a version in a repository
 - + Add metadata: funder, grant ID number, acronym, publication date....

Which way to choose?

PROS

CONS

Self-archive in repository



- Any subscription based journal
- No fees

 Possibility of an embargo period

Open Access Journal



- Direct Open Access
- Retain copyright (sometimes)

Possible costs (APC)

Where to deposit?



- Institutional repository
- Disciplinary (arXiv, Europe PubMed Central...)
- Or use Zenodo.org: EC-cofounded, multidisciplinary, free repository
 - The Directories of Open Access Repositories:
 - http://v2.sherpa.ac.uk/opendoar/
 - roar.eprints.org
 - Explore.openaire.eu

What to deposit?



Final peer-reviewed manuscript

OR

Published version

+ metadata: funder, grant ID number, acronym, publication date...

→ Apply to all kind of publication, but emphasis on peer-reviewed journal articles

What can I deposit?

Check publishers policies



- SHERPA/ROMEO: www.sherpa.ac.uk/romeo
- Overview of copyright policies and self-archiving permissions

What can I deposit?



Pre-print
Before peer review



Post- Print After peer review



Publisher's version With lay-out



Embargo
Period during which
access to the article
is limited

When should I deposit?

Check publishers policies



Each beneficiary must deposit as soon as possible and at the latest on publication.

When should open access be provided?

Check publishers policies

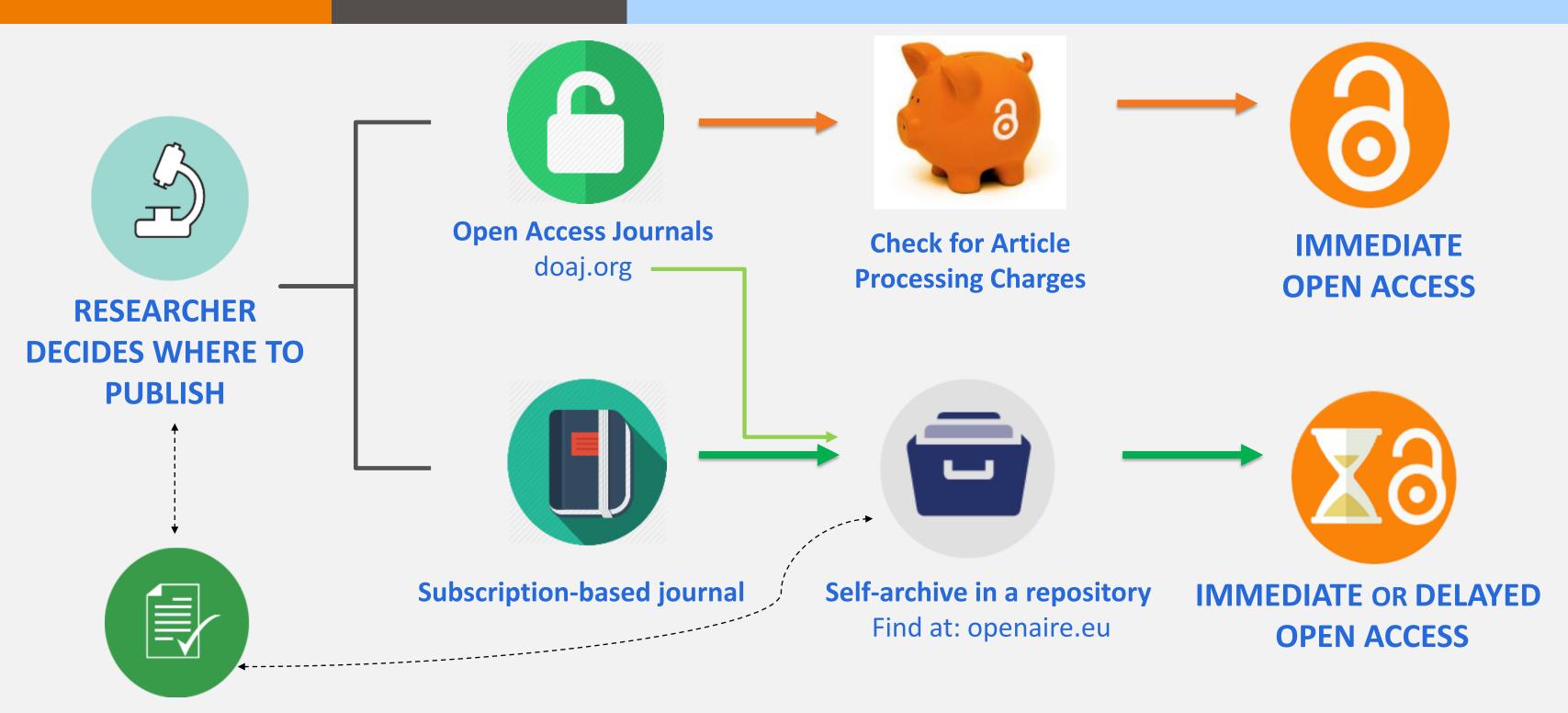


Each beneficiary must deposit as soon as possible and at the latest on publication.

Open access must be ensured immediately or after an embargo period: GREEN – 6-12 months depending on the research area and the choice of journal GOLD – immediately

Some journals demand longer embargo periods (see SHERPA/RoMEO database and journal's homepages)

Open Access in H2020: PRACTICAL IMPLEMENTATION



Check publishers
policies on

www.sherpa.ac.uk/romeo

Not sure if your publisher allows self-archiving?



1) Check the journal self-archiving policy on the publisher's web-site.

2) If in doubt, check the self-archiving policy of your journal using publisher copyright policies & self-archiving tool SHERPA ROMEO

(colours taxonomy).



ROMEO colour	Archiving policy
green	can archive pre-print and post-print or publisher's version/PDF
blue	can archive post-print (ie final draft post-refereeing) or publisher's version/PDF
<u>yellow</u>	can archive pre-print (ie pre-refereeing)
<u>white</u>	archiving not formally supported

- Try also **DULCINEA** which summarises self-archiving policies of **Spanish** journals,
- and Héloïse which summarises self-archiving policies of French journals.

Are Article Processing Charges (APCs) supported?

Yes





For open access publishing, researchers can publish in open access journals, or in journals that sell subscriptions and also offer the possibility of making individual articles openly accessible (hybrid journals).

But be aware... the Article Processing Charges (APCs) incurred by beneficiaries are eligible for reimbursement only during the duration of the action (project).

What budget to consider in proposal?

Dissemination costs, e.g. for publishing in open access journals/books, are eligible costs if incurred during the project period.



Budget for Publications = Average APC x number of publications





Method 1: Average APC based on list of journals used by the consortium (look up prices at publisher website and/or ask a librarian).

Method 2: Average APC based on general market figures.

Average APCs

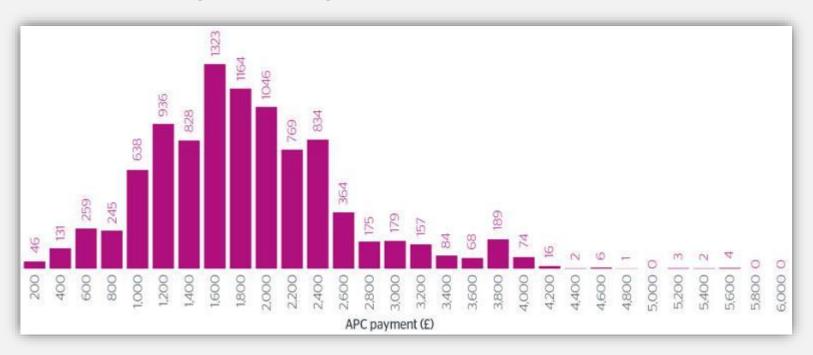
Average:

- 1378 €¹ 1 978 €²
- 1186 / 1 754 € (OA journal) 2 280 € (hybrid journal)³
- 1 479 € (OA journal) 2 493 € (hybrid journal)⁴

Information on APCs per publisher and journal

openAPC project

APCs vary widely



Both types of OA publication cost can be reimbursed in H2020 projects. Currently, there is no price-cap for APCs.

- 1. Open access central funds in UK universities. Learned Publishing, [online] 25(2). Pinfield, S., and Middleton, C., 2012
- 2. Figure 1: APC pricing distribution. Article processing charges (APCs) and subscriptions. Shamash, K., 2016
- 3. A study of open access journals using article processing charges. Journal of the American Society for Information Science and Technology, 63(8), pp.1485–1495. Solomon, D.J., and Björk, B.-C., 2012
- 4. https://treemaps.intact-project.org/page/about.html

Publication costs – issues to consider



- 1. Publishing ALL articles in APC based OA journals is probably not the right solution as it can lead to a substantial amount of the project budget.
- → a mix of depositing articles and publishing in OA journals is highly recommended (mixed strategy of GREEN/GOLD open access).



2. The growing OA market comes with some challenges. Some caution is needed. → lots of new publishers/journals some of questionable quality. Consult white list such as https://doaj.org/

What are projects expected to do?

Projects have to start planning early on

- Outline of dissemination and exploitation strategy, including OA >> impact section of the proposal (how will results be shared?).
- Include resources for publication costs (what journals, how many publications, average cost?).
- Combine GREEN/GOLD strategies to achieve maximum of OA.

DURING PROPOSAL WRITING PHASE

DURING THE PROJECT

- Additional provisions in the Consortium Agreement - where to deposit, who is responsible.
- Implementation of the dissemination strategy, report at reviews and update.
- What issues occur and how can they be solved? (publisher embargos, repositories, etc.)

- Are there publications foreseen after the ending of the project (ie which will not be covered by the budget).
- Who takes care of deposit in repositories after the project end?

AFTER THE PROJECT END

What are the consequences for non-compliance with OA requirements?

If a beneficiary breaches any of its obligations, the grant may be reduced (Article 43) and it may also lead to any of the other measures described in Chapter 6 of the General Model Grant Agreement.



Supporting the compliance: OpenAIRE services and tools

Support and information?

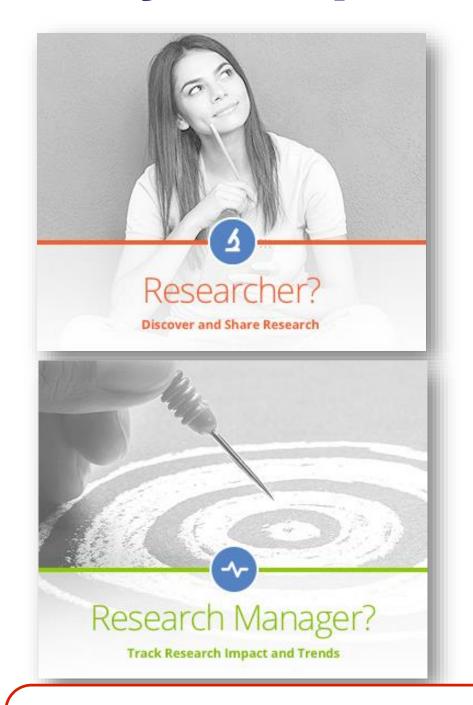
OpenAIRE - An Open Knowledge & Research Information Infrastructure



OPENAIRE FOSTERS THE SOCIAL AND TECHNICAL LINKS THAT ENABLE OPEN SCIENCE IN EUROPE AND BEYOND.

www.openaire.eu

Key to Open Science uptake: services for all







Provide standards, tools and services for Open Science implementation and ensure their uptake on a global level.

OpenAIRE

Services and tools:

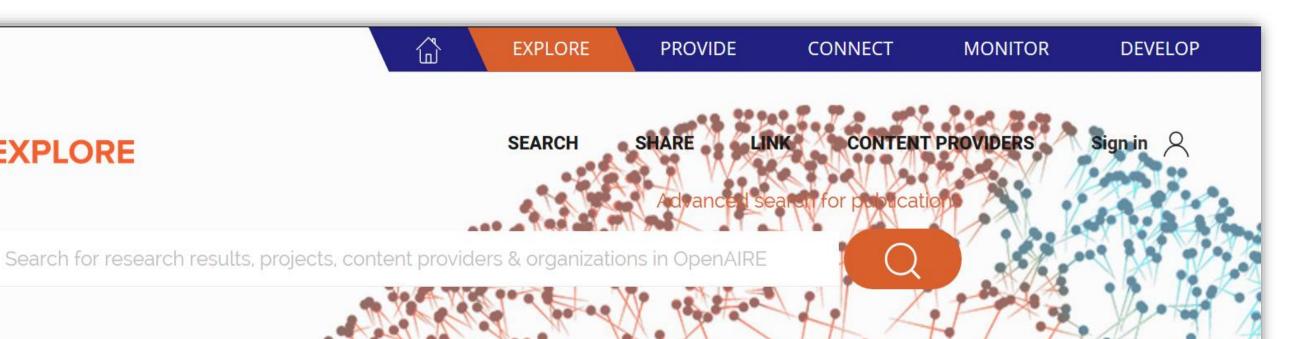
- Open Access depositing
- ✓ Storing research data in Zenodo
- Claiming publications & datasets
- Reporting research outputs
- ✓ Discover, analyse and access
- Support material and helpdesk
- Training and support material



Discover linked Open Research

Search for research results, projects, content prodivers and organizations

EXPLORE. OpenAIRE DISCOVERY SERVICE



Publication Date Funder Project Publications Programs on Critical Pr... (1,751) European Commission (278,058) 2014 (1,561,616) Research Data National Institutes of H... (193,963) COLLMOT (1,128) 2015 (1,560,802) National Science Foun... (174,572) 2016 (1,493,292) HIPEAC (1,035) Software Wellcome Trust (60,948) XSEDE: eXtreme Scien... (1,014) 2013 (1,416,163) Research Council UK (49,069) EUROfusion (955) 2012 (1,315,445) Other Research View more View more View more **Products Projects Access Mode** Type Language Content Providers Open Access (20,538,683) Article (9,780,413) English (10,291,040) Restricted (259,559) Undetermined (1,836,499) 0038 (3,662,002) Organizations Closed Access (131,459) Preprint (1,885,753) Russian (1,499,246)

EXPLORE



Explore all OA research results.
Link all your research. Build
your profile

OpenAIRE.EXPLORE



Explore

Discover linked open research



Share

Deposit in a repository of your choice

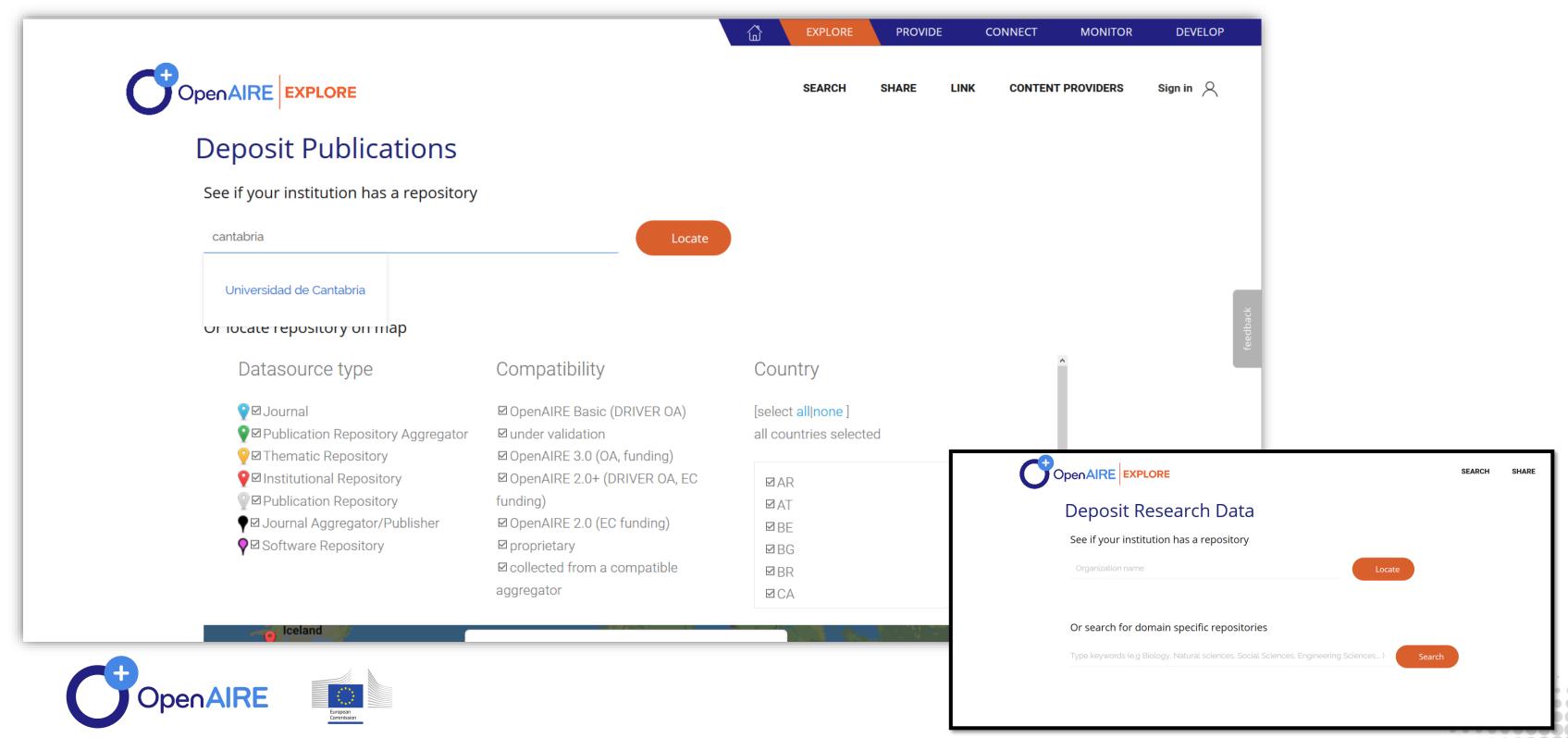


Link

Connect all your research



Find where to deposit

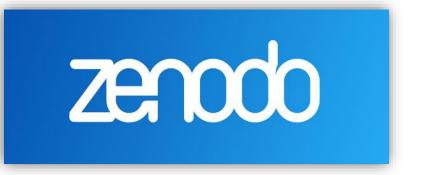


Zenodo – Catch-all repository

- Catch-all repository for EU funded research
- Up to 50 GB per upload
- Data stored in the CERN Data Center
- Persistent identifiers (DOIs) for every upload
- Includes article level metrics
- Free for the long tail of Science
- Open to all research outputs from all disciplines
- Easily add EC funding information and report via OpenAIRE



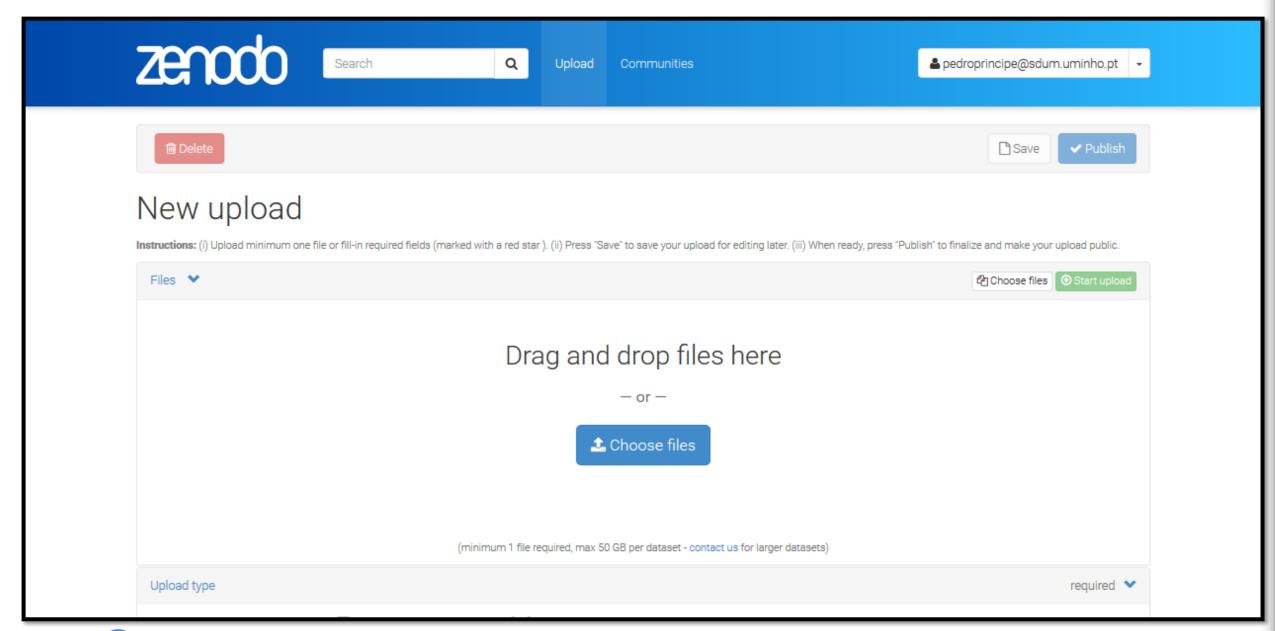






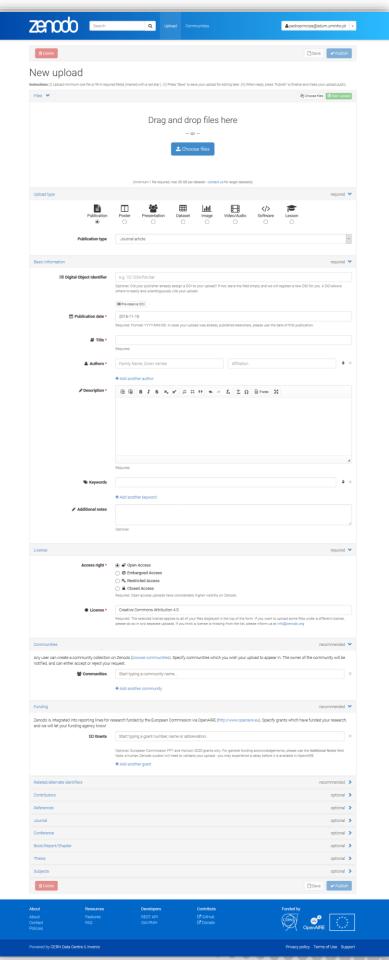
Upload











Publish

DOI:

DOI 10.5281/zenodo.165760

http://www.datacite.org

Grants:

European Commission:

- QULIMA Ensemble based advanced quantum light matter interfaces (279967)
- ICFONEST ICFONest International Postdoctoral Program (267229)
- EPICA Exploring Photon-photon Interactions with Cold Rydberg Atoms (658258)

www.openaire.eu







Figure data

Distante, Emanuele; Farrera, Pau; Padrón-



Storing single photons emitted by a quantum

The name of each file corresponds to the figure number (e.g. figure2a, figure3b, etc.) and the first element in each file (the

first row) is the date in which the data has been taken. The data is the result of a pre-processing of histogram and

The fits in the figures are referenced throughout the open-access paper, and can be found in the Supplement

time-stamping files in the experiment. On reasonable request, we can provide the raw data.

We acknowledge financial support by the ERC starting grant QuLIMA, by the Sp Competitiveness (MINECO) through grant FIS2015-69535-R (MINECO AGAUR via 2014 SGR 1554 and by Fundaci\'{o} Privada Cellex

Horizon 2020 research and innovation programme

support by the ICFOnest+ internation

memory on a highly excited Rydberg state -

with the paper Distante, Farrera et al. "Mapping single photons emitted by a quantum

eceived funding from the European Union's

arie Skłodowska-Curie grant agreement No 658258.

gram "la Caixa"-Severo Ochoa @ ICFO. G.H. acknowledges

♣ pedroprincipe@sdum.uminho.pt →



Publication date: November 9, 2016

- · QULIMA Ensemble based advanced
- quantum light matter interfaces (279967) • ICFONEST - ICFONest International
- Postdoctoral Program (267229)
- · EPICA Exploring Photon-photon Interactions with Cold Rydberg Atoms

European Commission Funded Research (OpenAIRE)

☑ Creative Commons Attribution 4.0



Distante, Emanuele, Farrera, Pau, Padrón-Brito, Auxiliadora, Paredes-Barato, David, Heinze, Georg, & de Riedmatten, Hugues. (2016). Storing single photons emitted by a quantum memory on a highly excited Rydberg state - Figure data [Data set]. Zenodo. http://doi.org/10.5281/zenodo.165760

Start typing a citation style.

BibTeX CSL DataCite Dublin Core JSON MARCXML Mendeley



Files		~
Name	Size	
figure2a.csv md5:84a6f478918b5a2bdb83ad73919e42fd @	241 Bytes	Preview & Download
igure2b.csv nd5:c138103b3e731151202ba7b9c5d03664 @	289 Bytes	Preview & Download
igure3b.csv nd5:f134aa0a50b2c06d662bf647f42ce443 ⊘	396 Bytes	Preview Download
figure4ab.csv nd5:529e2d280ff4a848614077dfdf7f738d ©	650 Bytes	Preview & Download
figure4cd.csv md5:43c7e36fd733536824653b11def61a02 @	402 Bytes	Preview & Download
figure5.csv md5:7f8c21f2a473425f5edaaa64ab8b569a @	562 Bytes	Preview Download

Deposit once!

- Locate an appropriated repository via OpenAIRE.
 - If no repository is available: use **Zenodo** (OpenAIRE/CERN repository).
- Acknowledge project funding in the publication or dataset metadata record.
- Use a fully OpenAIRE compatible repository. If don't... use the OpenAIRE linking services afterwards and associate your research results (Link/Claim publication or datasets to projects).
- We apply cleaning, transformation, disambiguation processes, and identify relationships among all research entities: publications, data, funding...

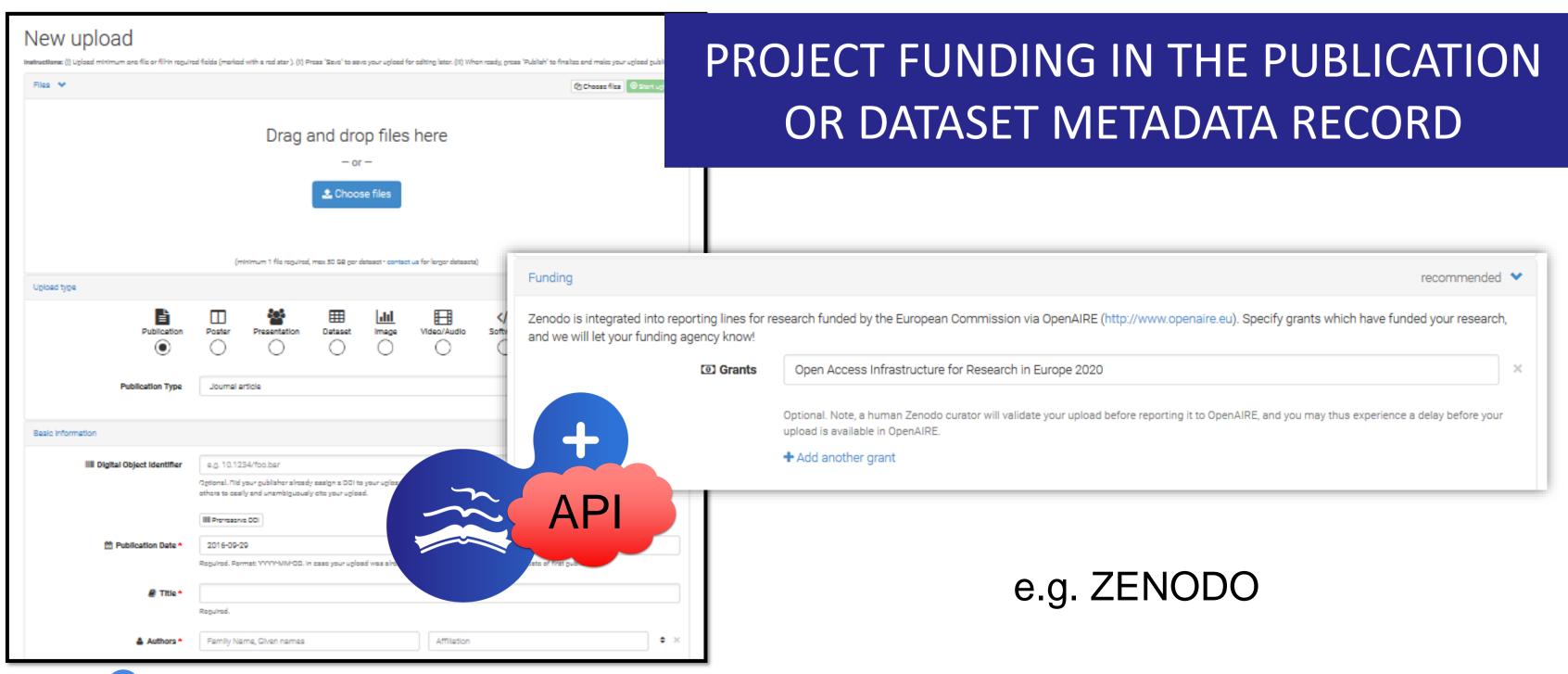
 All publications accessible via OpenAIRE and linked to a project will be displayed automatically in the reporting section of the EC Participant Portal for the project.

We did it!





ACKNOWLEDGE



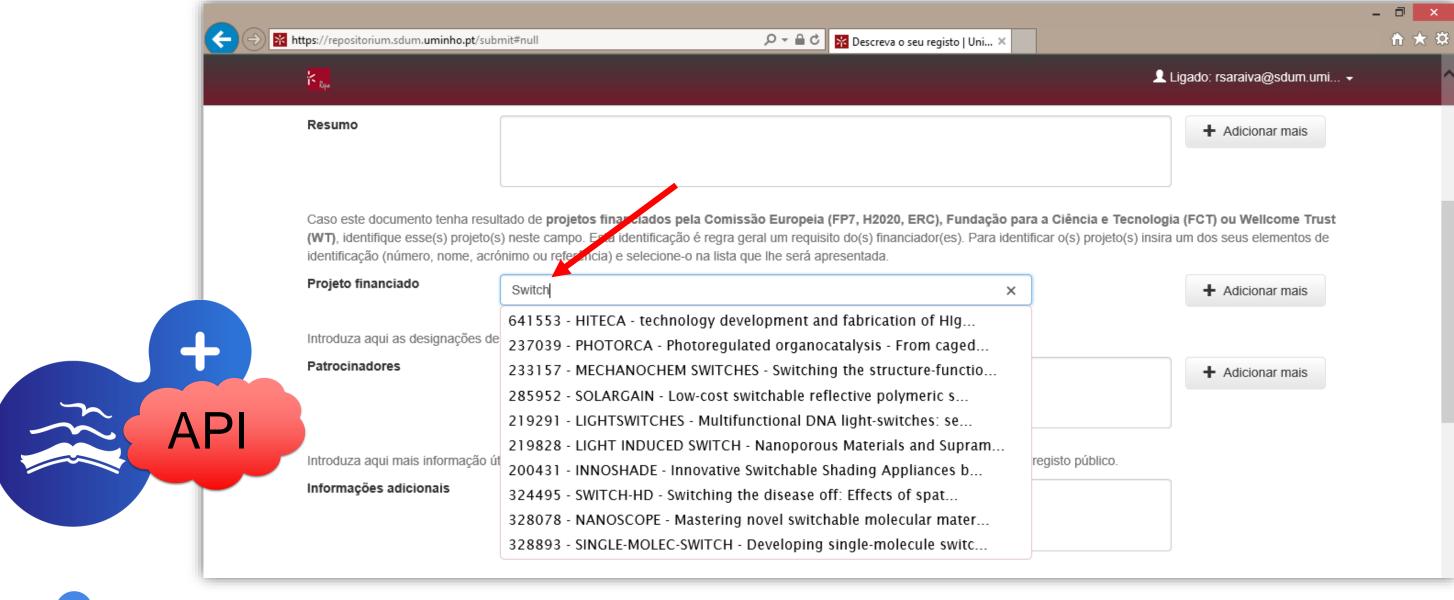




OpenAIRE Funders Projects List

REPOSITORIES DEPOSIT WORKFLOW:

Searching by the name, acronym or the project id number... Select the project and accept

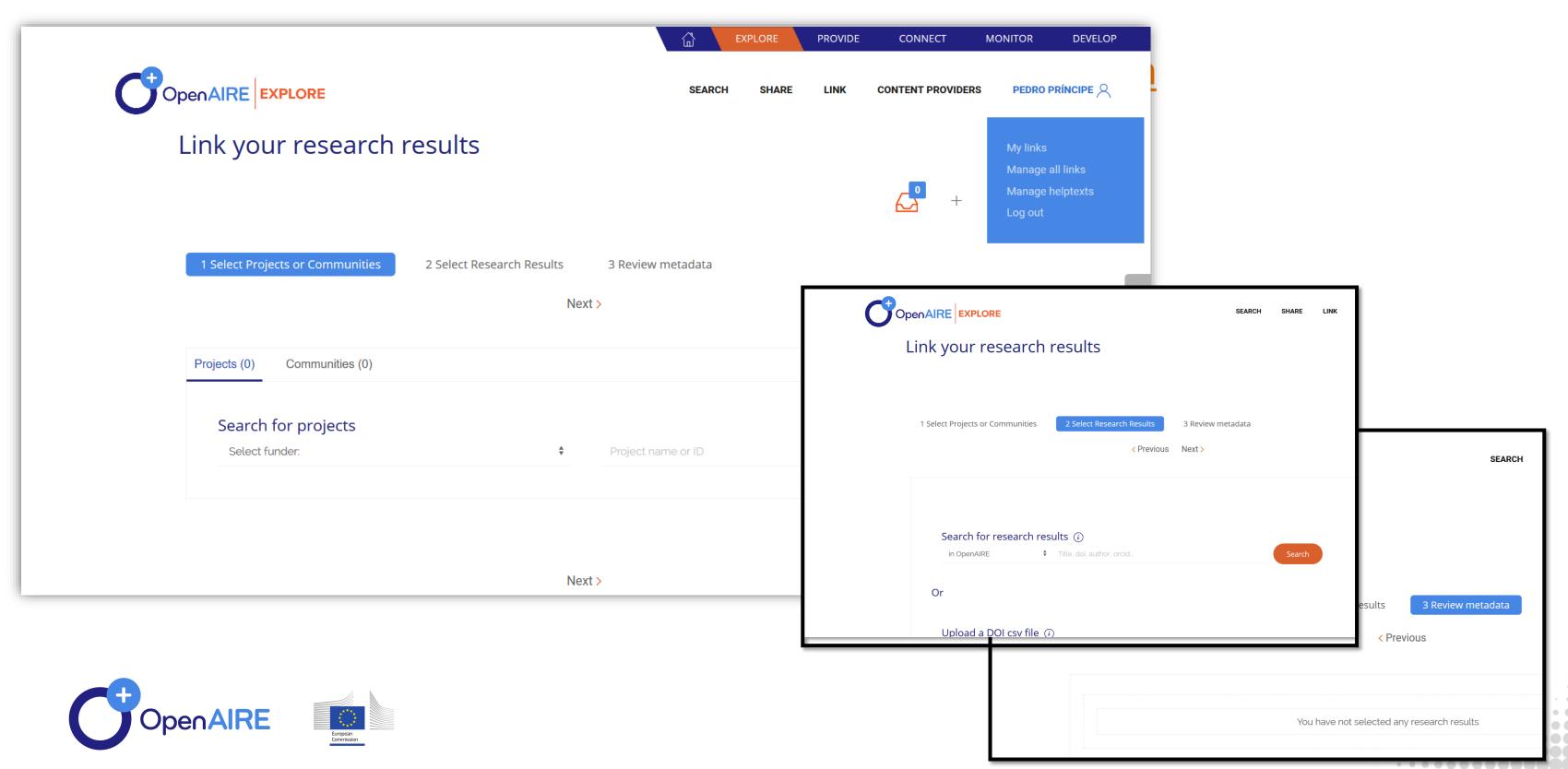




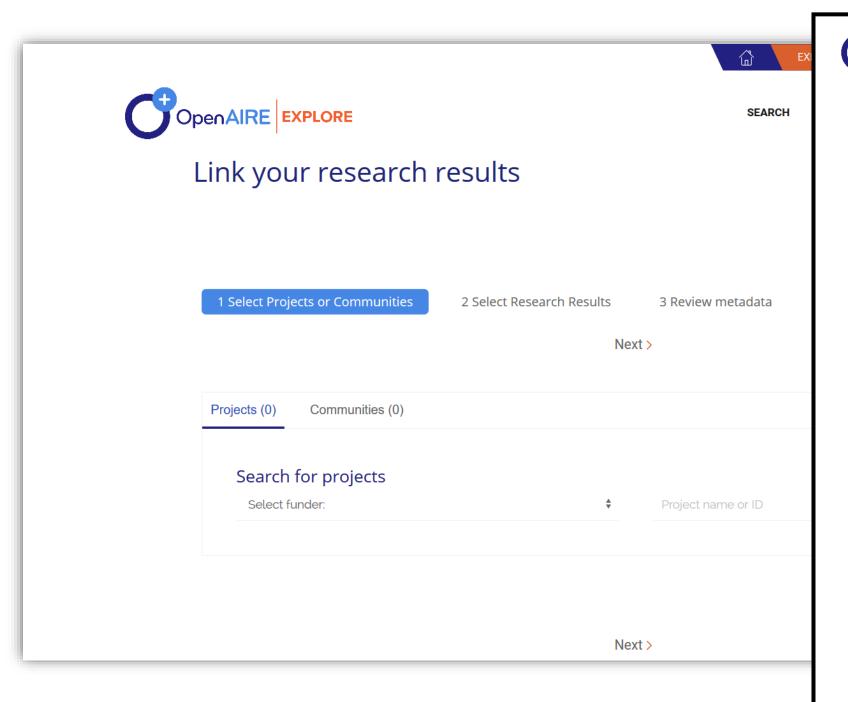


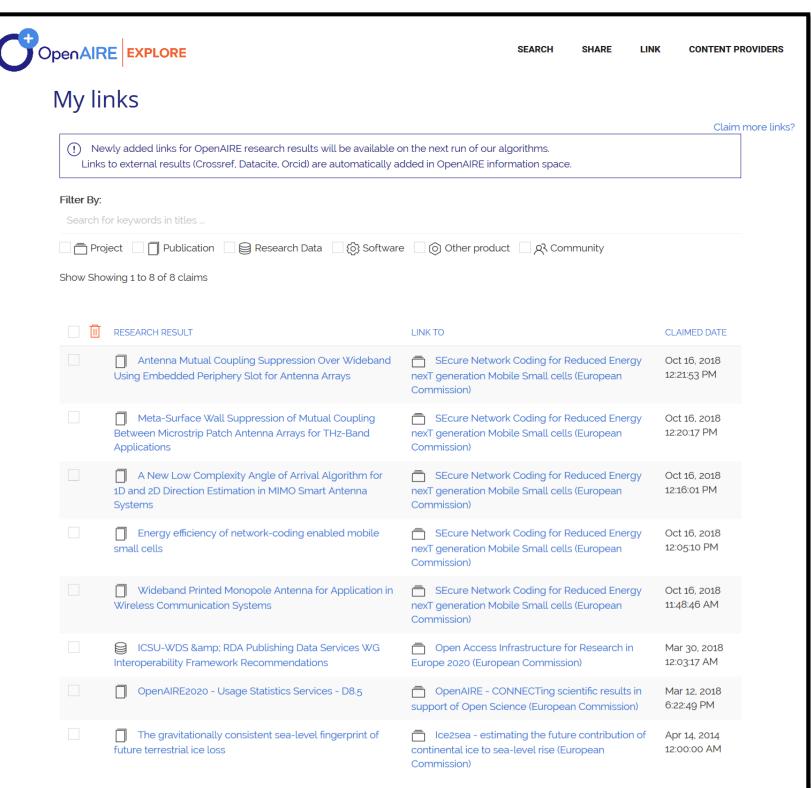


LINK RESEARCH RESULTS TOOL



LINK RESEARCH RESULTS TOOL









Check out this guide!

Guides for OpenAIRE Services

Explore - How to link a publication to your funding

MORE INFORMATION

WHAT IT DOES

WHAT IT IS

HOW CAN I USE

What it is

Linking is a functionality provided by OpenAIRE, in order to **link**research results with a project, a research community or other
research results and make the new information available in
OpenAIRE information Space.

When you publish or deposit in **Open Access** you should use a fully **OpenAIRE** compatible repository. If you don't, use **OpenAIRE** Link service afterwards and associate your research results.

The <u>Link research results service</u> aims to facilitate the creation of proper relations between publications, research data, software and projects in one single place.

You need to be a registered user to perform these tasks and to specifically link a publication to your funded project.







Projects: publications and data



FITOPTIVIS

From the cloud to the edge - smart IntegraTion and OPtimization Technologies for highly efficient Image and VIdeo processing Systems (783162)

Project EC Open Access mandate

Funding: H2020 | ECSEL-RIA

Start Date: 2018-06-01

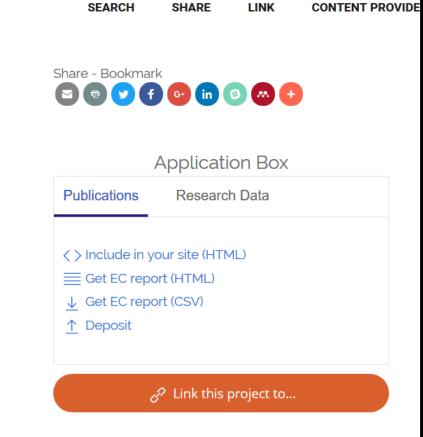
End Date: 2021-05-31

Organization: FUT | ABI | CAM | UTU | TAS | 7SOLS | REX | TUT | NOKIA | HIB | UTIA | UTIA | UNIVERSIDAD | UNIVA | UN

Detailed project information (CORDIS) ightarrow

HURJA BUT TU/e TLV

Publications (0)	+
Research Data (0)	+
Software (0)	+
Other Research Products (0)	+
Statistics	+
Metrics	+





Projects: publications and data



EMF-aware cell selection in heterogeneous cellular networks

Article English OPEN

De Domenico, Antonio ; Díez Fernández, Luis Francisco ; Agüero Calvo, Ramón ; Kténas, Dimitri ; Savin, Valentin (2015)

Publisher: Institute of Electrical and Electronics Engineers Inc.

Related identifiers: ☐ doi: 10.1109/LCOMM.2014.2385094

Subject: HetNets | EMF | Load balancing | Cell selection

The growing concern on the exposure of users to the electromagnetic field (EMF) has recently brought new challenges to the mobile research community. In this letter, we propose a novel cell association framework for heterogeneous cellular networks (HetNets), which aims to balance the load amongst heterogeneous cells so as to improve the resource usage and to increase the user satisfaction in terms of both data rate and EMF exposure. We model the cell selection problem as a General Assignment Problem (GAP) and we present two heuristic algorithms, which solve it with limited complexity. Our analysis shows that the proposed solutions lead to notable improvements with respect to legacy association schemes. This papers reports work undertaken in the context of the project LEXNET. LEXNET is a project supported by the European Commission in the 7th Framework Programme (Grant Agreement n. 318273).

Similar Research Results (2)

Share - Bookmark

Share - Bookmark

Download from

C'UCrea via UCrea (Article, 2015)

Institute of Electrical and Electronics Engineers ? Inc.

Funded by

EC | LEXNET (i)

Link this publication to...

select a citation style



CONTREX: Design of embedded mixedcriticality CONTRol systems under consideration of EXtra-functional properties

Article English EMBARGO

Grüttner, Kim; Görgen, Ralph; Schreiner, Sören; Herrera Casanueva, Fernando; Peñil del Campo, Pablo; Medina Pasaje, Julio Luis; Villar Bonet, Eugenio; Palermo, Gianluca; Fornaciari, William; Brandolese, Carlo; Gadioli, Davide; Vitali, Emanuele; Zoni, Davide; Bocchio, Sara; Ceva, Luca; Azzoni, Paolo; Poncino, Massimo; Vinco, Sara; Macii, Enrico; Cusenza Salvatore (2017)

Publisher: Elsevier

Related identifiers: doi: 10.1016/j.micpro.2017.03.012

The increasing processing power of today's HW/SW platforms leads to the integration of more and more functions in a single device. Additional design challenges arise when these functions share computing resources and belong to different criticality levels. CONTREX complements current activities in the area of predictable computing platforms and segregation mechanisms with techniques to consider the extra-functional properties, i.e., timing constraints, power, and temperature. CONTREX enables energy efficient and cost aware design through analysis and optimization of these properties with regard to application demands at different criticality levels. This article presents an overview of the CONTREX European project, its main innovative technology (extension of a model based design approach, functional and extra-functional analysis with executable models and

Download from	
☑ UCrea via UCrea (Article, 2017)	<u></u>
⊡' Elsevier	?
Funded by	
EC CONTREX (i)	
Cita this muhi sation	
Cite this publication	
select a citation style	

CONTENT PROVIDERS







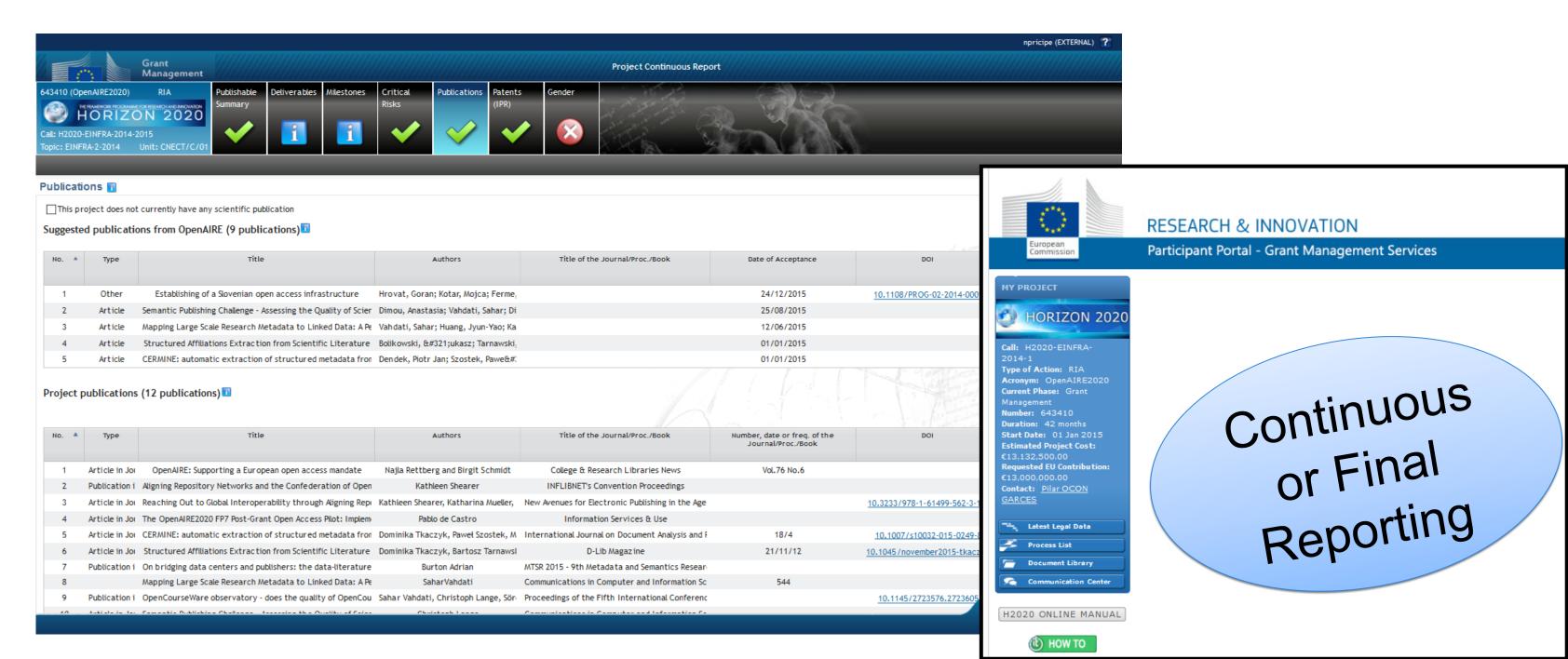


Automatically





EC's participant portal







Check out this guide!



Guides for OpenAIRE services

Explore - How to report your publication and data to the EC

WHAT IT IS

HOW CAN I USE

WHAT IT DOES

NEED MORE INFORMATION?

What it is

Linking is a functionality provided by OpenAIRE, in order to **link**research results with a project, a research community or other
research results and make the new information available in
OpenAIRE information Space.

OpenAIRE is the authoritative source to the European Commission for H2020 projects, so deposit once and OpenAIRE will facilitate the posting of your publications and they will automatically appear in the EC Research Participant Portal (SygMa).





OpenAIRE services support guides



www.openaire.eu/zenodo-guide

www.openaire.eu/reporting-to-the-ec

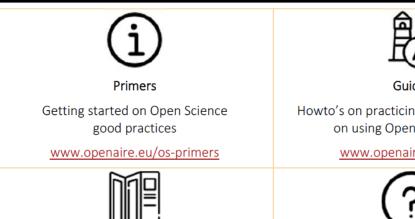
www.openaire.eu/claim-publication





Support Resources

www.openaire.eu/support



Quick references on Open Science in H2020 topics

Factsheets

www.openaire.eu/openaire-h2020-factsheets



Use cases

of OpenAIRE services for different stakeholders

www.openaire.eu/use-cases



Guides

Howto's on practicing Open Science and on using OpenAIRE services

www.openaire.eu/guides



Quick answers to Open Science practices and OpenAIRE services issues

www.openaire.eu/fags



Webinars

Training on Open Science topics

www.openaire.eu/frontpage/webinars

How to practice open science



An Open Access primer to get you started

An RDM Handbook

A primer on managing your research data

Guides on policies

and services

Howto's on practicing open science and using **OpenAIRE** services

Factsheets

Quick references on open science topics for researchers, administrators. funders, etc.

Helpdesk





..........





Training







Open Access to Publications in Horizon 2020 Questions?

antoniacorreia@sdum.uminho.pt; pedroprincipe@sdum.uminho.pt



