



## **Open Research Data**

Sarah Callaghan\* sarah.callaghan@stfc.ac.uk @sorcha\_ni

Autumn training school Development and Promotion of Open Access to Scientific Information and Research 19 September, 2014, Veliko Tarnovo, Bulgaria

\* and a lot of others, including, but not limited to: the NERC data citation and publication project team, the PREPARDE project team, the OpenAIREplus project and the CEDA team











# Who are we and why do we care about data?

The UK's Natural Environment Research Council (NERC) funds six data centres which between them have responsibility for the long-term management of NERC's environmental data holdings.

We deal with a variety of environmental measurements, along with the results of model simulations in:

•Atmospheric science

- •Earth sciences
- •Earth observation
- •Marine Science
- •Polar Science
- •Terrestrial & freshwater science, Hydrology and Bioinformatics

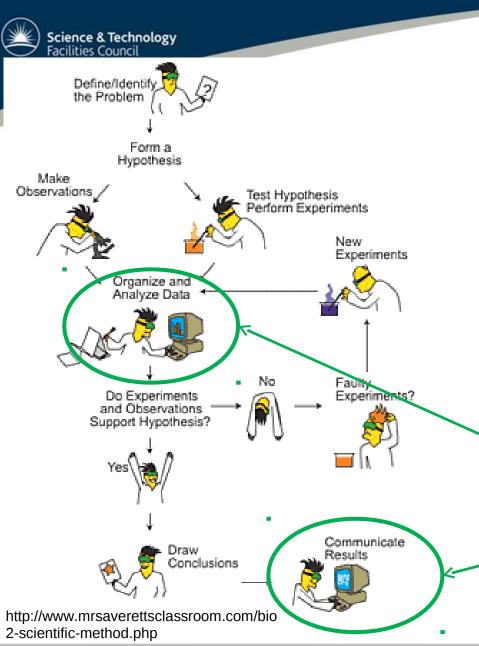












#### The Scientific Method

A key part of the scientific method is that it should be reproducible – other people doing the same experiments in the same way should get the same results.

Unfortunately observational data is not reproducible (unless you have a time machine!)

The way data is organised and archived is crucial to the reproducibility of science and our ability to test conclusions.

This is often the only part of the process that anyone other than the originating scientist sees. We want to change this.



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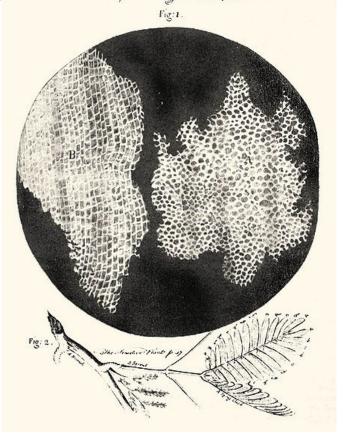




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#### Journals have always published data...

-Som of Cork in two different Sections . p. 10 ...



#### [Observations of Stars in the Spiral Nebula. H. 1622.

The spiral form of this nebula is very distinctly seen in the Pulkova refractor. Unfortunately in the month of March, the best season for the observation of this object, the sky was constantly cloudy; so that I could only get three nights' observations in the months of April and May, when the twilight did not cease for the whole night. It must be attributed to this unfavourable circumstance that the following list of determinations is not so complete as it probably would have been without the twilight. The observations have been made alternately with powers of 138 and 207.

Observations.

Date.	Object.	Magnitude.	Ang. Pos.	No. of measures.	Distance.	No. of measures.
1851, April 7.	Nn		14 55	5	867-1	4
	Na	a = (11)	229 24	3	88-0	3
	N &	b = (11.12)	109 12	3	242-6	3
	ab		93 42	3	298-6	3
April 28.	a b		94 23	3	300-8	4
	Na		228 36	4 4		
	N b		108 54	4		1
1	n a		203 42	3		
	лð		153 30	3		1
	ad	d = (12.13)	323 51	3		
	N d		\$77 27	3		
	ae	e =(13)	112 13	3		1
	Ne		161 56	3		
	Nf	f = (12.13)	309 18	3		
I	nĴ.		237 31	3		ł –
	af		335 23	3		
	ag	g = (12.13)	215 17	3	115-5	4
	ah	$\lambda = (12.13)$	193 29	3		
	gh		87 5	3		
May 3.	NÅ	h = (13.14)	51 47	3		
,	n k		173 29	4		
	6 k		317 23	3		
	61	l = (11.12)	27 20	4		1
	nl		83 17	4	355-2	4
	ae		112 56	4		
	Ne		161 39	3		
	a m	m = (12.13)	179 43	5		
	N m		190 44	4		
	b m		238 50	4		1
	Na		229 12	4	87.0	3
	Nn		14 47	1 4 1	264.2	3

The Scientific Papers of William Parsons, Third Earl of Rosse 1800-1867

Suber cells and mimosa leaves. Robert Hooke, Micrographia, 1665

...but datasets have gotten so big, it's not useful to publish them in hard copy anymore



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## Why make data open?

- Pressure from (UK) government to make data from publicly funded research available for free.
  - Scientists want attribution and credit for their work
  - Public want to know what the scientists are doing
  - Good for the economy if new industries can be built on scientific data/research
- Research funders want reassurance that they're getting value for money
  - Relies on peer-review of science publications (well established) and data (starting to be done!)
- Allows the wider research community and industry to find and use datasets, and understand the quality of the data

Need reward structures and incentives for researchers to encourage them to make their data open – data citation and publication



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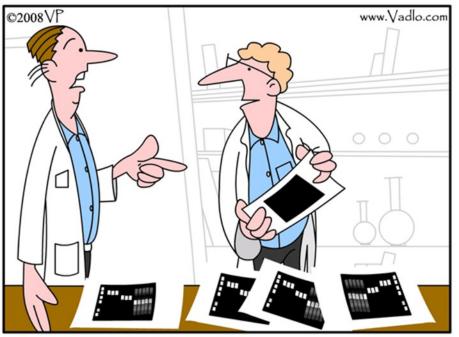


http://www.evidencebased-management.c om/blog/2011/11/04/new-evidence-on-bigbonuses/



Why bother linking the data to the publication? Surely the important stuff is in the journal paper?





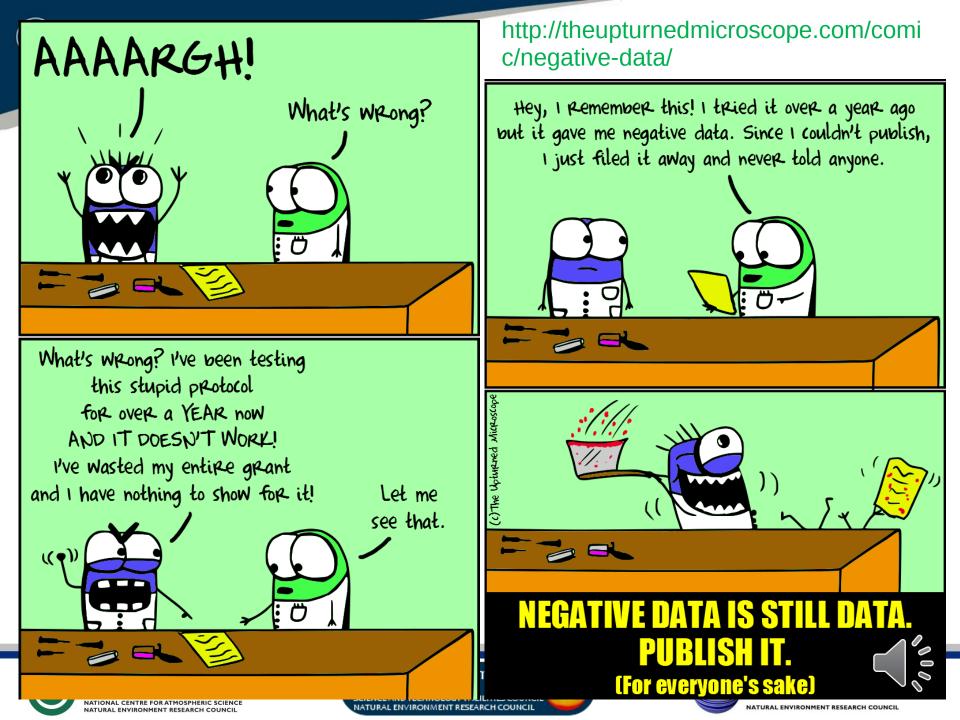
Data don't make any sense, we will have to resort to statistics.

If you can't see/use the data, then you can't test the conclusions or reproduce the results! It's not science!



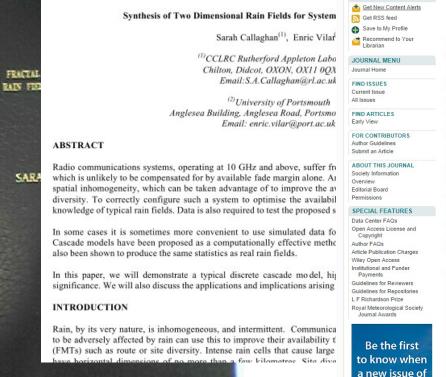








## Most people have an idea of what a publication is





whether the use of site diversity as a fade mitigation technique would be effective. The dataset spans a period of 3 years, from August 2003 to August 2006 with signal attenuation sampled once per second.



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WEATHER

JOURNAL TOOLS



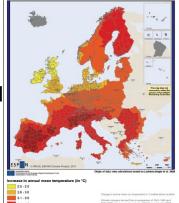




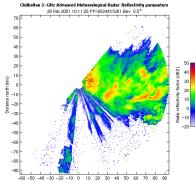
### Some examples of data (just from the Earth Sciences)

- 1. Time series, some still being updated e.g. meteorological measurements
- Large 4D synthesised datasets, e.g. Climate, Oceanographic, Hydrological and Numerical Weather Prediction model data generated on a supercomputer
- 3. 2D scans e.g. satellite data, weather radar data
- 4. 2D snapshots, e.g. cloud camera
- 5. Traces through a changing medium, e.g. radiosonde launches, aircraft flights, ocean salinity and temperature
- 6. Datasets consisting of data from multiple instruments as part of the same measurement campaign
- 7. Physical samples, e.g. fossils



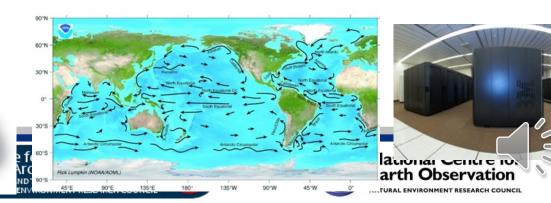












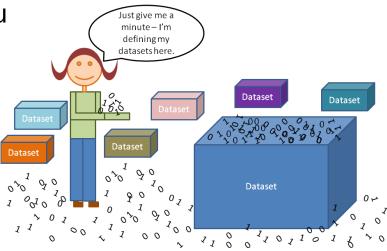




DataCite's definition (http://www.datacite.org/sites/default/files/Bu siness\_Models\_Principles\_v1.0.pdf):

Dataset: "Recorded information, regardless of the form or medium on which it may be recorded including writings, films, sound recordings, pictorial reproductions, drawings, designs, or other graphic representations, procedural manuals, forms, diagrams, work flow, charts, equipment descriptions, data files, data processing or computer programs (software), statistical records, and other research data."

(from the U.S. National Institutes of Health (NIH) Grants Policy Statement via DataCite's Best Practice Guide for Data Citation).



In my opinion a dataset is something that is:

•The result of a defined process

National Centre to:

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- •Scientifically meaningful
- •Well-defined (i.e. clear definition of what is in the dataset and what isn't)



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### Should ALL data be open?

Most data produced through publically funded research should be open.

#### But!

- Confidentiality issues (e.g. named persons' health records)
- Conservation issues (e.g. maps of locations of rare animals at risk from poachers)
- Security issues (e.g. data and methodologies for building biological weapons)



There should be a very good reason for publically funded data to <u>**not**</u> be open.



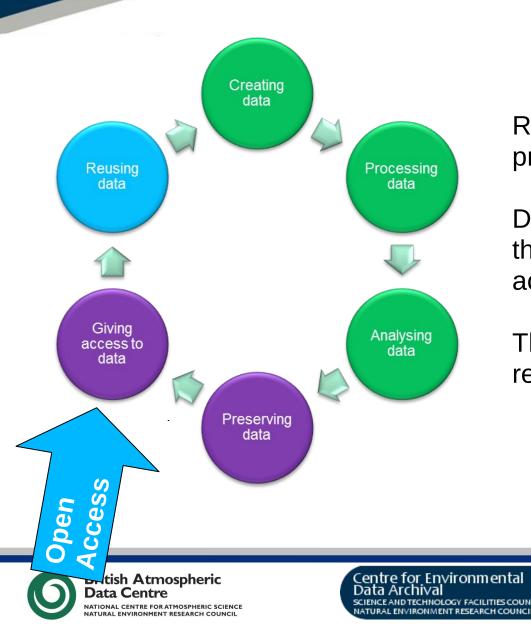
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#### The research data lifecycle



Researchers are used to creating, processing and analysing data.

Data repositories generally have the job of preserving and giving access to data.

Third parties, or even the original researchers will reuse the data.

See http://data-archive.ac.uk/create-mana ge/life-cycle for more detail





## Creating a dataset is hard work!

#### DATA: BY THE NUMBERS



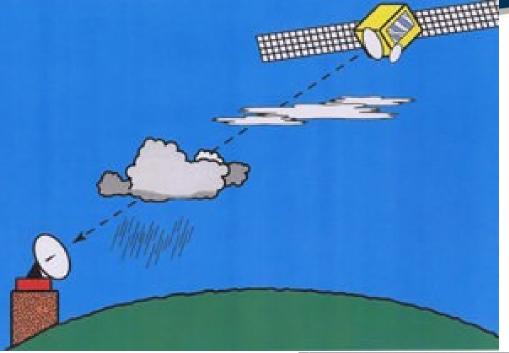
"Piled Higher and Deeper" by Jorge Cham www.phdcomics.com www.phdcomics.com











## Creating data: a radio propagation dataset

The problem: rain and cloud mess up your satellite radio signal. How can we fix this?

Italsat F1: Owned and operated by Italian Space Agency (ASI). Launched January 1991, ended operational life January 2001.







The receive cabin at Sparsholt in Hampshire



Inside the receive cabin – the instruments my data came from



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#### Creating/processing data

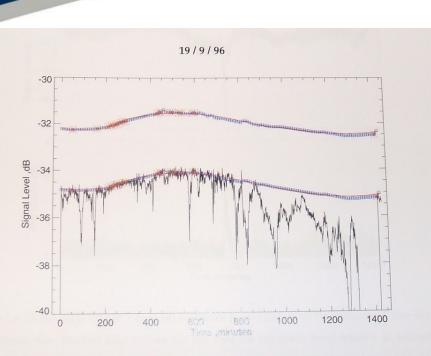


Figure 2.3 : 49.49 GHz Signal and Zero dB reference level relative to Vacuum and Clear sky.( Red : Fourier series , Blue :Linear interpolation , \* : Signal level values at clear sky time points).

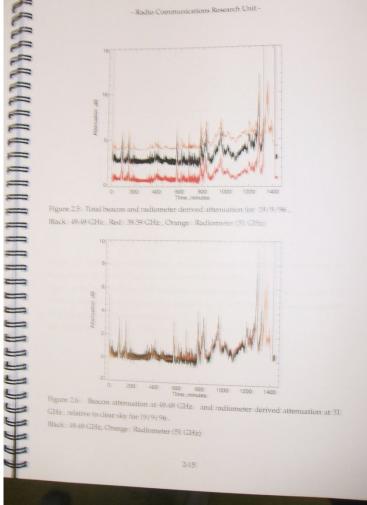
One day's worth of raw data from one of the receivers My job was to take this...



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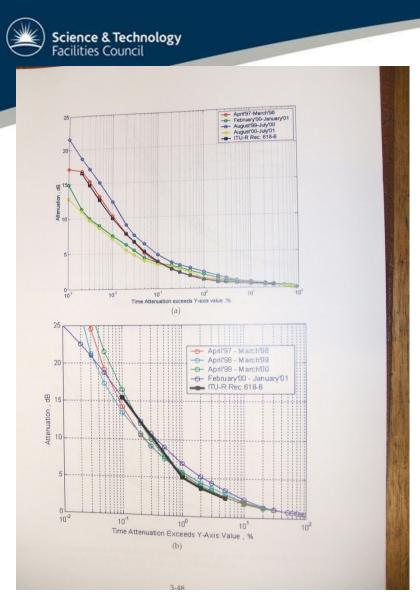
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#### ...turn it into this....





### Analysing data

...a process which involved 4 major steps, 4 different computer programmes, and 16 intermediate files for each day of measurements.

Each month of preproccessed data represented somewhere between a couple of days and a week's worth of effort.

It was a job where attention to detail was important, and you really had to know what you were looking at from a scientific perspective.

#### ...with the final result being this.



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#### Preserving data (the wrong way!)



Part of the Italsat data archive – on CDs in a shelf in my office









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li Beacon	spbe020424.000	15/08/2002 00:00	000 File
Analysis	spbe020425.000	15/08/2002 00:00	000 File
PP_months	spbe020426.000	15/08/2002 00:00	000 File
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## What the processed data set looks like on disk

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What the raw data files looked like.

(I do have some Word documents somewhere which describe what all this is...)

I could make these files open easily, but no one would have a clue how to use them!

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		,00,00,06		0	0	0	-56	0	0	0	0	0	0	
	0 04,23, 0	,00,00,07		0	0	0	-55	0	0	0	0	0	0	
	04,23,	,00,00,08		0	0	0	-63	0	0	0	0	0	0	
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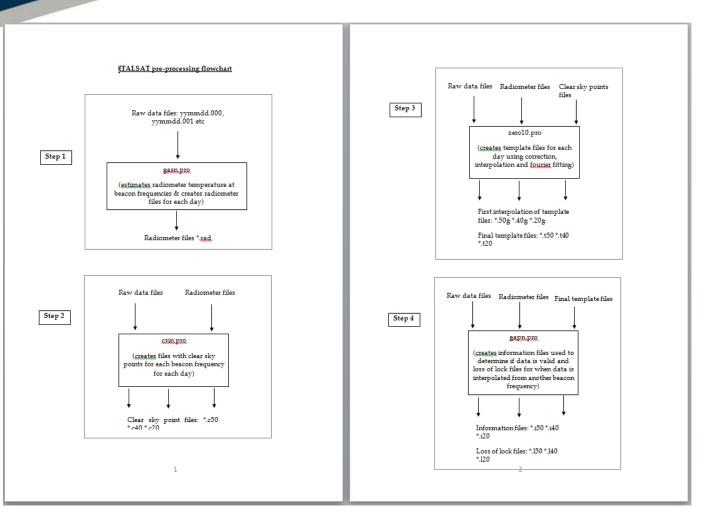
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#### **Example documentation**



Note the software filenames in the documentation.

I still have the IDL files on disk somewhere, but I'd be very surprised if they're still compatible with the current version of IDL



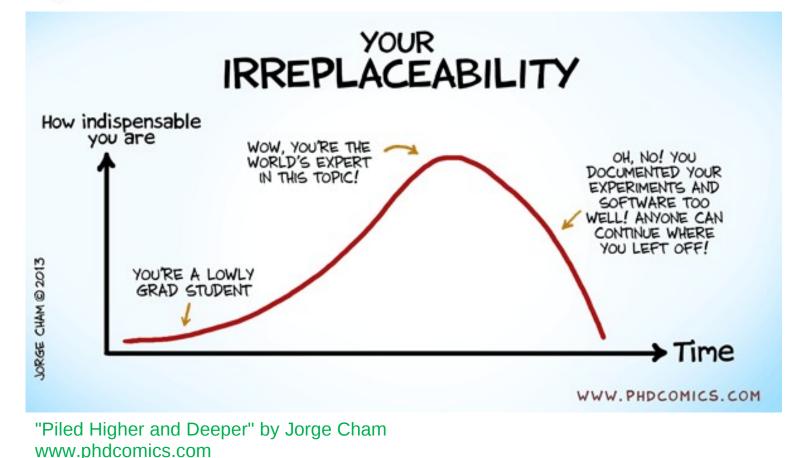








# Documentation can sometimes produce mixed feelings



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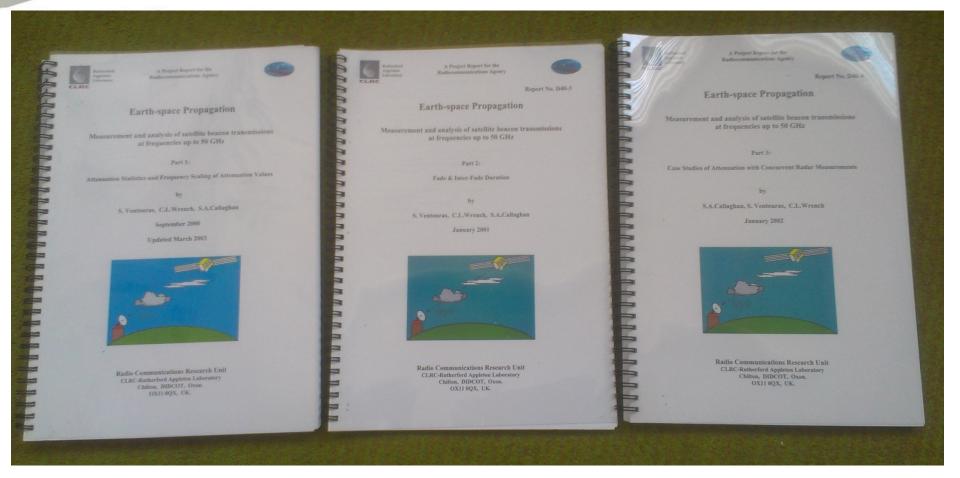








#### Publications – grey literature













#### Publications – journal paper

RADIO SCIENCE, VOL. 41, RS2007, doi:10.1029/2005RS003252, 2006

#### Where's the data?

#### Long-term statistics of tropospheric attenuation from the Ka/U band ITALSAT satellite experiment in the United Kingdom

S. Ventouras,1 S. A. Callaghan,1 and C. L. Wranch1

Received 9 February 2005; revised 9 December 2005; as

[1] Long-term statistics of tropospheric attenu measurements made in the south of England u 49.5, 39.6, and 18.7 GHz; coincident rainfall r the receiving ground station. A method to rem beacon signals and to establish the reference le total attenuation has been presented in detail. estimated to be  $\sim \pm 0.5$  dB. A new method for statistics has been proposed and validated aga 18.7, 39.6, and 49.5 GHz. For both locations, tl predictions compared with the established Inte recommendation method. A significant monthl the attenuation and rainfall statistics and should the design and use of future slant path systems. are subject to diurnal variations; however, for t seem to follow a particular pattern.

Citation: Ventouras, S., and C. L. Wrench (2006), Lo ITALSAT satellite experiment in the United Kingdom,



RS2007

VENTOURAS AND WRENCH: TROPOSPHERIC ATTENUATION

RS2007

Table 4. Annual Measured and Predicted Total Attenuation Statistics for Sparsholt, UKa

Outage, %	Total Attenuation, dB										
	49.5 GHz				39.6 GI	Hz	18.7 GHz				
	Measured	ITU-R, 0.01%	New Method, All Distribution	Measured	ITU-R, 0.01%	New Method, All Distribution	Measured	ITU-R, 0.01%	New Method, All Distribution		
30	3.05	3.09	2.96	0.99	1.06	0.94	0.46	0.42	0.38		
20	3.40	3.67	3.50	1.31	1.46	1.29	0.61	0.54	0.46		
10	4.38	4.89	4.42	1.96	2.33	1.93	0.84	0.78	0.61		
5	5.87	6.30	5.48	3.00	3.34	2.64	0.96	1.05	0.76		
3	7.11	7.38	6.47	3.84	4.14	3.30	1.10	1.26	0.89		
3 2	8.14	8.48	7.86	4.54	4.95	4.30	1.36	1.46	1.01		
1	10.34	10.53	10.58	6.03	6.50	6.38	1.85	1.85	1.50		
0.50	13.28	12.86	13.45	7.98	8.33	8.66	2.45	2.30	2.09		
0.30	15.99	15.16	15.78	9.83	10.15	10.54	2.91	2.77	2.59		
0.20	18.50	17.39	17.80	11.47	11.92	12.20	3.25	3.25	3.06		
0.10	23.45	22.17	21.69	14.95	15.73	15.49	3.91	4.30	4.02		
0.050				19.23	20.63	19.49	5.21	5.72	5.28		
0.030				23.04	24.98	23.00	6.46	7.04	6.42		
0.020							7.50	8.26	7.51		
0.010							9.91	10.71	9.75		
0.005							12.91	13.59	12.42		
0.003							15.04	15.95	14.58		
0.002							16.62	17.93	16.34		
0.001							17.87	21.42	17.52		

<sup>a</sup>For measured statistics, 49.5 and 39.6 GHz were averaged over 4 years, and 18.7 GHz was averaged over 3 years. For predict statistics, ITU-R, 0.01% refers to Recommendation P.618-8, and New Method, All Distribution is a proposed combination method, whole rain distribution for rain attenuation statistics.



## What it all came down to:



Composite image from Flickr user bnilsen and Matt Stempeck (NOI), shared under Creative Commons license

And I wasn't even preserving my data properly!











## As for giving access to the data...



I did share, but there was a lot of non-disclosure agreements (I am not a lawyer!)

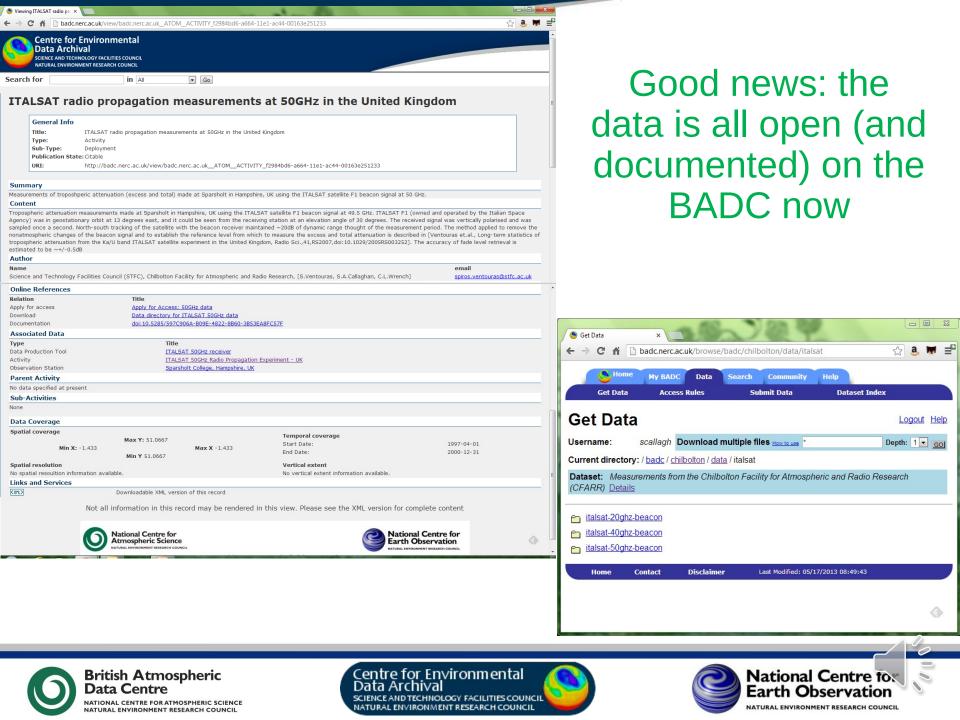
And I didn't feel like I got the credit for it.(The first publication based on the data wasn't written by me, and I didn't even get my name in the acknowledgements.)

















- The raw material it's made from doesn't contain information
- But the act of knitting encodes information into the scarf
- The scarf is the result of a well defined process (knitting) and has a particular method used to create it
- I need to be able to describe it
- I need to be able to find it
- I need to store it properly so it doesn't get lost, or corrupted (i.e. eaten by moths or shredded by mice)
- I might need to recreate it so I need to keep information about it
- I put a lot of time and effort into making it, so I'm very attached to it!













http://www.flickr.com/photos/nazliceti ner/6448303541/



http://www.flickr.com/photos/maco nix/5 019885742/

#### Just like not all scarves are the same, not all datasets are the same!

How the dataset was created and used will determine how open it can be.



http://www.flickr.com/photos/lo vefibre/3251690074/



http://www.flickr.com/phot os/halfbisgued/80841459



http://www.flickr.com/phot os/lucathegalga/2282305 884/



http://www.flickr.com/ photos/ujkakevin/230 3531028/



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## Metadata

It is generally agreed that we need methods to:

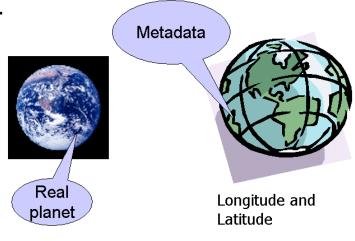
- define and document datasets of importance.
- augment and/or annotate data
- amalgamate, reprocess and reuse data

To do this, we need **metadata** – data about data

For example:

Longitude and latitude are metadata about the planet.

- They are artificial
- They allow us to communicate about places on a sphere
- They were principally designed by those who needed to navigate the oceans, which are lacking in visible features!



http://www.kcoyle.net/meta\_purpose.html

Metadata can often act as a surrogate for the real thing, in this case the planet.



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#### Metadata for my scarf

Location: "Around my neck"/"Hanging on the door

Descriptive: "teal blue", "scarf"

Information needed to recreate it.

Number of stitches cast on: 54

of my wardrobe"

Needle size: 4mm

edges

Dimensions: 200cm long, 20cm wide

Identifier: KOI (knitted object identifier)

The raw material: King Cole Haze Glitter DK, colourway 124 - Ocean, with dyelot 67233

Algorithm used to create it: 18 stitch feather and fan stitch with 2 stitch garter stitch border at the

Tension (how tightly I knit in this pattern): 28 rows

and 27 stitches for a 10cm by 10cm square

I can't make my scarf Open Access, but I can

make the metadata about it open – enabling other users to create it for themselves.

<image>



#### Dataset views and suggested uses



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# How to publish data/make data open

- Stick it up on a webpage somewhere
  - Issues with stability, persistence, discoverability...
  - Maintenance of the website
- Put it in the cloud
  - Issues with stability, persistence, discoverability...
- Attach it to a journal paper and store it as supplementary materials
  - Journals not too keen on archiving lots of supplementary data, especially if it's large volume.
- Put it in a disciplinary/institutional repository
- Write a data article about it and publish it in a data journal



By David Fletcher http://www.cloudtweaks.com/2011/05/the-lighter-side -of-the-cloud-data-transfer/



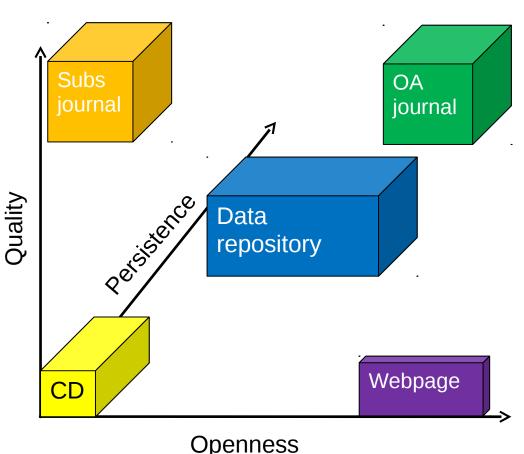








#### **Open/Closed/Published/unpublished**



We want to encourage researchers to make their data:

•Open

- Persistent
- •Quality assured:
- through scientific peer review
- or repository-managed processes

Unless there's a very good reason not to!

Publishing = making something public after some formal process which adds value for the consumer:

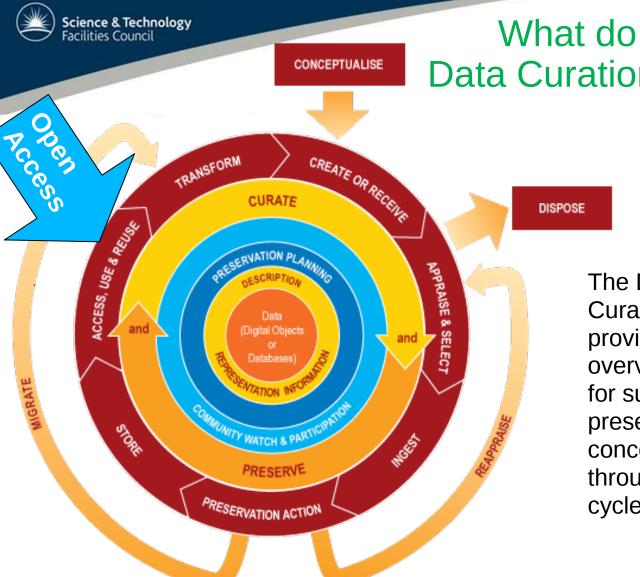
e.g. peer review and provides commitment to persistence











What do data centres do? Data Curation Lifecycle Model

> The Digital Curation Centre's Curation Lifecycle Model provides a graphical, high-level overview of the stages required for successful curation and preservation of data from initial conceptualisation or receipt through the iterative curation cycle.

http://www.dcc.ac.uk/resources/curation-lifecycle-model



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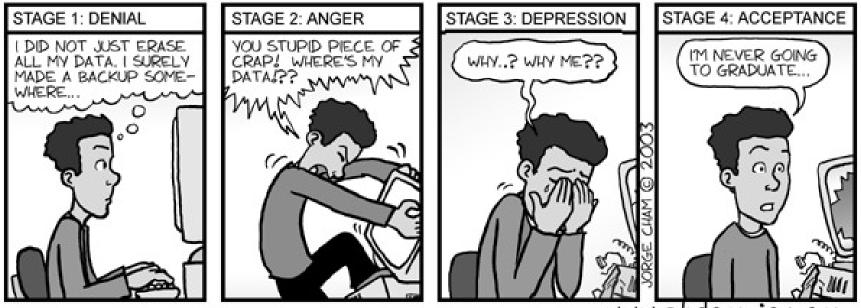






# Why should I bother putting my data into a repository?

#### THE FOUR STAGES OF DATA LOSS DEALING WITH ACCIDENTAL DELETION OF MONTHS OF HARD-EARNED DATA



"Piled Higher and Deeper" by Jorge Cham www.phdcomics.com





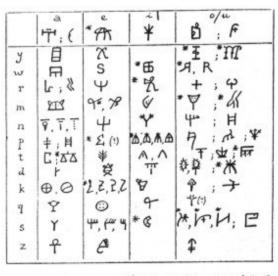








### It's ok, I'll just do regular backups



neniplacés: L8 # (ya+?); e14(q:?); 35 (nau?); 364 (ko?) L3; 2(qu?); 430, &(wa2); 650 (ki?); 90 (ka?). filum of Linear A'



Phaistos Disk, 1700BC

These documents have been preserved for thousands of years! But they've both been translated many times, with different meanings each time.

#### Data Preservation is not enough, we need Active Curation to preserve Information



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### Open is not enough!

"When required to make the data available by my program manager, my collaborators, and ultimately by law, I will grudgingly do so by placing the raw data on an FTP site, named with UUIDs like

4e283d36-61c4-11df-9a26-edddf420622d. I will under no circumstances make any attempt to provide analysis source code, documentation for formats, or any metadata with the raw data. When requested (and ONLY when requested), I will provide an Excel spreadsheet linking the names to data sets with published results. This spreadsheet will likely be wrong -- but since no one will be able to analyze the data, that won't matter."



https://flic.kr/p/awnCQu

http://ivory.idyll.org/blog/data-management.htm

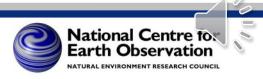


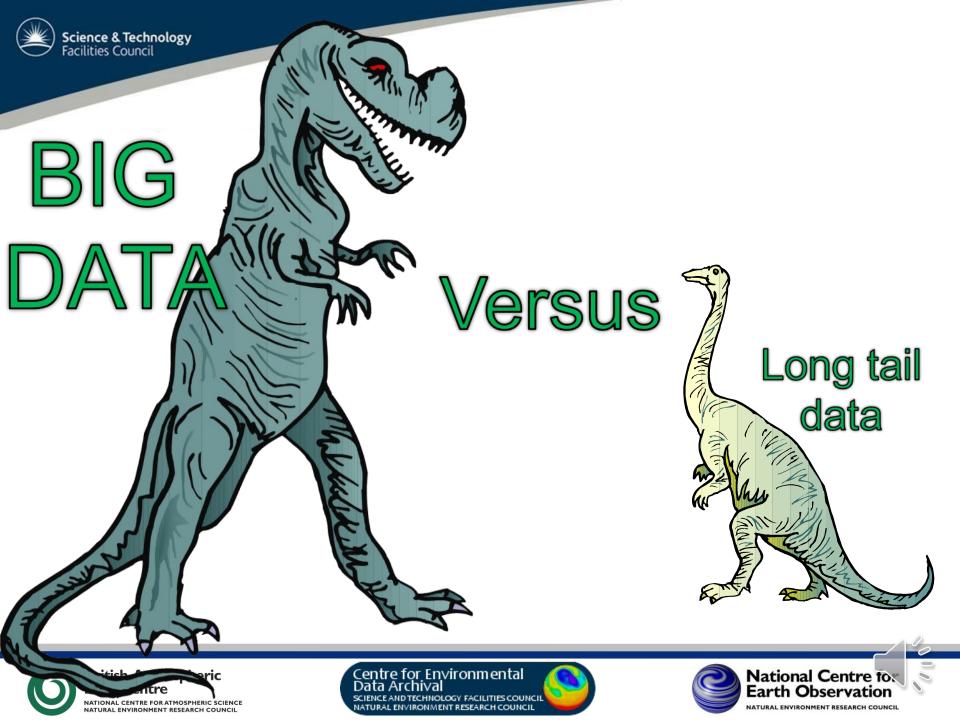
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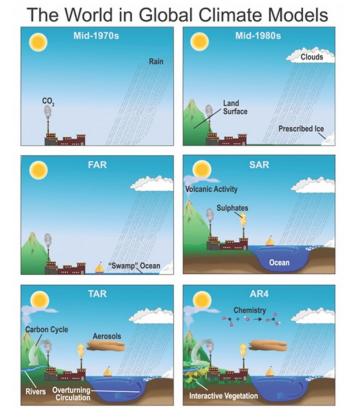
#### <u>CMIP5: Fifth Coupled Model</u> Intercomparison Project

 Global community activity under the World Meteorological Organisation (WMO) via the World Climate Research Programme (WCRP)

• Aim:

- to address outstanding scientific questions that arose as part of the 4<sup>th</sup> Assessment Report process,
- improve understanding of climate, and
- to provide estimates of future climate change that will be useful to those considering its possible consequences.

### Example Big Data: CMIP5



Many distinct experiments, with very different characteristics, which influence the configuration of the models, (what they can do, and how they should be interpreted).









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#### Simulations:

- ~90,000 years
- ~60 experiments
- ~20 modelling centres (from around the world) using
- ~30 major(\*) model configurations
- ~2 million output "atomic" datasets
- ~10's of petabytes of output
- ~2 petabytes of CMIP5 requested output
- ~1 petabyte of CMIP5 "replicated" output Which are replicated at a number of sites (including ours)

#### Major international collaboration!

Funded by EU FP7 projects (IS-ENES, Metafor) and US (ESG) and other national sources (e.g. NERC for the UK)





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#### Summary of the CMIP5 example

The Climate problem needs:

- Major physical e-infrastructure (networks, supercomputers)
- Comprehensive information architectures covering the whole information life cycle, including annotation (particularly of quality)
  - ... and hard work populating these information objects, particularly with provenance detail.
- Sophisticated tools to produce and consume the data and information objects
- State of the art access control techniques

Major distributed systems are social challenges as much as technical challenges.

## CMIP5 is Big Data, with lots of different participants and lots of different technologies.

It also has a community willing to work together to standardise and automate data and metadata production and curation, and with the willingness to support the effort needed for openness.









https://flic.kr/p/g1EHPR

#### **Big Data:**

- Industrialised and standardised data and metadata production
- •Large groups of people involved
- •Methods for making the data open, attribution and credit for data creation established



#### Long Tail Data:

- •Bespoke data and metadata creation methods
- •Small groups/lone researchers
- •No generally accepted methods for attribution and credit for data creation. Often data is closed due to lack of effort to open it



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### Summary and maybe conclusions?

- Data is important, and becoming more so for a wider range of the population
- Conclusions and knowledge are only as good as the data they're based on
- Science is supposed to be reproducible and verifiable

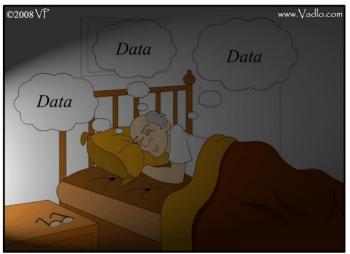
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- It's up to us as scientists to care for the data we've got and ensure that the story of what we did to the data is transparent
  - •So we and others can use the data again
  - And so people will trust our results

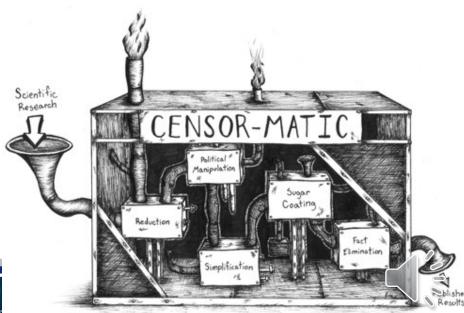


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The Not-So-Secret life of a PI.





### "Publishing research without data is simply advertising, not science" - Graham Steel

http://blog.okfn.org/2013/09/03/publishing-research-without-data-is-simply-advertising-not-science/

Thanks! Any questions?

sarah.callaghan@stfc.ac.uk @sorcha\_ni http://citingbytes.blogspot.co.uk/

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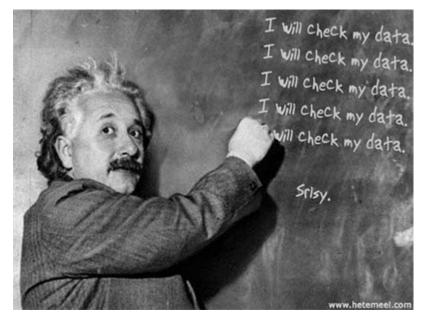


Image credit: Borepatch http://borepatch.blogspot.com/2010/06/its-not-what-you-dont-knowthat-hurts.html



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