



# FOSTER

---

## Best Practice in Open Science

Iryna Kuchma, EIFL Open Access Programme Manager,  
Twitter: @irynakuchma





# Innovations in scholarly communication

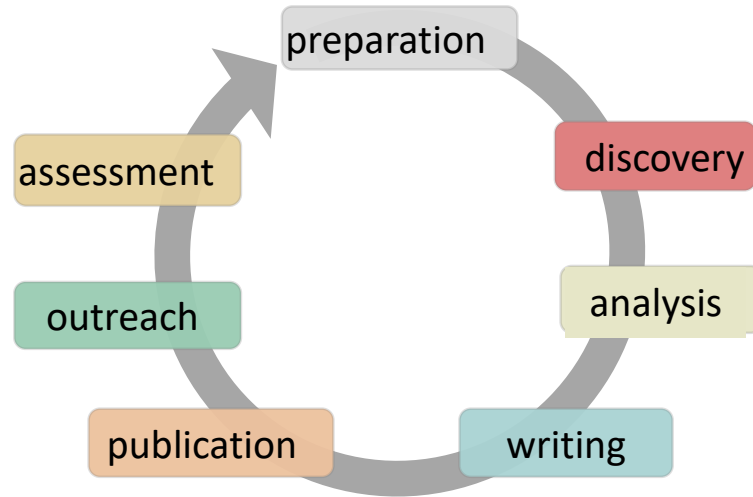
<https://101innovations.wordpress.com/>

Bianca Kramer & Jeroen Bosman

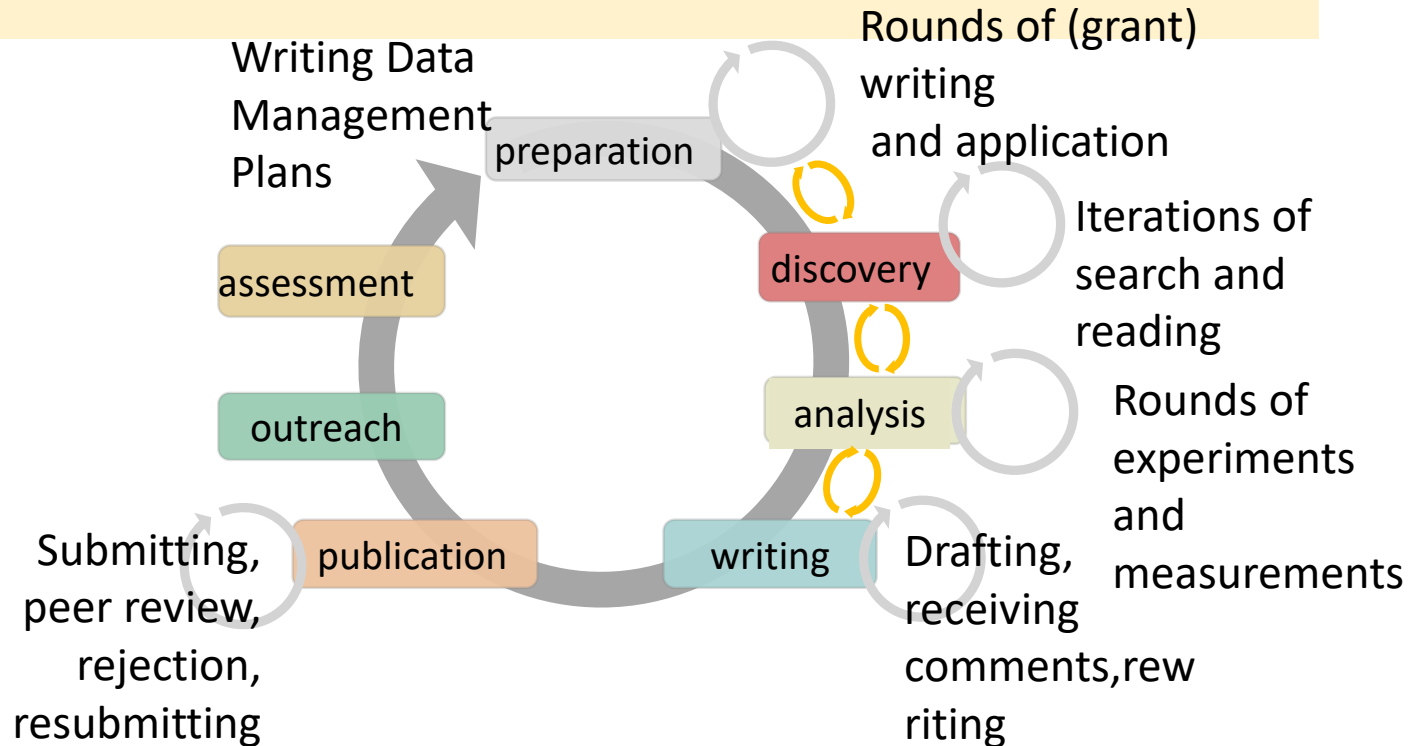


@MsPhelps  
@jeroenbosman

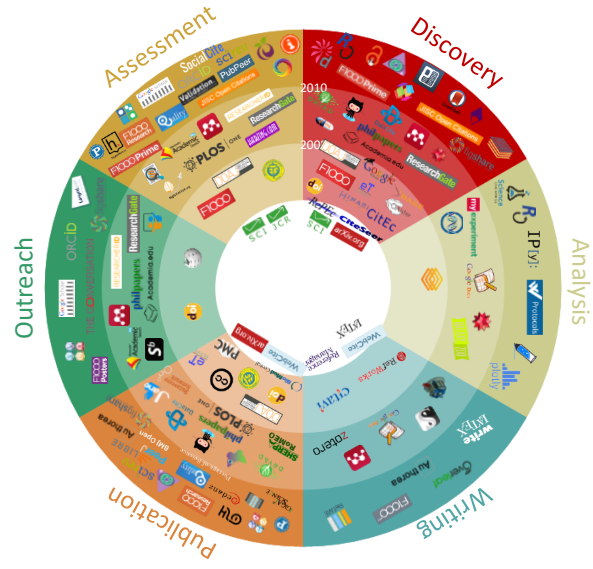
## A model of the research workflow



# A model of the research workflow







# Opening up the research workflow

## Assessment

- Comment / peer review
- Determine impact of research output
- Determine impact of researchers

## Preparation

- Define & crowdsource research priorities
- Organize project, team, collaborations
- Get funding / contract

## Discovery

- Search literature / data / code / ...
- Get access; Get alerts / recommendations
- Read / view
- Annotate

## Outreach

- Archive/share posters
- Archive/share presentations
- Tell about research outside academia
- Researcher profiles/networks

## Analysis

- Collect, mine, extract data / experiment
- Share protocols / notebooks / workflows
- Analyze

## Publication

- Archive / share publications; data & code
- Publish in OA journal

## Writing

- Write / code
- Visualize
- Cite
- Translate

# Open Science practices

involve public / patients  
in drafting  
research proposals

openly share  
project proposals

share hypothesis before  
starting research  
(if possible/relevant)

having open discovery  
of open access  
materials

extensively search for  
existing data before  
generating your own

use easily attainable  
software to allow  
anyone to reproduce  
your results

# Open Science practices

sharing protocols  
openly, online

store data in the most  
open format possible

cite OA versions of  
literature & provide  
data and code citations

acknowledge  
contributor roles  
in a publication

translate research objects  
in world languages

publish preprints,  
encourage feedback /  
open peer review

# Open Science practices

publish pre-publication  
history (version + reviews)

making conflicts of  
interest transparant

using academic social  
networks to find and  
communicate with  
other researchers

refuse to be part of  
all male of all white  
panels

having all types of  
review openly  
available

use metrics of  
commercial /social  
applications to  
assess research

# Opening up the research workflow

## Assessment

- Comment / peer review
- Determine impact of research output
- Determine impact of researchers

## Preparation

- Define & crowdsource research priorities
- Organize project, team, collaborations
- Get funding / contract

## Discovery

- Search literature / data / code / ...
- Get access; Get alerts / recommendations
- Read / view
- Annotate

## Outreach

- Archive/share posters
- Archive/share presentations
- Tell about research outside academia
- Researcher profiles/networks

## Analysis

- Collect, mine, extract data / experiment
- Share protocols / notebooks / workflows
- Analyze

## Publication

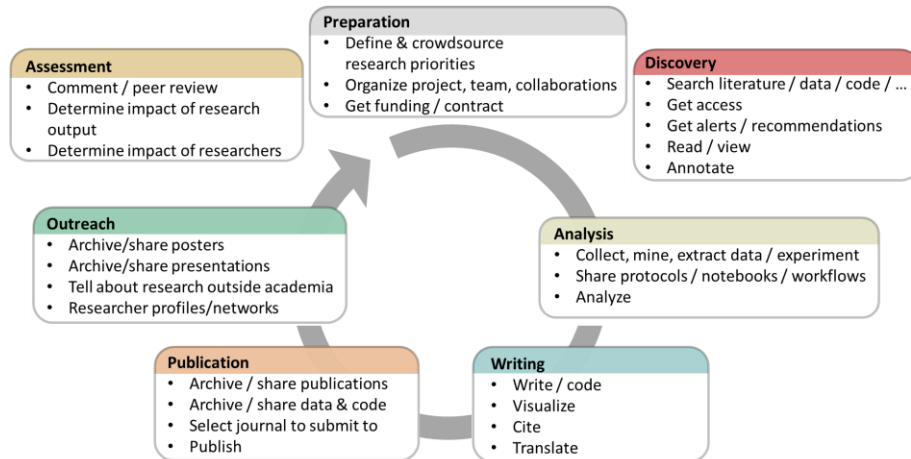
- Archive / share publications; data & code
- Select journal

## Writing

- Write / code
- Visualize
- Cite
- Translate

# Activity

Tell us about the activities that you are already doing and about one or two that you haven't done yet and would like to explore



# Opening up the research workflow

## Assessment

- Comment / peer review
- Determine impact of research output
- Determine impact of researchers

## Preparation

- Define & crowdsource research priorities
- Organize project, team, collaborations
- Get funding / contract

## Discovery

- Search literature / data / code / ...
- Get access; Get alerts / recommendations
- Read / view
- Annotate

## Outreach

- Archive/share posters
- Archive/share presentations
- Tell about research outside academia
- Researcher profiles/networks

## Analysis

- Collect, mine, extract data / experiment
- Share protocols / notebooks / workflows
- Analyze

## Publication

- Archive / share publications; data & code
- Select journal

## Writing

- Write / code
- Visualize
- Cite
- Translate





FOSTER

---

**Reproducibility**



“Mostly due to current methods capture and data malpractice, approximately 50% of all research data and experiments is considered **not reproducible**, and the vast majority (likely over 80%) of data never makes it to a trusted and sustainable repository.”

OSF | Reproducibility Project

https://osf.io/e81xl/wiki/home/

Search

☆

📁

📧


↓

🏠


S


🌱

☰

 **OSFHOME** ▼

Search Support Donate [Sign Up](#) [Sign In](#)

Reproducibility Project: Cancer Biology Files **Wiki** Analytics Registrations Forks 

 Home

Toggle view: [View](#) [Compare](#)

Menu

Project Wiki Pages

Home

Advisory Board

Core Project Team


Frequently Asked Questions (F...

Funding and Supporting Organ...

Press

Studies

Component Wiki Pages

 View

Wiki Version: (Current) Tim Errington: 2017-07-13 14:42:36+00:00 UTC ▼

## The Reproducibility Project: Cancer Biology is a collaboration between [Science Exchange](#) and the [Center for Open Science](#), and is independently replicating a subset of experimental results from a number of high-profile papers in the field of cancer biology published between 2010-2012 using the Science Exchange network of expert scientific labs.

### Replication Study Results

All published articles related to the project can be found on the [Reproducibility Project: Cancer Biology](#) collection at *eLife*. Each replication is being organized on the [Open Science Framework](#) (OSF). The OSF is a free service and is where all the experimental protocols, materials, data, analysis, and results will be made openly available to the public. Furthermore, quality assurance will be maintained with the [Registered Reports](#) format, in which peer review of proposed experimental designs and protocols will be conducted prior to data collection, in conjunction with *eLife* with the eventual results published in a Replication Study.

At this time, seven replication studies have been published:

**Replication Study: Coadministration of a Tumor-Penetrating Peptide Enhances the Efficacy of Cancer Drugs**

- View the [Replication Study results](#) published in *eLife*.
- Access the [OSF Project page](#) to view all data, methods, and materials pertaining to this Replication Study.
- View the [Registered Report](#), which contains detailed, peer-reviewed, protocols for this Replication Study.

**Replication Study: BET Bromodomain Inhibition as a Therapeutic Strategy to Target c-Myc**

- View the [Replication Study results](#) published in *eLife*.
- Access the [OSF Project page](#) which contains all data, methods, and materials pertaining to the replication of this Replication

## Science-Based Medicine

Exploring issues and controversies in the relationship between science and medicine

About SBM | Reference | Links | Recent Comments

Basic Science Cancer

# How reproducible is basic lab research in cancer biology?

Last week, a review of the reproducibility of several highly cited cancer biology papers was published. The results were mixed and demonstrate how difficult reproducing published results can be at times—and how scientists need to do better.

David Gorski on January 23, 2017

Support science-based medicine

Donate

Join The Society for SBM

Buy an e-book:

# Reproducibility

## **Method Reproducibility**

the provision of enough detail about study procedures and data so the same procedures could, in theory or in actuality, be exactly repeated.

## **Result Reproducibility (aka replicability)**

obtaining the same results from the conduct of an independent study whose procedures are as closely matched to the original experiment as possible

What does research reproducibility mean? Steven N. Goodman, Daniele Fanelli, John P.A. Ioannidis Science Translational Medicine 8 (341), 341ps12.  
[doi: 10.1126/scitranslmed.aaf5027]  
<http://stm.sciencemag.org/content/scitransmed/8/341/341ps12.full.pdf>

# Reproducibility in the research workflow

## assessment

- check (statistical) methods /reporting
- welcome replication studies

## publication

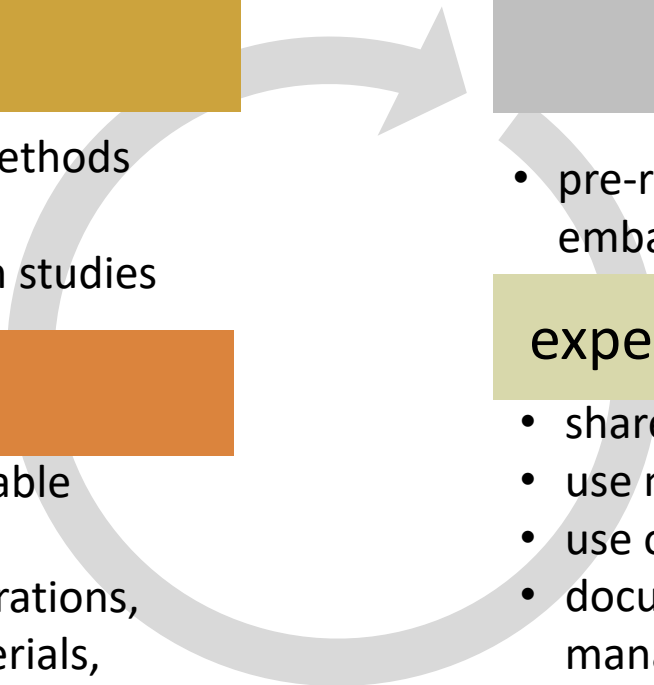
- use executable/forkable publications
- use IDs for preregistrations, data, methods, materials, contributors

## preparation

- pre-register (can be embargoed)

## experimenting / analysis

- share protocols, scripts
- use materials ids (RRIDs)
- use open hardware
- document steps, file management
- share data



[About COS](#)[Our Products](#)[Our Services](#)[Our Communities](#)[Blog](#)[Contact Us](#)[Donate to COS](#)

Help support open science today.

[Donate Now](#)

Preregistration makes your science better.



If you have a project that is entering the planning or data collection phase, we'd like you to try out a preregistration. Through our **\$1 Million Preregistration Challenge**, we're giving away \$1,000 to 1,000 researchers who preregister their projects before they publish them. It's straightforward to complete and will really enhance your research output.

[Get Started Now](#)

# Pre-registering, e.g. at OSF or AsPredicted



The screenshot shows the OSF Registries website. At the top, the logo consists of a blue flower-like icon followed by the text "OSFREGISTRIES" in blue, with "The open registries network" in smaller grey text below it. A search bar with the placeholder "Search registrations..." is present, with a count of "147,382 searchable registrations as of April 20, 2017" below it. A link "See an example" is located below the search bar. The main content area is titled "Browse Recent Registrations" with a "See more" link. It lists three recent registrations with their titles and authors.

**OSFREGISTRIES**  
The open registries network

Search registrations...

147,382 searchable registrations as of April 20, 2017

[See an example](#)

Browse Recent Registrations [See more](#)

Local conditions explain variation in plant phenology within species  
Margaret Kosmala

The Role of Framing Effects, the Dark Triad and Empathy in Predicting Behavior in a One-shot Prisoner's Dilemma  
Paul Michael Deutchman, Jess Sullivan

Promoting School Belongingness and Academic Performance: A Multisite Effectiveness Trial of a Scalable Student Mindset Intervention  
Geoffrey Borman, Jon Baron

Make it easy  
to verify your  
hypothesis  
and analysis  
plans. Prevent  
p-hacking



Open Science Framework Promoting School Belongingness and A... Files Wiki Analytics Forks Browse Support Sign Up Sign In

Manipulated
Measured
Indices
<b>Design Plan</b>
Study type
Blinding
Study design
Randomization
<b>Analysis Plan</b>
Statistical models
Transformations
Follow-up analyses
Inference criteria
Data exclusion
Missing data
Exploratory analysis

# Pre-registering, e.g. at OSF or AsPredicted



[About COS](#) ▾ [Our Products](#) ▾ [Our Services](#) ▾ [Our Communities](#) ▾ [Blog](#) [Contact Us](#) [Donate](#) [Q](#)



**Registered Reports: Peer review before results are known  
to align scientific values and practices.**

# Aspredicted.org



Create a new AsPredicted pre-registration

CREATE

See your existing AsPredicteds (e.g. approve, make public)

Your email address (used in AsPredicted)

SEE OWN

## INCREASING RESEARCH TRANSPARENCY USING THE OPEN SCIENCE FRAMEWORK

**Organisers:** Rusty Speidel - Center for Open Science

**Duration:** 1 hour

Part of the challenge with making research more open and transparent is purely logistical. Where and how can the research be stored, organized, and shared most effectively when there are so many different tools, processes and policies in place? The OSF provides an open source, structured environment where researchers from all over the world, using their own tools and processes, can collaborate openly, transparently, and effectively.

### WORKSHOP ABSTRACT

The Open Science Framework (OSF) provides free and open source project management support for researchers across the entire research lifecycle. As a collaboration tool, the OSF helps researchers work on projects privately with a limited number of collaborators and make parts of their projects public, or make all the project publicly accessible for broader dissemination. As a workflow system, the OSF enables connections to the many services researchers already use to streamline their process and increase efficiency. As a flexible repository, it can store and archive research data, protocols, and materials.

### TARGET AUDIENCE

Researchers, department chairs, societies, publishers.

### AGENDA

OSFair - programme - Mozilla Firefox

← ⓘ opensciencefair.eu/programme 🔍 Search ☆ 📁 📧 ⬇ 🏠 🌐 ☰

► OPEN SCIENCE FAIR ► Home Programme Conference Speakers Local Info Sponsoring Register

13:00	LUNCH
14:00	PARALLEL SESSION 8
	INCREASING RESEARCH TRANSPARENCY USING THE OPEN SCIENCE FRAMEWORK
	DOAJ AND OPEN ACCESS PUBLISHING: LEARN MORE AND DISCOVER OUR SERVICES
	VISUAL DISCOVERY WITH OPEN KNOWLEDGE MAPS
	OPENMINTED PLATFORM TRAINING: DISCOVER THE POWER OF TDM
15:15	CLOSING PLENARY
	Changing research practices towards reproducible research, Prof. John Ioannidis, Stanford University
16:00	REPORTING OF ALL WORKSHOPS
16:30	CLOSING

Sharing methods and materials,  
e.g. at Protocols.io or RRID



Explore protocols.io

Discover free, up-to-date research protocols and useful content in your field of interest



**Version, modify, and discuss existing protocols**

You can "clone" protocols in order to be able to modify existing protocols from other scientists. You can also ask questions and comment on step-level or on the entire protocols.

# MyExperiment – research workflow

The screenshot shows the MyExperiment website interface. At the top is a navigation bar with the 'my experiment' logo, a 'Home' button, and links for 'Users', 'Groups', 'Workflows', 'Files', and 'Packs'. There is a search bar with a 'Go' button and a 'Log in' link. Below the navigation bar is a breadcrumb trail showing 'Home'. The main content area is divided into three columns. The left column has sections for 'My News' with a 'Log in to view your News' link, and 'Activity' which lists recent workflows by Barbara Zdrazil. The middle column is empty. The right column has sections for 'Announcements' with a post about SSL, and 'Content Stats' showing 10532 members, 394 groups, 3860 workflows, 1225 files, and 472 packs.

**my experiment** Home Users Groups Workflows Files Packs Search Go Log in

Home

### My News

[Log in to view your News](#)

### Activity

- Workflows for scaffold trend analyses by **Barbara Zdrazil** (about one day ago)
- KNIME workflows from Zdrazil et al, MedChemComm, 2016: "From linked open data to molecular interaction: studying selectivity trends for ligands of the human serotonin and dopamine transporter" by **Barbara Zdrazil** (about one day ago)
- Scaffolds\_trends\_workflow\_1 by **Barbara Zdrazil** (about one day ago)
- Scaffolds trends workflow 2 by **Barbara Zdrazil** (about one day ago)

### Announcements

**SSL on myExperiment**  
5 months ago by Finn Bacall

### Content Stats

- 10532 members
- 394 groups
- 3860 workflows
- 1225 files
- 472 packs

# sharing notebooks e.g. at ONSNetwork or OSF

## Computing – Oly BGI GBS Reproducibility; fail?

OK, so things have improved since [the last attempt at getting this BGI script to run](#) and demultiplex the raw data.

I played around with the index.lst file format (based on the error I received last time, it seemed like a good possibility that the file formatting was incorrect) and actually got the script to run to completion! Granted, it took over 16hrs (!!), but it completed!

See the Jupyter notebook link below.

### Results:

Well, although the script finished and kicked out all the demultiplexed FASTQ files, the contents of the FASTQ files don't match (the read counts differ between these results and the BGI files) the original set of demultiplexed files. I'm not entirely sure if this is to be expected or not, since the script allows for a single nucleotide mismatch when demultiplexing. Is it possible that the mismatch could be interpreted slightly differently each time this is run? I'm not certain.

Get feedback from  
peers, help form  
your thoughts, feel  
less alone while  
doing the analyses.  
Spot mistakes early  
on.



# Open Notebook Science Network

## Open Notebook Science Network

---

**HOME**

NETWORK ACTIVITY

WHAT IS OPEN NOTEBOOK SCIENCE?

#SCIFUND UNIVERSITY

ABOUT ONS NETWORK

---

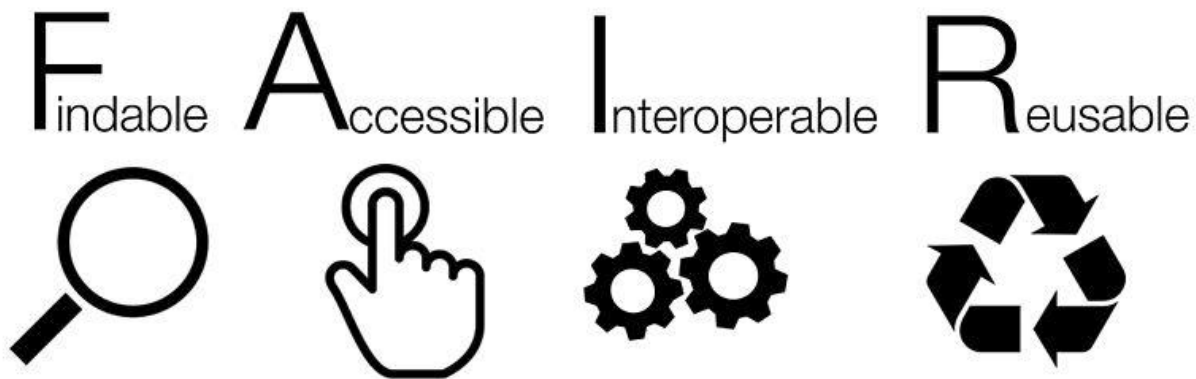
Welcome! to a network of open science notebooks. Questions? tweet us at [@ONScience](https://twitter.com/ONScience).

# Sharing data, e.g. at Dryad, Figshare or Zenodo



A composite image showing the Dryad and Zenodo websites. The top part is the Dryad website, featuring a green logo with a tree-like structure and the text "DataDryad.org is a curated general-purpose repository that makes the data underlying scientific publications discoverable, freely reusable, and citable. Dryad has integrated data submission for a growing list of journals; submit your data, and we'll take care of the rest. Welcome to Dryad." It also has a green button that says "Submit data now" and a link "How and why?". Below this is a Zenodo banner with a dark blue background and a white box containing the text "store, share, discover research" and "get more citations for all of the outputs of your academic research over 5000 citations of figshare content to date". The Zenodo logo is in white, and there is a search bar and "Upload" and "Communities" links.

Sharing research data,  
e.g. at Dryad, Figshare or Zenodo



# Sharing code e.g. at GitHub with GNU OR MIT license

harthur-org / brain.js

Watch 63 Star 787 Fork 83

Code Issues 19 Pull requests 0 Projects 0 Wiki Insights

Neural networks in JavaScript <http://brainjs.com>

neural-network brain recurrent-neural-networks easy-to-use api web nodejs browser convolutional-neural-networks node stream

330 commits 13 branches 0 releases 22 contributors MIT

Branch: master New pull request Create new file Upload files Find file Clone or download

robertleeplummerjr committed on GitHub Merge pull request #70 from abhisheksoni27/speed/unique	Latest commit b3bbbbc 2 days ago
dist	rename "Vocab" to "DataFormatter" 3 months ago
examples/cli	Fixes #40 and preps for release of 1.0.0 5 months ago
src	Speed 🚀 using Set when removing duplicate elements from array 5 days ago
test	array check and tests 5 days ago

Get people  
to check,  
contribute  
to and use  
and build  
on your  
code

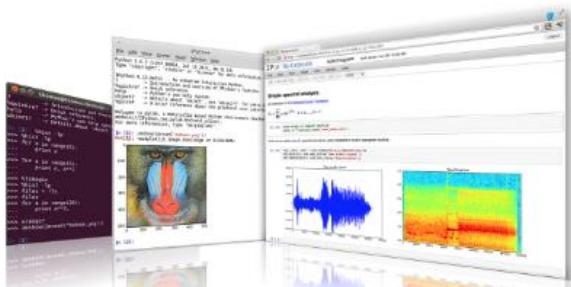
# IPython - notebook

## IP[y]: IPython Interactive Computing

[Install](#) · [Documentation](#) · [Project](#) · [Jupyter](#) · [News](#) · [Cite](#) · [Donate](#) · [Books](#)

IPython provides a rich architecture for interactive computing with:

- A powerful interactive shell.
- A kernel for [Jupyter](#).
- Support for interactive data visualization and use of [GUI toolkits](#).
- Flexible, [embeddable](#) interpreters to load into your own projects.
- Easy to use, high performance tools for [parallel computing](#).



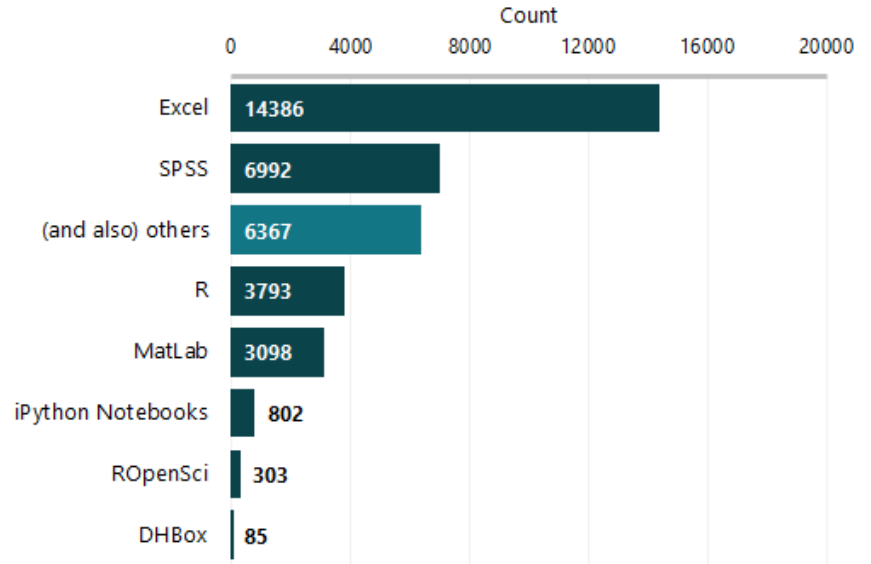
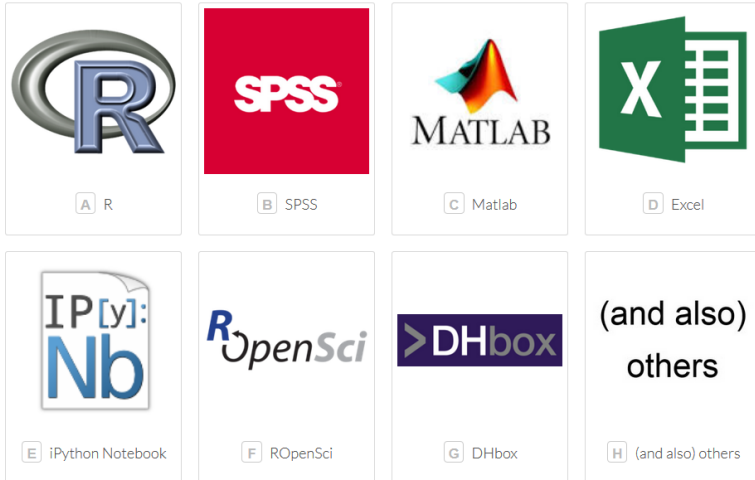


What do researchers use ?

# Analyze

What tools/sites do you use to analyze data / texts etc.?

Choose as many as you like

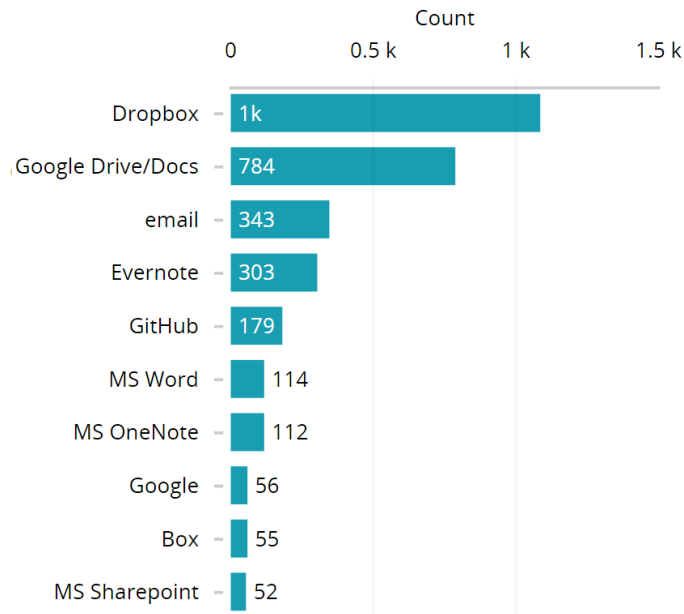
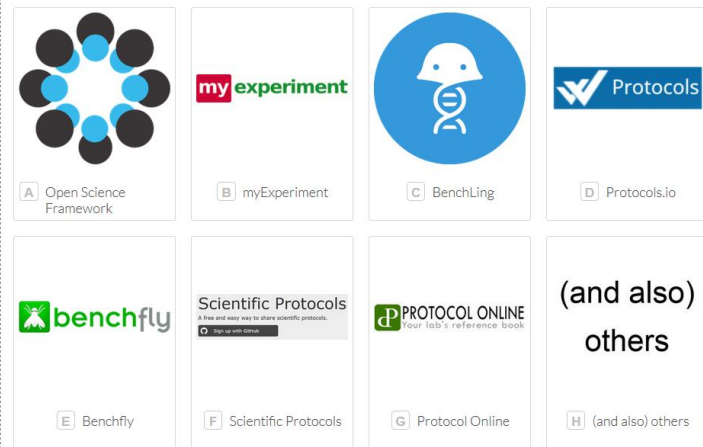




# Share notebooks / protocols

What tools/sites do you use to share notebooks / protocols / workflows?

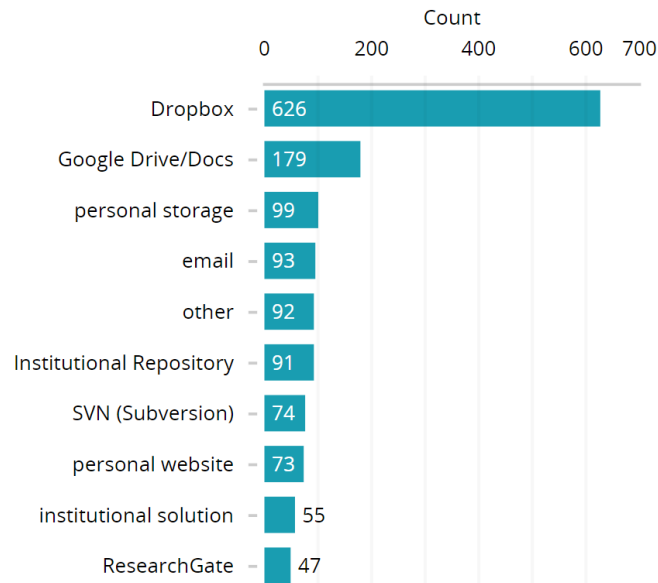
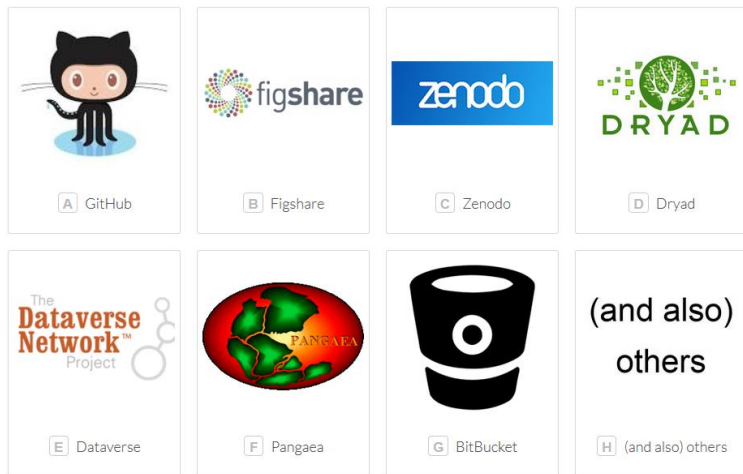
Choose as many as you like



# Archive / share data & code

What tools/sites do you use to archive/share data & code?

Choose as many as you like



# Open Peer Review

## PEER REVIEW AT THE CROSSROADS

**Organisers:** Edit Görögh, Birgit Schmidt, Tony Ross-Hellauer - Ugottingen

**Duration:** 1.5 hours

The workshop will present and discuss tools and methods related to open and alternative peer review.

### WORKSHOP ABSTRACT

The workshop builds on the results of the OpenUp landscape scan and the OpenAIRE report on open peer review. The workshop has multiple purposes including (1) assessing existing and evolving methods and functions of alternative peer review mechanisms, (2) breaking down peer review into the basic processes to identify the benefits and challenges, and (3) identifying questions and issues that need further investigation.

Group discussions will also touch upon issues such as the sustainability, long-term availability of alternative review tools, and their uptake by researchers, and the incorporation of these methods into institutional, national, funders' and publishers' policies.

OpenUP and OpenAIRE are dedicated to engage with different (disciplinary, inter-disciplinary) research communities from the social sciences, life sciences, energy, arts and humanities to identify the requirements from the emerging trends as posed by Open Science and e-infrastructural interconnected environments. Both projects aim at developing a sustainable framework that is relevant for and responsive to the Open Science needs.

External speakers from the research, publisher and infra communities will ensure a broad range of perspectives.

### KEYWORDS

Peer review, open/alternative peer review tools and methods, Open Science, Framework



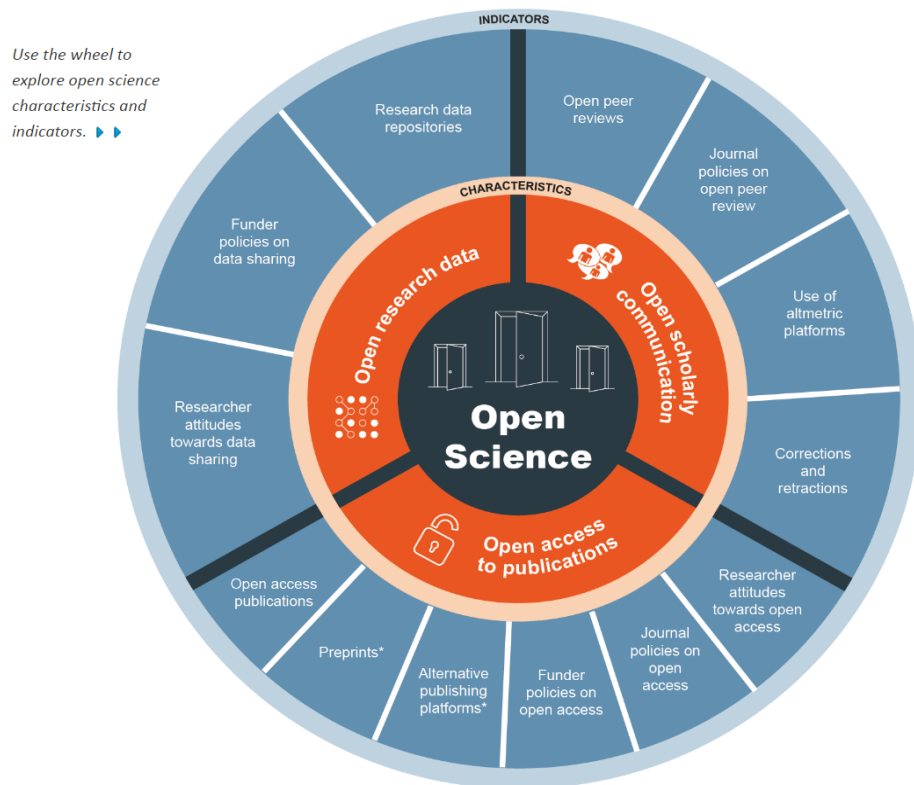
# Disciplinary variety and Open Science

	ARTS & HUMANITIES	SOCIAL SCIENCE	LIFE/HEALTH	PHYSICAL SCIENCES
Research types	often exploratory research	often confirmatory research	often confirmatory research	often confirmatory research?
Data	often textual data	also qualitative data, sometimes sensitive data	sensitive patient data / big datasets	big datasets
Publ. Types	books, chapters, articles	mostly articles and chapters	mostly articles, (syst.) reviews	preprints, conf papers, articles
Collaboration	typically 1	typically 1-4	typically 3-10	typically 3-many
Languages	native language & some English	English, some native languages	English	English
Funding	small scale funding	small & medium scale funding	large scale funding	large scale funding
Review	double blind	double + single blind	single blind	single blind

# Research characteristics and Open Science options/issues

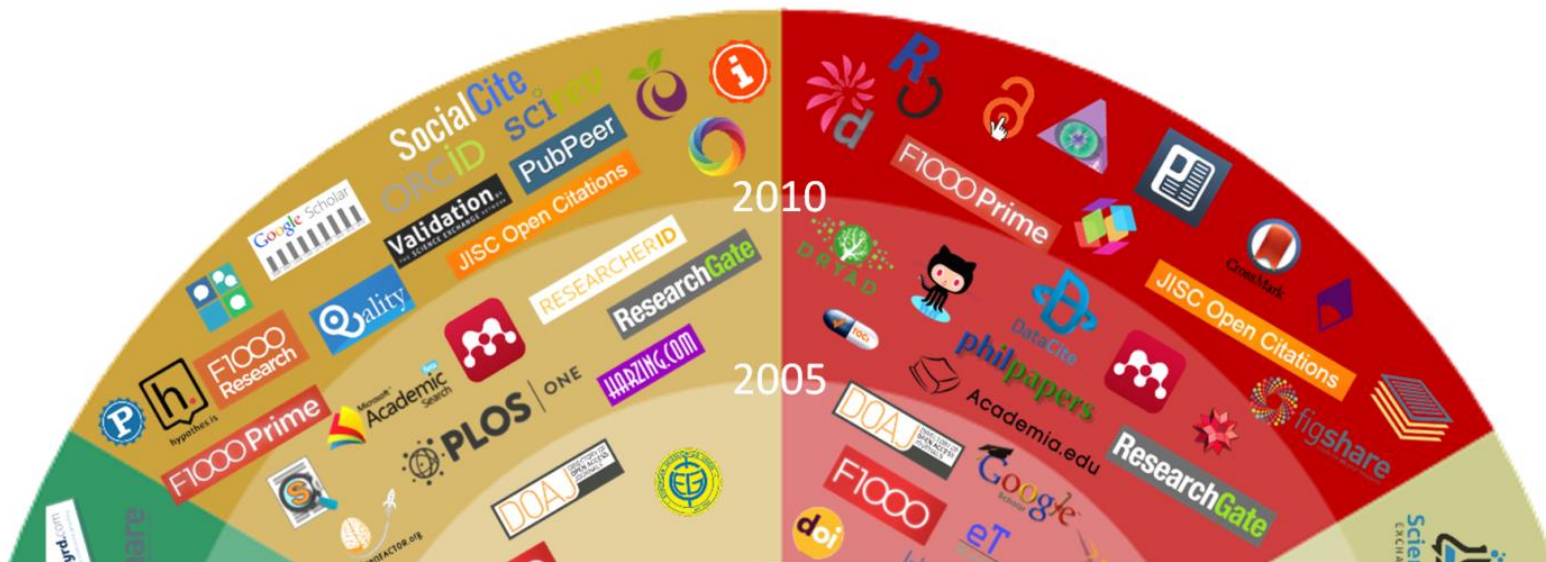
Characteristic	Open Science options/issues
Research types	Preregistration different for exploratory research
Data	Costs of archiving large datasets / conderations of anonimity/sensitiveness / Patentable code/outcomes
Publ. Types	Limited OA Book options / Book publishers small and many / Preprints
Collaboration	Reaching agreements with co-authors
Languages	Not all languages always accepted / Non-native English researcherds at disadvantage
Funding	Large projects have funding but may 'dictate' way of archiving/publishing/communicating
Review	Closed and blind variants of peer review are deeply rooted

# Open Science monitor (European Union)



<http://ec.europa.eu/research/openscience/index.cfm?pg=home&section=monitor>





**With thanks to Bianca Kramer & Jeroen Bosman, Utrecht University Library**

**for re-using their slides presented at Open Access, Open Data, Open Science EIFL Train-the-trainer programme**

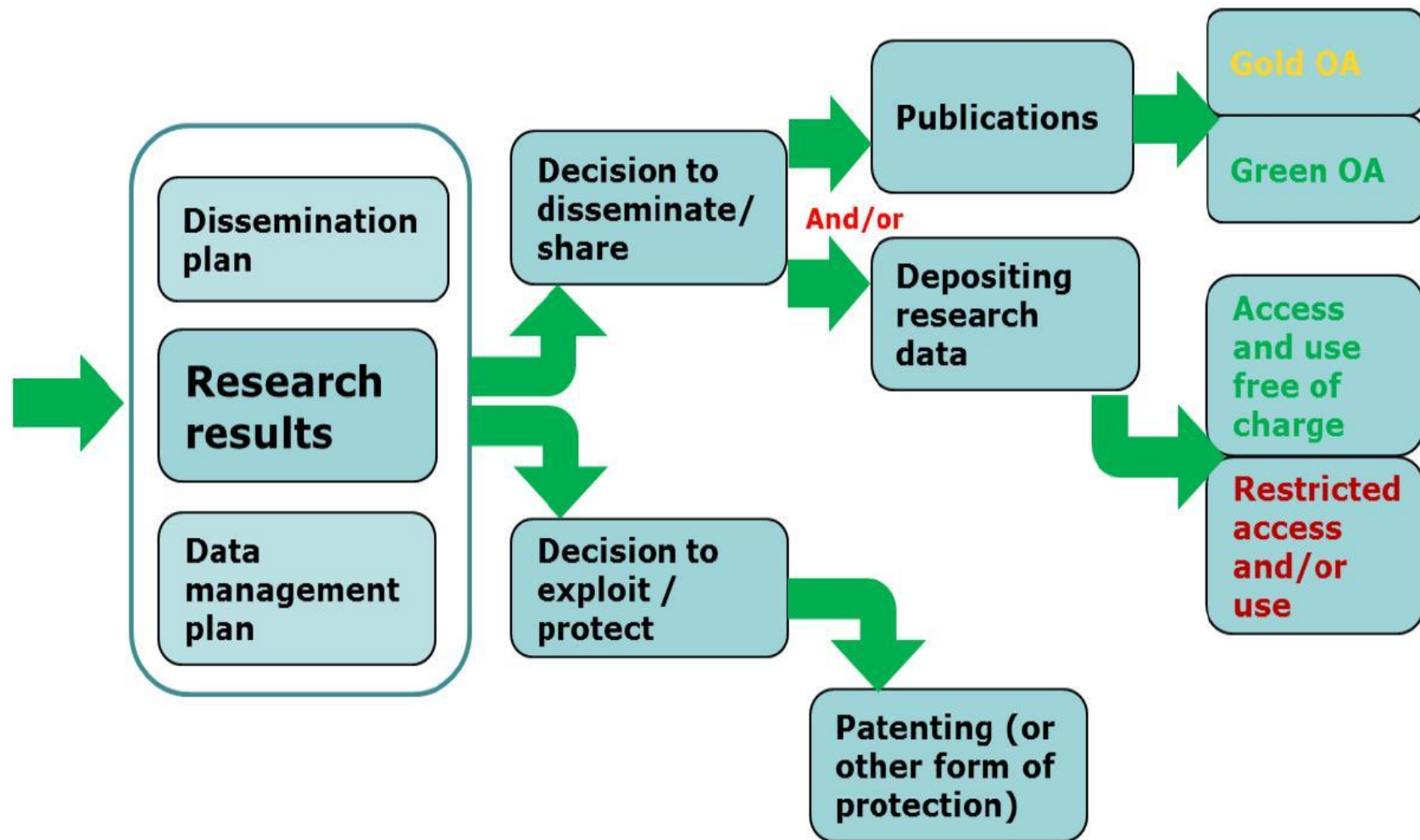


(except logos)



@MsPhelps  
@jeroenbosman

# R e s e a r c h





FOSTER

---

**Open Access to  
publications**



# Why Open Research?

Advance your career by sharing your work.

Explore



...hello...?

...anybody...?

# OA publishing: Costs

Find a no-cost open access (OA) journal -  
about 65% of fully OA journals do not  
charge for publishing an article

## OA publishing: Costs (2)

Notable examples of OA journals that do not currently charge fees include:



Open Library of Humanities



ROYAL SOCIETY  
OPEN SCIENCE



## OA publishing: Costs (3)

It's important to note that researchers in *any* country can request a fee waiver if unable to pay



# Examples of publisher fee waiver policies (non-exhaustive list)

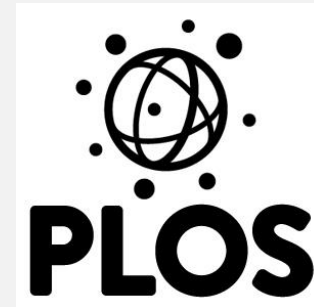


BioMed Central's open access waiver fund

Hindawi's waiver policy



PLOS's  
Global Participation Initiative



# Take back control

“Know your rights. Keep your rights. You should decide how your work is used.”

“Many subscription publishers require authors to sign a copyright transfer agreement. Sign this and you no longer own your work, the publisher does. The publisher decides who can read, share, and reuse the content. Do you think you should have to ask the publisher for permission to reuse your own work? No? Then take back control.”

# Negotiate to keep your rights

“You can negotiate the terms of your publishing agreement. Want to retain your copyright? Want the right to post a free copy in an open repository? Want to reuse the content? Simply ask. Submit an author addendum that describes the rights you want to retain. SPARC provides a [template addendum](#) [pdf]. More info is available [here](#).”

## Negotiate to keep your rights (2)

The Scholar's Copyright Addendum Engine can help you generate a customized author addendum.

Not all publishers will accept author addenda, but some will. And it never hurts to ask...



**Jimmy O'Dea**  
@jimmyodea



 Follow

Paper accepted with @SPARC\_NA author addendum. Public funded, public access. #openaccess  
[sparc.arl.org/resources/auth...](http://sparc.arl.org/resources/auth...)

## Consider publishing in an OA journal

“Instead of negotiating with a subscription publisher, you can go with an OA publisher and keep all your rights.

OA publishers do not require a copyright transfer agreement; authors retain copyright.”

## Consider publishing in an OA journal (2)

“Articles are most often distributed under a Creative Commons Attribution (CC BY) license, which allows anyone to read, share, and reuse the content provided they attribute the original source. Creative Commons also has other licenses, depending on the types of reuse rights you as the copyright holder want to grant users of your work. Don't know which license to choose? This simple license selector can help.”



**"My research behind  
the pay wall is of  
no use"**

- Prateek Mahalwar, PhD  
Candidate at the Max  
Planck Institute for  
Developmental Biology



**open access week**



**"Access to information is a human right, but it is often treated as a privilege. This has to change—and it will take all of us to make it happen."**

- Erin McKiernan, physiologist, neuroscientist, and open access advocate



**open access week**



# How to find a suitable OA journal?

## 1. Browse the Directory of Open Access Journals

The [DOAJ](#) is the foremost trusted listing of over 9,914 registered OA journals. Searches by subject, article processing charges, journal license, publisher, country of publisher, and full text language, type of peer review (blind, double-blind, open, etc.) are available.

# How to find a suitable OA journal? (2)

## 2. Use the CoFactor Journal Selector Tool

The Cofactor Journal Selector Tool is not exclusive to OA journals, but allows authors to filter by several options, including whether the journal is fully open, or has an open publishing option (hybrid journal).



# How to find a suitable OA journal? (3)

3. Talk to your mentors, librarians, and colleagues who focus on scholarly communication issues in your field

Scholars from many disciplines are seeking to promote the development and growth of rigorous OA publishing options in their fields.

Erin McKiernan | The Guar...

www.theguardian.com/profile/erin-mckiernan

Erin McKiernan

sign in

search

jobs more UK edition

the guardian

Winner of the Pulitzer prize

home

UK

world

sport

football

opinion

culture

economy


lifestyle

fashion

all

**Erin McKiernan**

Erin McKiernan is a researcher in experimental and computational neuroscience, and an advocate for open access, open data, and open science. You can follow her on Twitter [@emckiernan13](#)




August 2014

**Early career researchers / University research: if you believe in openness, stand up for it**

Publishing openly provides greater exposure, boosts prospects and can lead to more citations, says **Erin McKiernan**

22 Aug 2014 34



Make a list of OA publication options in your particular field. Chances are you will be surprised by the range of possibilities

Erin McKiernan

Discuss access issues with  
your collaborators up front,  
before the research is done  
and the articles written.

Erin McKiernan

If for some reason you do publish a closed-access article, remember that you can self-archive a copy of your article in a disciplinary or institutional or shared repository.

Erin McKiernan

## Publish where you want

Publish in open journals, or in the journal of your choice and archive a free copy.

Congratulations! Your paper was  
accepted by the  
Yak Breeders Journal! !





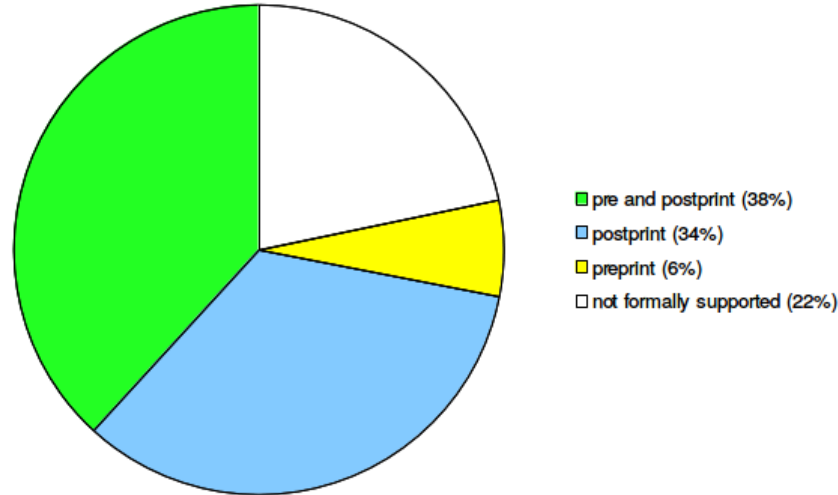
# Publish where you want

“You don’t have to sacrifice quality or academic freedom to publish openly.

There are many high-quality OA journals. But if you can't find what you deem a suitable OA venue, it's important to remember that open publishing is not restricted to these journals. You can publish in any journal you like and make a copy of your manuscript available (self-archive) in an OA repository.”



~78% of publishers allow authors to openly archive a version of their published manuscript: Breakdown of archiving policies from over 2,100 publishers. Source: Data from [SHERPA/RoMEO](#). Accessed October 2015 and plotted by E.C. McKiernan (CC BY)





... opening access to research

Home • Search • Journals • Publishers • FAQ • Suggest • About

## Publisher copyright policies & self-archiving

English | [Español](#) | [Magyar](#) | [Nederlands](#) | [Português](#)

### Search

☒ Journal titles or ISSNs ☐ Publisher names

☒ Exact title ☐ starts with ☐ contains ☐ ISSN

[Advanced Search](#)

Search

Reset

*Use this site to find a summary of permissions that are normally given as part of each publisher's copyright transfer agreement.*

### Special RoMEO Pages

- [RoMEO Statistics](#)
- [Application Programmers' Interface](#) (API)
- [Publisher Categories in RoMEO](#)
- [Definitions and Terms](#)

### Additions and Updates

[RSS1 Feed](#)

- [Bergen Open Access Publishing](#) - Bergen Open Access Publishing - 18-Aug-2017
- [Classical Association of the Middle West and South](#) - Classical Association of the Middle West and South - 18-Aug-2017
- [International Medical Society](#) - International Medical Society - 18-Aug-2017

### Other SHERPA Services

- [SHERPA/FACT](#) - Funders & Authors Compliance Tool
- [SHERPA/JULIET](#) - Research funders' open access policies





... opening access to research

[Home](#) • [Search](#) • [Journals](#) • [Publishers](#) • [FAQ](#) • [Suggest](#) • [About](#)

## Publisher copyright policies & self-archiving

[English](#) | [Español](#) | [Magyar](#) | [Nederlands](#) | [Português](#)

### Search

☒ **Journal titles or ISSNs** ☐ **Publisher names**

Applied Physics A

☒ **Exact title** ☐ **starts with** ☐ **contains** ☐ **ISSN**

[Advanced Search](#)

*Use this site to find a summary of permissions that are normally given as part of each publisher's copyright transfer agreement.*

### Special RoMEO Pages

- [RoMEO Statistics](#)
- [Application Programmers' Interface](#) (API)
- [Publisher Categories in RoMEO](#)
- [Definitions and Terms](#)

### Additions and Updates

 [RSS1 Feed](#)

- [The Pontifical University of John Paul II in Krakow Press](#)  
- The Pontifical University of John Paul II in Krakow Press - 05-May-2017
- [Cosmos Scholars Publishing House](#) - Cosmos Scholars Publishing House - 05-May-2017
- [Acarologia](#) - Acarologia - 05-May-2017

### Other SHERPA Services

- [SHERPA/FACT](#) - Funders & Authors Compliance Tool
- [SHERPA/JULIET](#) - Research funders' open access policies





SHERPA/RoMEO

... opening access to research

[Home](#) • [Search](#) • [Journals](#) • [Publishers](#) • [FAQ](#) • [Suggest](#) • [About](#)

## Search - Publisher copyright policies & self-archiving

[English](#) | [Español](#) | [Magyar](#) | [Nederlands](#) | [Português](#)

One journal found when searched for: **applied physics a**

<b>Journal:</b>	<a href="#">Applied Physics A</a> (ISSN: 0947-8396, ESSN: 1432-0630)
<b>RoMEO:</b>	This is a <a href="#">RoMEO green</a> journal
<b>Paid OA:</b>	A paid open access option is <b>available</b> for this journal.
<b>Author's Pre-print:</b>	✓ author <b>can</b> archive pre-print (ie pre-refereeing)
<b>Author's Post-print:</b>	✓ author <b>can</b> archive post-print (ie final draft post-refereeing)
<b>Publisher's Version/PDF:</b>	✗ author <b>cannot</b> archive publisher's version/PDF
<b>General Conditions:</b>	<ul style="list-style-type: none"> <li>• Author's pre-print on pre-print servers such as arXiv.org</li> <li>• Author's post-print on author's personal website immediately</li> <li>• Author's post-print on any open access repository after 12 months after publication</li> <li>• Publisher's version/PDF cannot be used</li> <li>• Published source must be acknowledged</li> <li>• Must link to publisher version</li> <li>• Set phrase to accompany link to published version (see policy)</li> <li>• Articles in some journals can be made Open Access on payment of additional charge</li> </ul>
<b>Mandated OA:</b>	(Awaiting information)
<b>Paid Open Access:</b>	<a href="#">Open Choice</a>
<b>Notes:</b>	<ul style="list-style-type: none"> <li>• Publisher last reviewed on 26/07/2016</li> </ul>
<b>Copyright:</b>	<a href="#">Self-archiving policy</a> - <a href="#">Authors Rights</a> - <a href="#">Funder Compliance</a>
<b>Updated:</b>	16-May-2014 - <a href="#">Suggest an update for this record</a>
<b>Link to this page:</b>	<a href="http://sherpa.ac.uk/romeo/issn/0947-8396/">http://sherpa.ac.uk/romeo/issn/0947-8396/</a>
<b>Published by:</b>	<a href="#">Springer Verlag</a> (Germany) - <a href="#">Green Policies in RoMEO</a>

This summary is for the journal's *default* policies, and changes or exceptions can often be negotiated by authors.

# Preprint and postprint versions

Preprints are all the versions of an academic article or other publication before it has been submitted for peer review, while the postprint is the form of the article after all the peer review changes are in place.

# Repositories – institutional & disciplinary

*OpenDOAR*

**The Directory of Open Access Repositories**

<http://opendoar.org/>



Disciplinary repositories

<http://oad.simmons.edu>

**Registry of Open Access Repositories**

<http://roar.eprints.org/>

## Recent uploads

September 1, 2017 (v20) Software Open Access

### matplotlib/matplotlib v2.1.0rc1

Michael Droettboom; Thomas A Caswell; John Hunter; Eric Firing; Jens Hedegaard Nielsen; Nelle Varoquaux; Benjamin Root; Elliott Sales de Andrade; Phil Elson; Darren Dale; Jae-Joon Lee; Jouni K. Seppänen; Antony Lee; Ryan May; Damon McDougall; David Stansby; Andrew Straw; Paul Hobson; Tony S Yu; Eric Ma; Christoph Gohlke; Steven Silvester; Charlie Moad; Adrien F. Vincent; Jan Schulz; Peter Würtz; Federico Ariza; Cimarron; Thomas Hisch; Nikita Kniazev

matplotlib: plotting with Python

Uploaded on September 1, 2017

19 more version(s) exist for this record

View

Zenodo now supports DOI versioning!

[Read more](#) about it, in our newest blog post.



Using GitHub?

Just [Log in](#) with your GitHub account and [click here](#) to start preserving your repositories.



August 30, 2017 (v1) Working paper Open Access

### Introducing Parsl: A Python Parallel Scripting Library

Babuji, Yadu; Brizius, Alison; Chard, Kyle; Foster, Ian; Katz, Daniel S.; Wilde, Michael; Wozniak, Justin

Researchers frequently rely on large-scale and domain-specific workflows to conduct their science. These workflows may integrate a variety of independent software functions and external applications. However, developing and executing such workflows can be difficult, requiring complex...

Uploaded on August 30, 2017

View

## Zenodo in a nutshell

- **Research. Shared.** — all research outputs from across all fields of research are welcome! Sciences and Humanities, really!
- **Citeable. Discoverable.** — uploads gets a Digital Object Identifier (DOI) to make them easily and uniquely citeable.
- **Communities** — create and curate your own community for a workshop, project, department, journal, into which you can accept or reject uploads. Your own complete digital repository!
- **Funding** — identify grants, integrated in reporting lines for research funded by the European Commission via OpenAIRE.
- **Flexible licensing** — because not everything is under Creative Commons.
- **Safe** — your research output is stored safely for the future in the same cloud infrastructure as CERN's own LHC research data.

Read more about Zenodo and its [features](#).

August 24, 2017 (v2) Dataset Open Access

### Aligned ISNI and Ringgold identifiers for institutions

Delpuech, Antonin

This dataset provides a correspondence between ISNI and Ringgold identifiers, by combining two datasets: Open ISNI for Institutions, available at <http://isni.ringgold.com/>, which provides metadata for institutions identified by ISNI. The dataset of institutions used by ORCID for disambiguation,...

Uploaded on August 24, 2017

1 more version(s) exist for this record

View




OSF Preprints

https://osf.io/preprints/


Search

☆ | 📁 | 📧 | ⬇️ | 🏠 | 🌐 | 🔒 | ☰

 Open Science Framework

Browse ▾ Support [Sign Up](#) [Sign In](#)

OSF Preprints [Add a preprint](#) [Search](#)

 **OSF PREPRINTS**

The open preprint repository network

Search

2,035,864 searchable preprints as of June 23, 2017

or

[Add a preprint](#)

[See an example](#)

Browse by subject

Architecture

Business

Engineering

Life Sciences

Arts and Humanities

Education

Law

Medicine and Health Sciences

» A social networking site is not an open access repository Office of Scholarly Communication - Opera

oscniversityofcalifornia.edu/2015/12/a-social-networking-site-is-not-an-open-access-repository/

Home

UC Open Access Policies

Scholarly Publishing

Publishing Tools

News and Archives

About OSC

Campus Resources

OFFICE OF SCHOLARLY COMMUNICATION  
UNIVERSITY OF CALIFORNIA

[Comments? Feedback?](#)

HOME » [FEATURES](#) » A SOCIAL NETWORKING SITE IS NOT AN OPEN ACCESS REPOSITORY

A social networking site is not an open access repository

Home

UC Open Access Policies

Deposit Your Work

Get a Waiver/Embargo

Implementation Plan

Policy FAQ

Policy History

Publishers Contacted

Read the Policies

Presidential OA Policy

Systemwide Senate

UCSF Senate

OA Policy Contacts

Get the Word Out!

Scholarly Publishing

Copyright & Licensing

**“What’s the difference between ResearchGate, Academia.edu, and the institutional repository?”**

**“I put my papers in ResearchGate, is that enough for the open access policy?”**

These and similar questions have been common at open access events over the past couple of years. Authors want to better understand the differences between these platforms and when they should use one, the other, or some combination.

First, a brief primer on what each service has to offer:

**ResearchGate and Academia.edu**

ResearchGate and Academia.edu are social networking platforms whose primary aim is to connect researchers with common interests. Users create profiles on these services, and are then encouraged to list their publications and other scholarly activities, upload copies of manuscripts they’ve authored, and build connections with scholars they work or co-author with. Essentially

UC

OPEN  
ACCESS  
POLICIES

Learn more ▶

DEPOSIT   WAIVER   FAQ

UC

PUBLISHING  
TOOLS

 eScholarship  
University of California

 dash

	Open access repositories	Academia.edu	ResearchGate
Supports export or harvesting	Yes	No	No
Long-term preservation	Yes	No	No
Business model	Nonprofit (usually)	Commercial. Sells job posting services, hopes to sell data	Commercial. Sells ads, job posting services
Sends you lots of emails (by default)	No	Yes	Yes
Wants your address book	No	Yes	Yes
Fulfills requirements of UC's OA policies	Yes	No	No

# Get more funding

Meet funder requirements and qualify for special scholarships and grants.



# Get that promotion

Open research is becoming increasingly recognized in promotion and tenure.







# FOSTER

---

With thanks to Erin C. McKiernan, Twitter:  
@emckiernan13, and John McKiernan for re-using the  
content and visuals from the Why Open Research  
website <http://whyopenresearch.org>



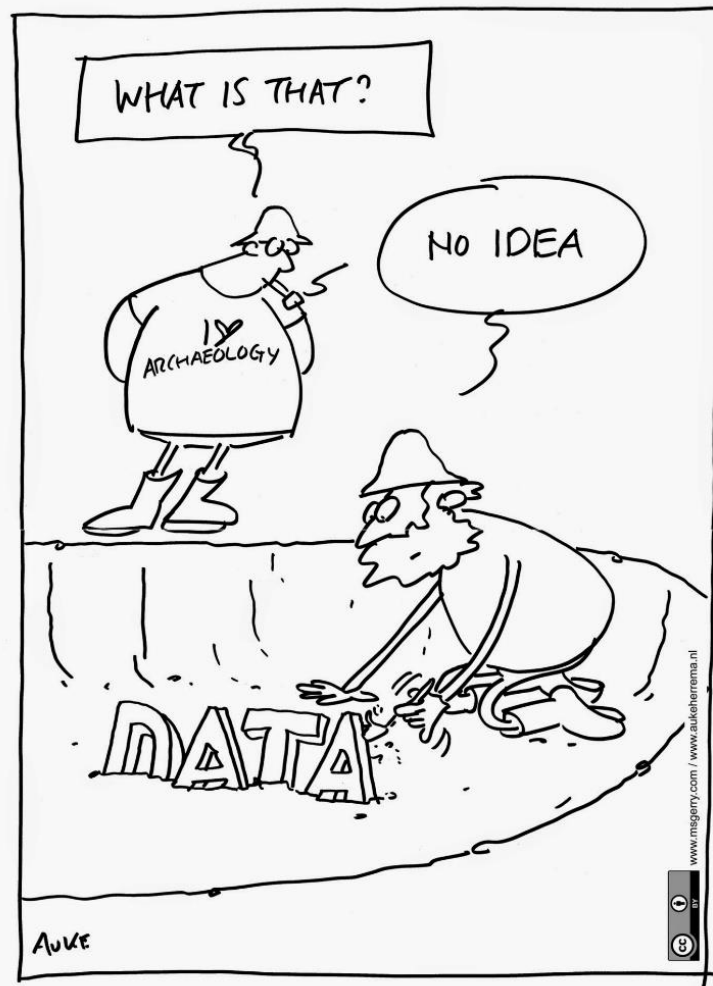


FOSTER

---

**Open Access to  
Research Data**





DATA FOR FUTURE GENERATIONS



**“If we wait 5 years for (Arctic) data to be released,  
the Arctic is going to be a very different place”**

Parsons, Arctic Research Scientist

Source: <http://www.nature.com/nature/journal/v461/n7261/index.html>

# Open Science is now a requirement



EUROPEAN COMMISSION  
Directorate-General for Research & Innovation

## **Guidelines on Open Access to Scientific Publications and Research Data in Horizon 2020**

Version 2.1  
15 February 2016

### Research results:

*“each beneficiary must ensure open access to all peer-reviewed scientific publications”* (page 4)

### Research data:

*“A new feature of Horizon 2020 is the Open Research Data Pilot (ORD Pilot), designed to improve and maximise access to and reuse of research data generated by projects... The Pilot on Open Research Data will be monitored throughout Horizon 2020 with a view to further developing Commission policy on open research.”* (page 7)

# Funders recognise it

## OPEN RESEARCH FUNDERS GROUP



The screenshot shows the official website of the European Commission's Research & Innovation Open Science initiative. The header features the European Commission logo and the text 'RESEARCH & INNOVATION' and 'Open Science'. A navigation bar includes links to 'Home', 'Open Access', 'European Open Science Cloud', 'Open Science Policy Platform', and 'Expert Group on Altmetrics'. Below this is a section titled 'Open Science Monitor'. The main content area has a heading 'Open Science' followed by a sub-heading 'New High Level Expert Group on EOSC launched'. A sidebar on the right contains the text 'A Vision for Europe' and 'Open Innovation'.

European Commission

RESEARCH & INNOVATION

Open Science

European Commission > Research & Innovation > Open Science

Home Open Access European Open Science Cloud Open Science Policy Platform Expert Group on Altmetrics

Open Science Monitor

Open Science

New High Level Expert Group on EOSC launched

A Vision for Europe  
- Open Innovation

re Home | re3data.org




133% Search

Search Browse ▾ Suggest Resources ▾ Contact DataCite

# re3data.org

REGISTRY OF RESEARCH DATA REPOSITORIES

Search... Search

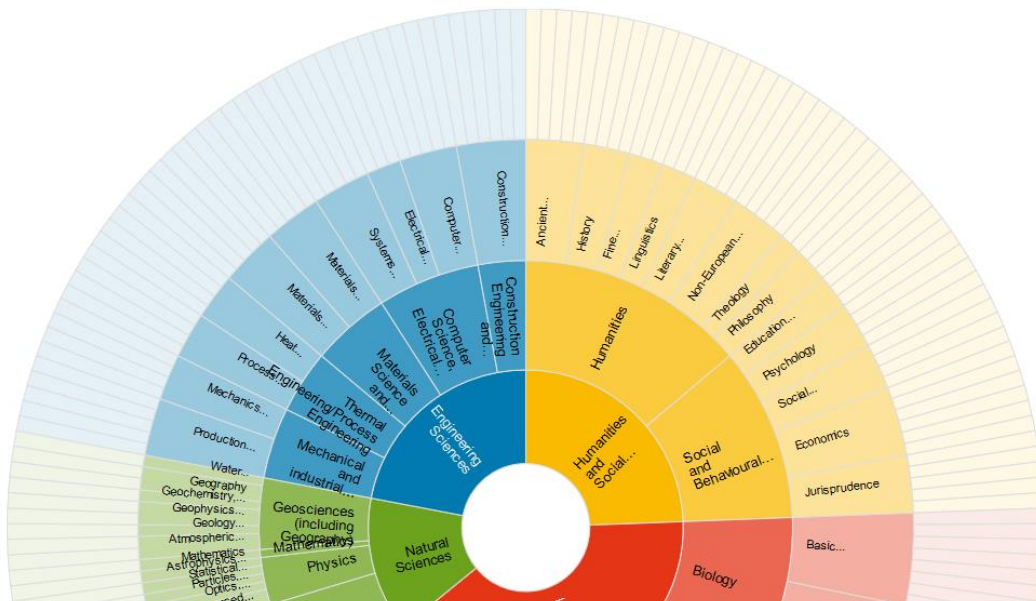


# Browse by subject

Graphical

Text

click to zoom into subjects or to select a bottommost subject in the hierarchy as filter for the re3data search page  
ctrl + click on a top subject to select it as filter



# Browse by subject

[Graphical](#)[Text](#)

## A. Humanities and Social Sciences

### a. Humanities

#### I. Ancient Cultures

1. Prehistory
2. Classical Philology
3. Ancient History
4. Classical Archaeology
5. Egyptology and Ancient Near Eastern Studies

#### II. History

1. Medieval History
2. Early Modern History
3. Modern and Current History
4. History of Science

#### III. Fine Arts, Music, Theatre and Media Studies

1. Art History
2. Musicology
3. Theatre and Media Studies

#### IV. Linguistics

1. General and Applied Linguistics
2. Individual Linguistics
3. Typology, Non-European Languages, Historical Linguistics

#### V. Literary Studies

1. Medieval German Literature
2. Modern German Literature
3. European and American Literature
4. General and Comparative Literature and Cultural Studies

## Browse by content type

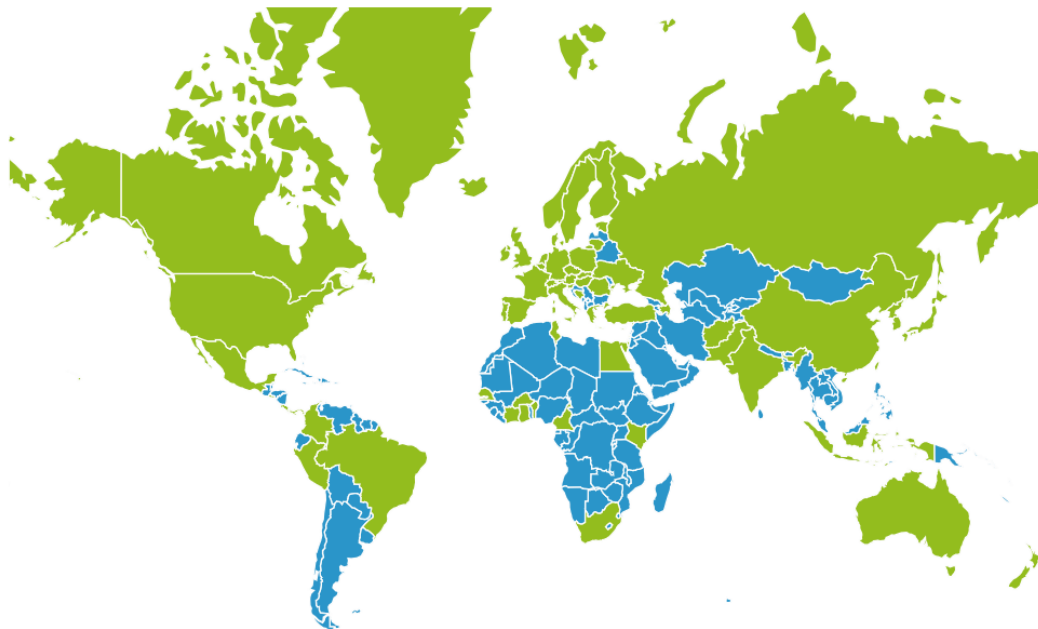
[Archived data](#)  
[Audiovisual data](#)  
[Configuration data](#)  
[Databases](#)  
[Images](#)  
[Networkbased data](#)  
[Plain text](#)  
[Raw data](#)  
[Scientific and statistical data formats](#)  
[Software applications](#)  
[Source code](#)  
[Standard office documents](#)  
[Structured graphics](#)  
[Structured text](#)  
[other](#)



## Browse by country

Graphical

Text



# Browse by country

[Graphical](#)[Text](#)[International](#)

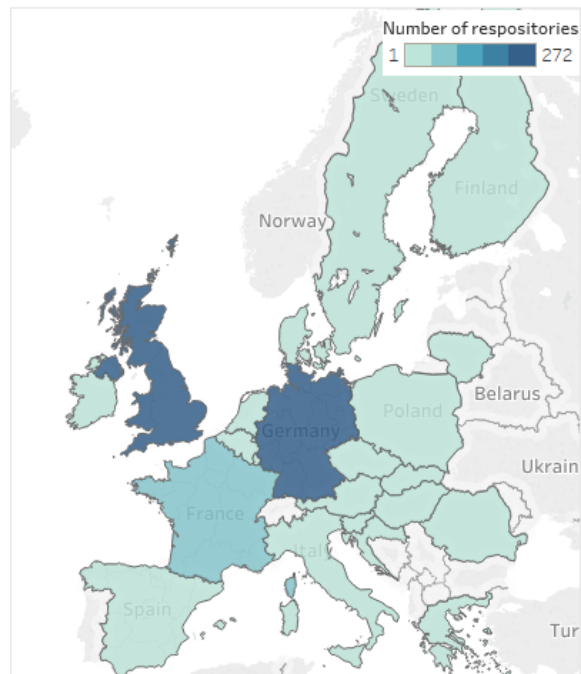
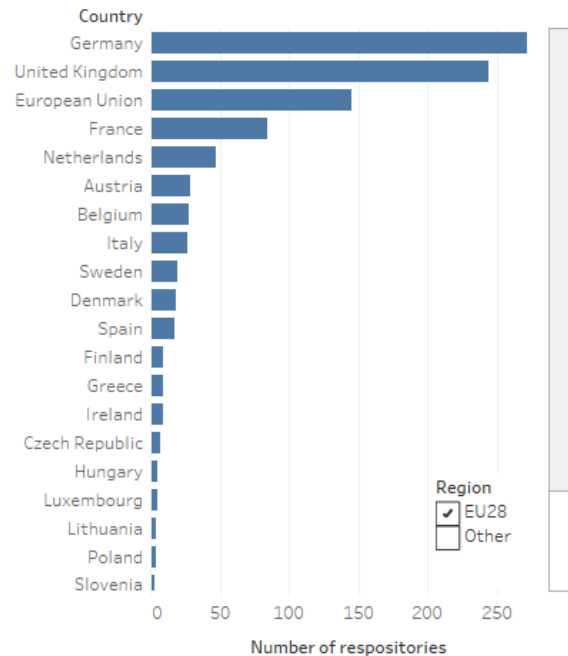
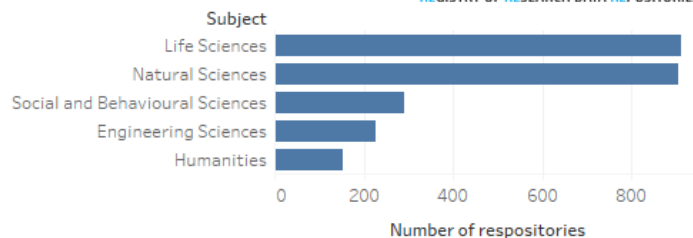
-  [Afghanistan](#)
-  [Australia](#)
-  [Austria](#)
-  [Azerbaijan](#)
-  [Belgium](#)
-  [Benin](#)
-  [Burkina Faso](#)
-  [Bosnia and Herzegovina](#)
-  [Brazil](#)
-  [Canada](#)
-  [Switzerland](#)
-  [China](#)
-  [Cote d'Ivoire](#)
-  [Cameroon](#)
-  [Colombia](#)
-  [Costa Rica](#)
-  [Cyprus](#)
-  [Czech Republic](#)
-  [Germany](#)
-  [Denmark](#)
-  [European Union](#)
-  [Egypt](#)
-  [Spain](#)
-  [Estonia](#)

# Number of data repositories

This visualisation shows the number of data repositories recorded in re3data.org. Repositories can cover multiple subjects, and be run from multiple countries.

Focus on one or more countries or subjects by selecting them on the barcharts. The CTRL key can be used to select multiple bars.

To reset, use the reset button in the bar below.



# Open data

“Open data and content can be freely used, modified and shared by anyone for any purpose”

<http://opendefinition.org>

Tim Berners-Lee's proposal for five star open data - <http://5stardata.info>

- ★ make your stuff available on the Web (whatever format) under an open licence
- ★★ make it available as structured data (e.g. Excel instead of a scan of a table)
- ★★★ use non-proprietary formats (e.g. CSV instead of Excel)
- ★★★★ use URIs to denote things, so that people can point at your stuff
- ★★★★★ link your data to other data to provide context

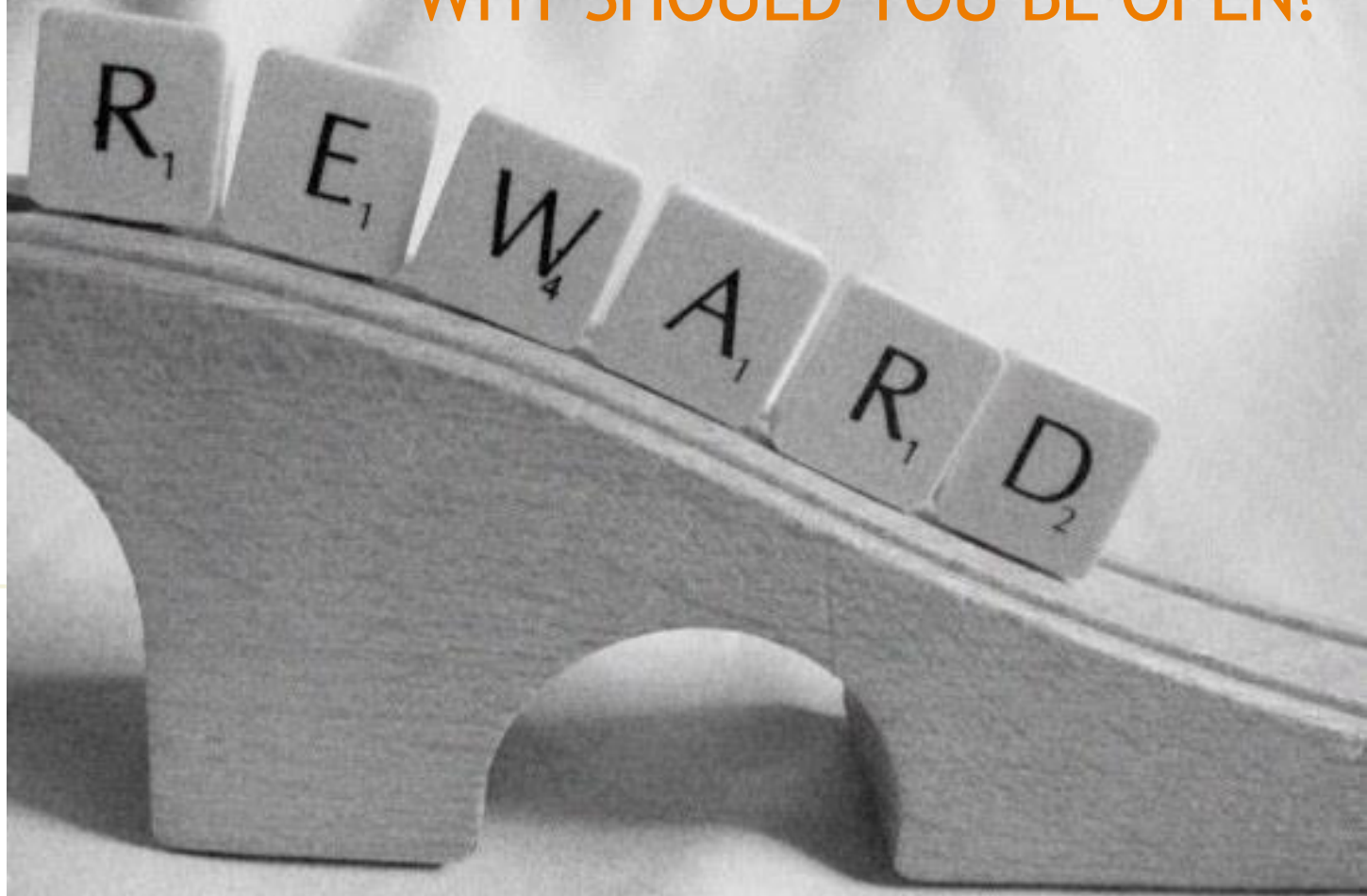
# How to make data open?



<https://okfn.org>

1. Choose your dataset(s)
  - What can you open? You may need to revisit this step if you encounter problems later.
2. Apply an open license
  - Determine what IP exists. Apply a suitable licence e.g. CC-BY
3. Make the data available
  - Provide the data in a suitable format. Use repositories.
4. Make it discoverable
  - Post on the web, register in catalogues...

# WHY SHOULD YOU BE OPEN?





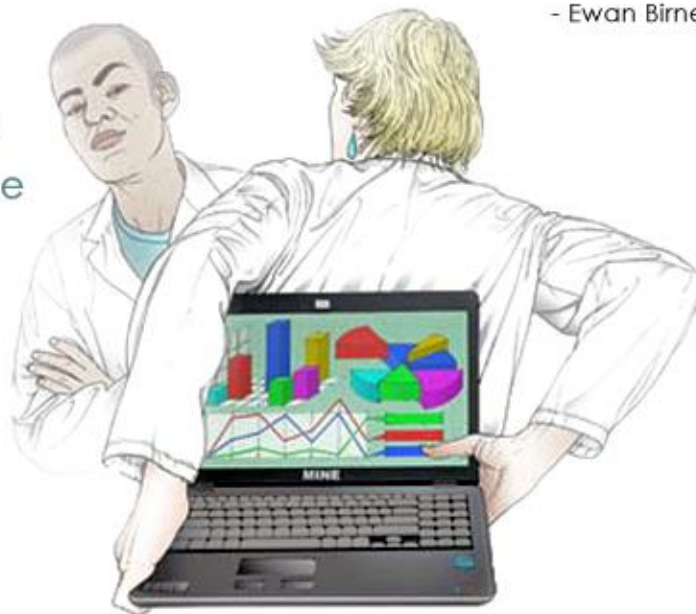
PUBLICATIONS AND DATA

# It's part of good research practice

"It was \*never\* acceptable to publish papers without making data available."

- Ewan Birney

#OpenData  
#OpenScience



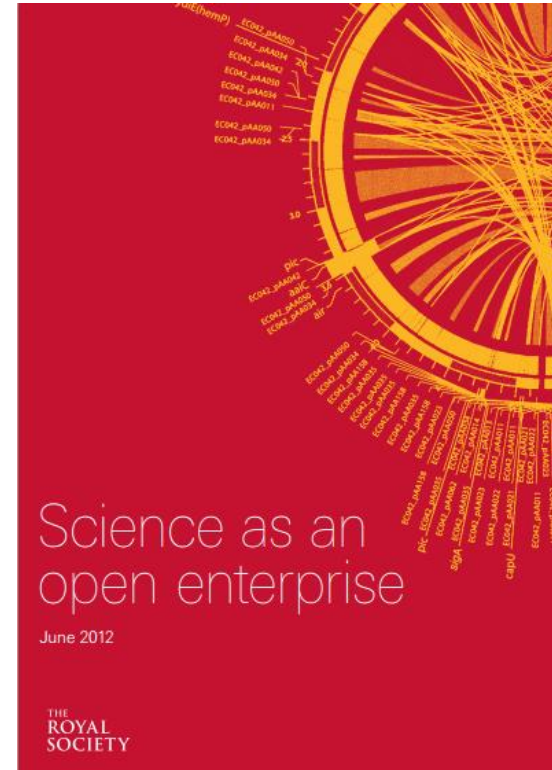
Original image via doi:10.1038/461145a. "Research cannot flourish if data are not preserved and made accessible. Data management should be woven into every course in science." - *Nature* 461, 145



# Science as an open enterprise

*“Much of the remarkable growth of scientific understanding in recent centuries is due to open practices; open communication and deliberation sit at the heart of scientific practice.”*

Royal Society report calls for ‘intelligent openness’ whereby data are accessible, intelligible, assessable and usable.



# Cut down on academic fraud

**nature**  
International weekly journal of science

[nature news home](#) [news archive](#) [specials](#) [opinion](#) [features](#) [news blog](#) [nature journal](#)

[comments on this story](#)

Published online 1 November 2011 | *Nature* **479**, 15 (2011) | doi:10.1038/479015a

Updated online: 1 November 2011  
Updated online: 8 December 2011

**Stories by subject**

- Brain and behaviour
- Lab life

**Stories by keywords**

- Diederik Stapel
- Tilburg University
- Academic fraud
- Retractions
- Social psychology

**This article elsewhere**

[Blogs linking to this article](#)

[Add to Digg](#)

[Add to Facebook](#)

[Add to Newsvine](#)

[Add to Del.icio.us](#)

[Add to Twitter](#)

## Report finds massive fraud at Dutch universities

**Investigation claims dozens of social-psychology papers contain faked data.**

Even Callaway

When colleagues called the work of Dutch psychologist Diederik Stapel too good to be true, they meant it as a compliment. But a preliminary investigative report ([go.nature.com/tamp5c](http://go.nature.com/tamp5c)) released on 31 October gives literal meaning to the phrase, detailing years of data manipulation and blatant fabrication by the prominent Tilburg University researcher.

"We have some 30 papers in peer-reviewed journals where we are actually sure that they are fake, and there are more to come," says Pim Levelt, chair of the committee that investigated Stapel's work at the university.

Stapel's eye-catching studies on aspects of social behaviour such as power and stereotyping garnered wide press coverage. For example, in a recent *Science* paper (which the investigation has not identified as fraudulent), Stapel reported that untidy environments encouraged discrimination ([Science](#) **332**, 251–253; 2011).



Dutch psychologist Diederik Stapel.  
Persbureau van Eindhoven

**Related stories**

- Seven days: 9–15 September 2011  
14 September 2011
- Chaos promotes stereotyping  
07 April 2011

**Naturejobs**

**Tenure-Track Faculty Positions (Assistant / Associate / Full Professor) Yale University, Department of Genetics**  
Yale University School of Medicine

**Assistant Professor**  
Harvard Medical School

[More science jobs](#)

[Post a job for free](#)

**Resources**

[PDF Format](#)

[Send to a Friend](#)

[Reprints & Permissions](#)

[RSS Feeds](#)

**external links**

- Tilburg University
- Interim investigation report

# Validation of results

“It was a mistake in a spreadsheet that could have been easily overlooked: a few rows left out of an equation to average the values in a column.

The spreadsheet was used to draw the conclusion of an influential 2010 economics paper: that public debt of more than 90% of GDP slows down growth. This conclusion was later cited by the International Monetary Fund and the UK Treasury to justify programmes of austerity that have arguably led to riots, poverty and lost jobs.”

## The error that could subvert George Osborne's austerity programme

The theories on which the chancellor based his cuts policies have been shown to be based on an embarrassing mistake

Charles Arthur and Phillip Inman

The Guardian, Thursday 18 April 2013 21:10 BST



George Osborne says that Ken Rogoff, the man whose economic error has been uncovered, has strongly influenced his thinking. Photograph: Stefan Wermuth/PA

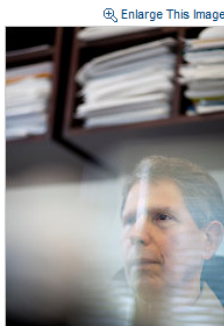
[www.guardian.co.uk/politics/2013/apr/18/uncovered-error-george-osborne-austerity](http://www.guardian.co.uk/politics/2013/apr/18/uncovered-error-george-osborne-austerity)

# More scientific breakthroughs

## Sharing of Data Leads to Progress on Alzheimer's

By GINA KOLATA  
Published: August 12, 2010

In 2003, a group of scientists and executives from the [National Institutes of Health](#), the [Food and Drug Administration](#), the drug and medical-imaging industries, universities and nonprofit groups joined in a project that experts say had no precedent: a collaborative effort to find the biological markers that show the progression of [Alzheimer's disease](#) in the human brain.



[Enlarge This Image](#)

Now, the effort is bearing fruit with a wealth of recent scientific papers on the early diagnosis of Alzheimer's using methods like PET scans and tests of spinal fluid. More than 100 studies are under way to test drugs that might slow or stop the disease.

And the collaboration is already serving as a model for similar efforts against [Parkinson's disease](#). A \$40 million project to look for biomarkers for Parkinson's, sponsored by the [Michael J. Fox Foundation](#), plans to enroll 600 study subjects in the United States and Europe.

*"It was unbelievable. Its not science the way most of us have practiced in our careers. But we all realised that we would never get biomarkers unless all of us parked our egos and intellectual property noses outside the door and agreed that all of our data would be public immediately."*

*Dr John Trojanowski, University of Pennsylvania*

[www.nytimes.com/2010/08/13/health/research/13alzheimer.html?pagewanted=all&\\_r=0](http://www.nytimes.com/2010/08/13/health/research/13alzheimer.html?pagewanted=all&_r=0)

# A citation advantage

A study that analysed the citation counts of 10,555 papers on gene expression studies that created microarray data, showed:

“studies that made data available in a public repository received 9% more citations than similar studies for which the data was not made available”



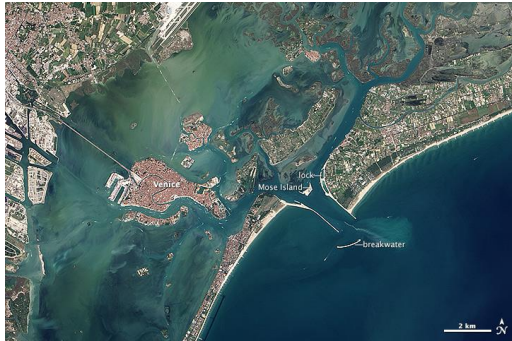
Data reuse and the open data citation advantage,  
Piwowar, H. & Vision, T. <https://peerj.com/articles/175>

# Increased use and economic benefit

The case of NASA Landsat satellite imagery of the Earth's surface:

Up to 2008

- Sold through the US Geological Survey for US\$600 per scene
- Sales of 19,000 scenes per year
- Annual revenue of \$11.4 million



Since 2009

- Freely available over the internet
- Google Earth now uses the images
- Transmission of 2,100,000 scenes per year.
- Estimated to have created value for the environmental management industry of \$935 million, with direct benefit of more than \$100 million per year to the US economy
- Has stimulated the development of applications from a large number of companies worldwide

<http://earthobservatory.nasa.gov/IOTD/view.php?id=83394&src=ve>

## BE PART OF THE NEW ERA OF OPEN SCIENCE



reach more  
people,  
have greater  
impact



avoid  
duplication  
of efforts



preserve data  
for future  
researchers



simplify final  
Horizon 2020  
reporting  
thanks to an  
up-to-date DMP

## BE PART OF THE NEW ERA OF OPEN SCIENCE

here's one example of the gains  
arising from open research data

Bioinformatics Institute

€1.3 billion per year

Benefits identified by the European  
Bioinformatics Institute to users and  
their funders just by making scientific  
information freely available to the  
global life science community...



equivalent to **more  
than 20 times**  
the direct operational  
cost of the Institute

Source: Charles Beagrie Ltd. for EMBL-EBI



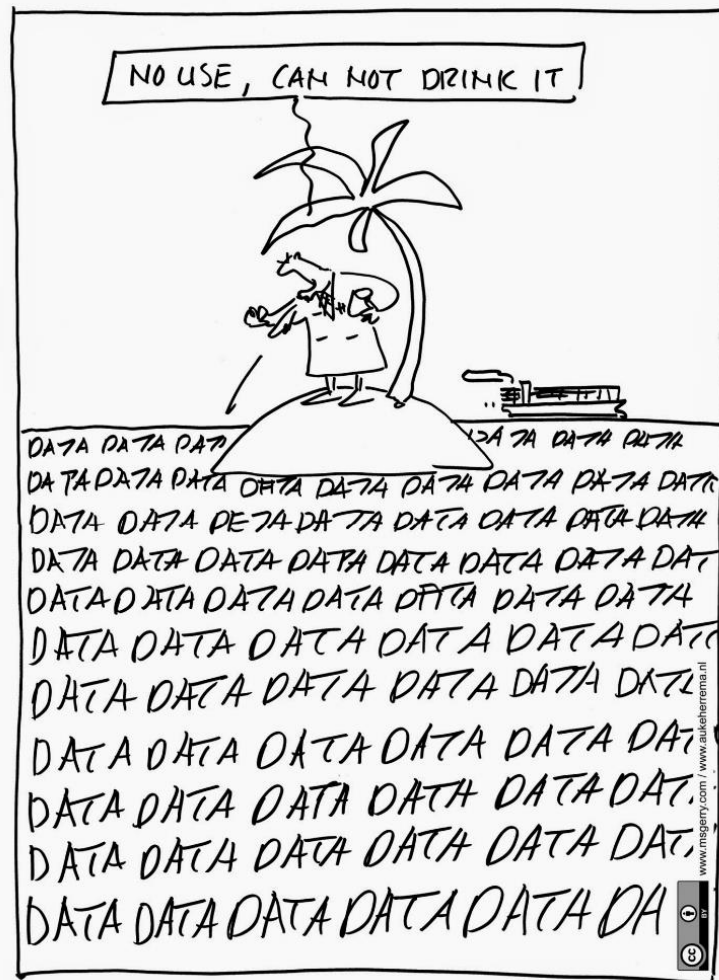


Image courtesy of <http://aukeherrema.nl> CC-BY

DATA OCEAN



# WHAT IS A DMP & WHY WRITE ONE?

Image CC-BY-NC-SA by Leo Reynolds [www.flickr.com/photos/lwr/13442910354](https://www.flickr.com/photos/lwr/13442910354)

# Data Management Plans (DMP)

A DMP is a brief plan to define:

- how the data will be created
- how it will be documented
- who will be able to access it
- where it will be stored
- who will back it up
- whether (and how) it will be shared & preserved

DMPs are often submitted as part of grant applications, but are useful whenever researchers are creating data.



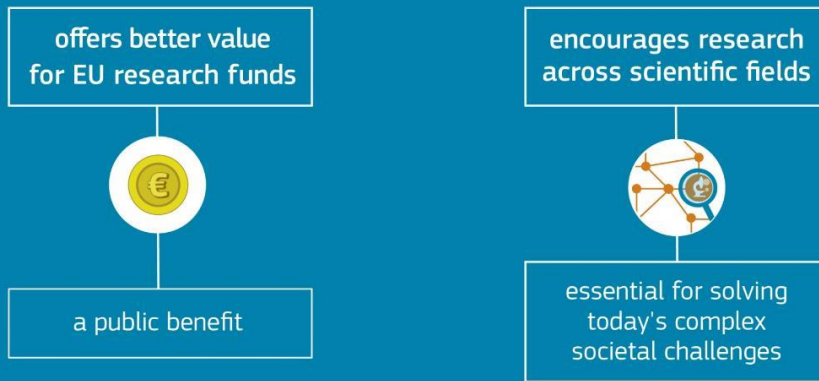
# OPEN RESEARCH DATA IN HORIZON 2020

**Jean-François Dechamp  
& Daniel Spichtinger**

European Commission  
Directorate-General for Research &  
Innovation

## CHALLENGE

Wider access to scientific facts and knowledge helps researchers, innovators and the public find and re-use data, and check research results:



## SOLUTION

Horizon 2020 already mandates open access to all scientific publications



From 2017,  
research data is **open by default**,  
with possibilities to **opt out**

## RESEARCH DATA - OPEN BY DEFAULT



# Making data FAIR

- **Findable** - Assign persistent IDs, provide rich metadata, register in a searchable resource,...
- **Accessible** - Retrievable by their ID using a standard protocol, metadata remain accessible even if data aren't...
- **Interoperable** - Use formal, broadly applicable languages, use standard vocabularies, qualified references...
- **Reusable** - Rich, accurate metadata, clear licences, provenance, use of community standards

[www.force11.org/group/fairgroup/fairprinciples](http://www.force11.org/group/fairgroup/fairprinciples)



## RESEARCH DATA - OPEN BY DEFAULT

Horizon 2020 grantees are required

take measures to ensure  
open access to the data  
underlying their  
scientific publications

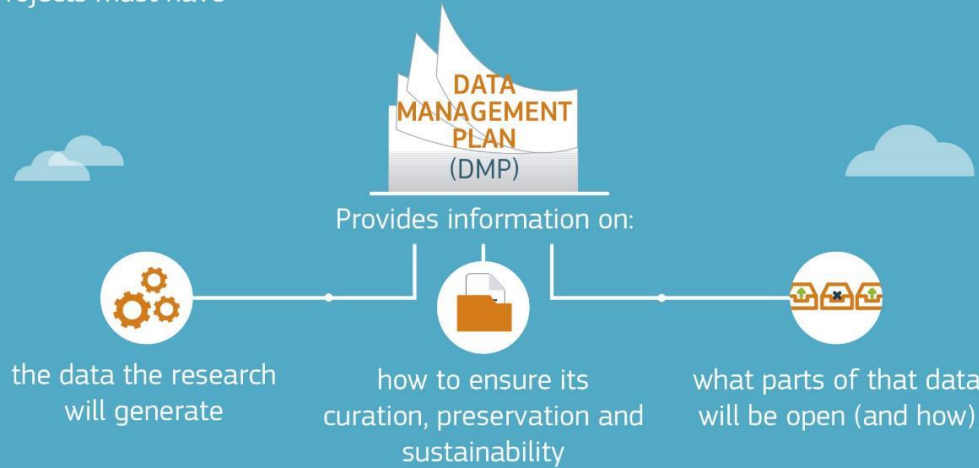
provide open access  
to **any other research**  
data of their choice

Horizon 2020 grantees  
are **encouraged**  
to also share datasets  
beyond publication

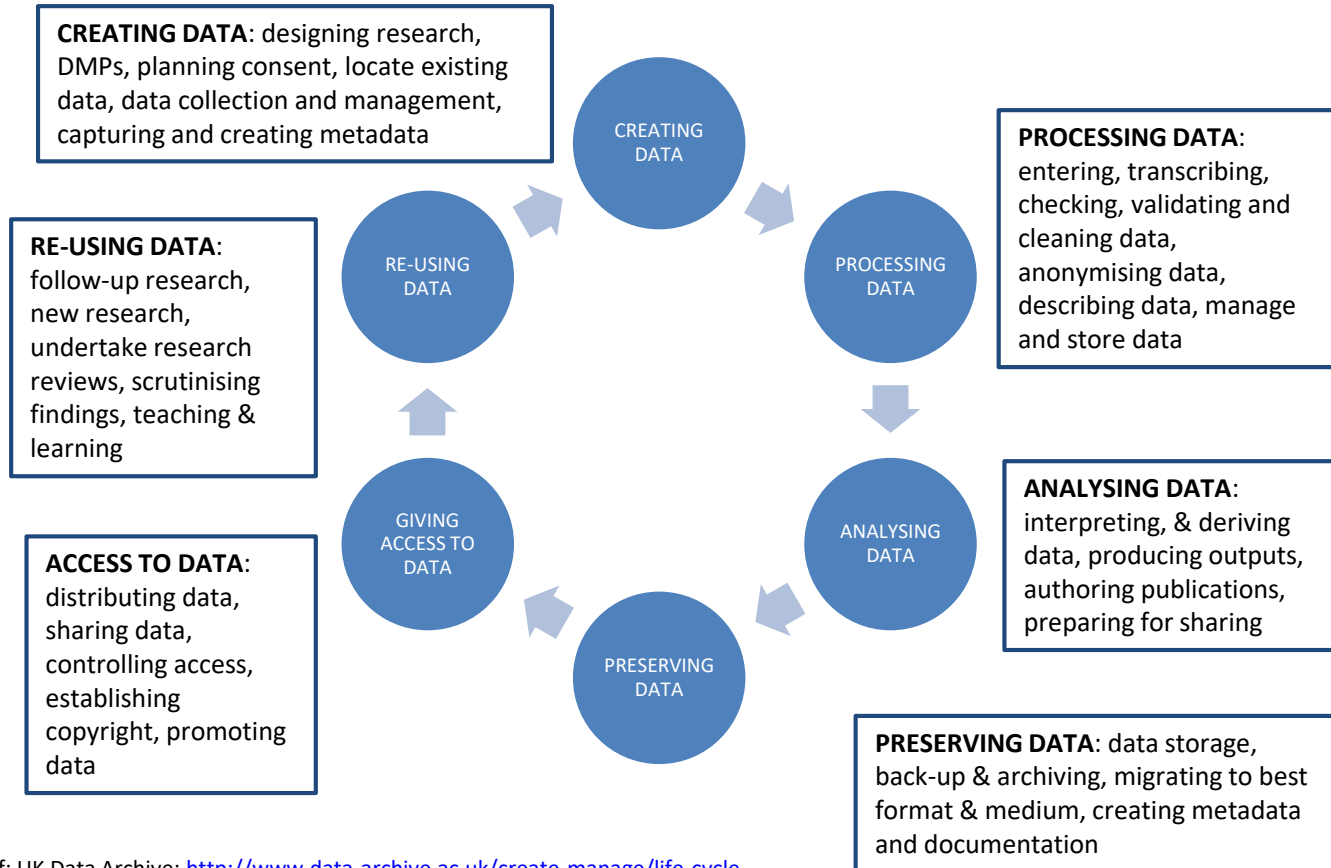


## RESEARCH DATA - OPEN BY DEFAULT

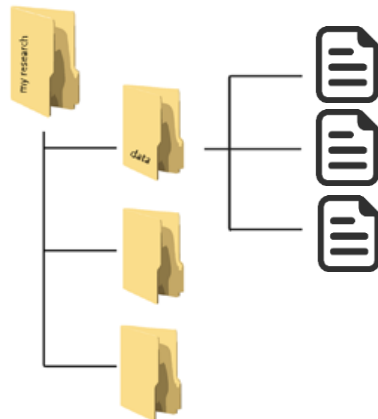
Projects must have



# Research data lifecycle



## What data organisation would a re-user like?



# Data organisation

## Meaningful file names

Below are tips on meaningful and consistent file names. Read more in '[Choosing a file name](#)'.<sup>(2)</sup>

- ❑ Make sure to use consistent file names. When you use a date in the file name, choose a notation (for instance, YYYYMMDD of yymmdd).
- ❑ Do not use strange characters like ?\!@\*%[<> in the file name.
- ❑ Use traceable file names, such as Project\_Instrument\_locatie\_YYYYMMDD.ext.
- ❑ Make sure to only use each file once in the folder structure. If you store a file in more than one place, several versions of the same file can unwillingly be created.
- ❑ See also [version management](#).

It is good practice to note the file naming and its meaning in a readme.txt.

Even if a researcher is well underway with his project consistent file naming is still an option by using a [bulk file rename utility](#).<sup>(3)</sup> It is important, however, to check if this bulk renamer delivers on its promises.



white\_data\_20140708.csv



blue\_data\_20140708.docx



red\_data\_20140708.R



red\_data\_20140708\_v02.R

*File naming and version management*



# Some other funders that require DMPs



Technical plan - Arts and ...

www.ahrc.ac.uk/funding/research/researchfundingguide/attachments/technicalplan/

Search

☆

📁


🔒

⬇

🏠

💬

☰



Arts & Humanities  
Research Council

Change text size: A- A A+

🔍

Skip Navigation | Media Enquiries | Accessibility

in g+ f youtu t it r

HOMEFUNDINGRESEARCHNEWS, EVENTS AND PUBLICATIONSINNOVATIONSKILLSPEER REVIEWABOUT US

In this section

Funding Opportunities

Research Funding

Research Funding Guide

Email response templates

Monitoring, ROS and Researchfish

Panel Outcomes

Subject Coverage

Independent Research Organisations

Museums and Galleries

International Funding

Home > Funding > Research Funding > Research Funding Guide > Attachments > Technical plan

Technical plan

Naming convention: [PI Surname] TechP

Before reading this section, please see the **Case for Support Guidance** regarding a Technical Summary.

A Technical Plan should be no more than four pages long and provided for all applications where digital outputs or digital technologies are an essential part to the planned research outcomes. A digital output or digital technology is defined as an activity which involves the creation, gathering, collecting and/or processing of digital information. For present purposes digital technologies do not include conventional software such as word processing packages and ICT activities such as email.

Please read this guidance carefully and consider its definitions within the context of your own research proposal.

The purpose of the Technical Plan is to demonstrate to the AHRC that technical provisions within a research proposal have been adequately addressed in terms of:

(a) Delivering the planned digital output or the digital technology from a practical and

Naming convention: [PI Surname] TechP

Before reading this section, please see the **Case for Support Guidance** regarding a Technical Summary.

A Technical Plan should be no more than four pages long and provided for all applications where digital outputs or digital technologies are an essential part to the planned research outcomes. A digital output or digital technology is defined as an activity which involves the creation, gathering, collecting and/or processing of digital information. For present purposes digital technologies do not include conventional software such as word processing packages and ICT activities such as email.

Please read this guidance carefully and consider its definitions within the context of your own research proposal.

The purpose of the Technical Plan is to

# Why manage data?

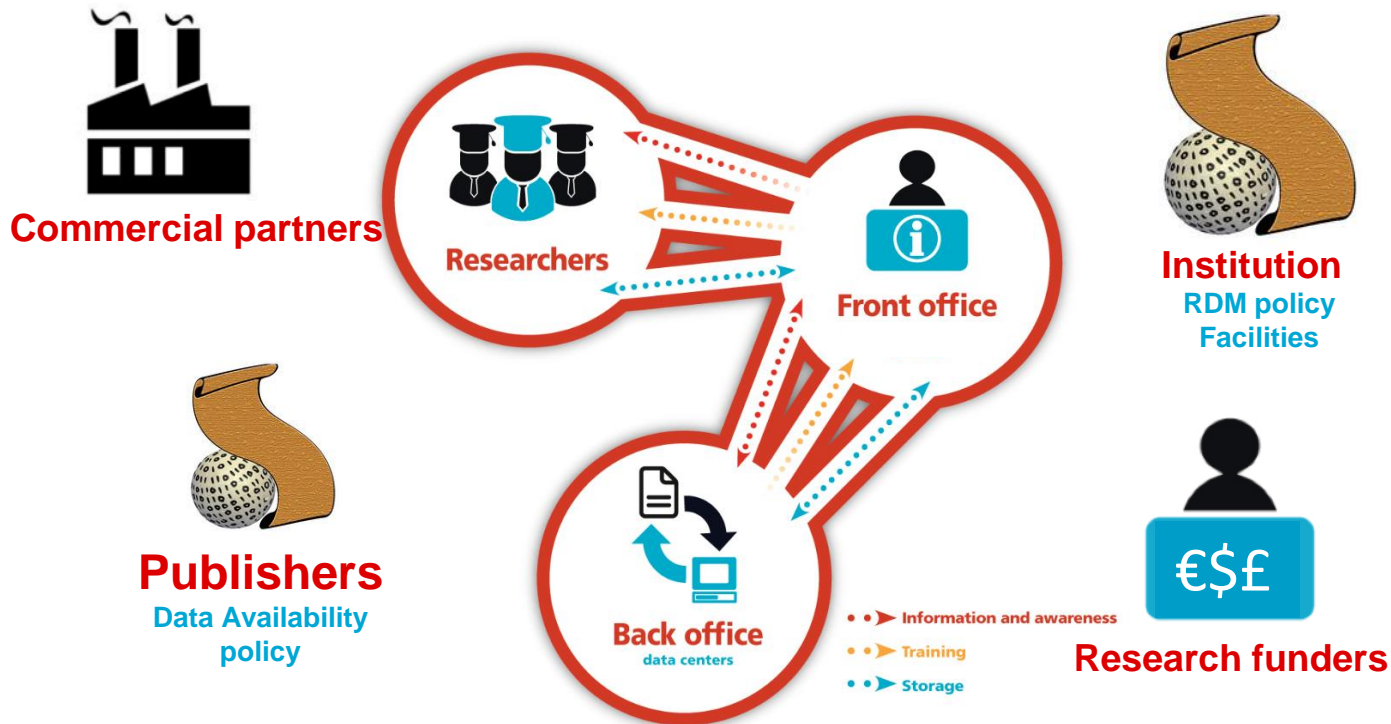
**NON PECUNIAE INVESTIGATIONIS CURATORE  
SED VITAE FACIMUS PROGRAMMAS DATORUM PROCURATIONIS**

(Not for the research funder, but for life we make data management plans)

- Make your research easier
- Stop yourself drowning in irrelevant stuff
- Save data for later
- Avoid accusations of fraud or bad science
- Write a data paper
- Share your data for re-use
- Get credit for it



# Planning trick 2: include RDM stakeholders



# Responsibilities in RDM

- ☐ **The principal investigator** – ultimately responsible for the data and for data management
- ☐ **Researchers, research assistants and/or data managers** – involved in day-to-day data management
- ☐ **The institution's management** – draft and enforce data policies; raise data awareness
- ☐ **The institution's research office consisting of library, IT and legal services** – provide external data, tools, secure storage and access; expertise on rights management and ethics, data citation, metadata, access and licenses, funder requirements; raise data awareness
- ☐ **Research funders** – encourage good data practices; invest in data infrastructure; raise data awareness
- ☐ **Project partners** in academic and other research institutions as well as commercial partners
- ☐ **Academic publishers** – impose requirements on the availability of data underlying submitted and/or published papers; provide identifiers to cite papers and link to related data
- ☐ **Research data repositories** – preserve data long term; provide persistent identifiers and data discovery service

# A DMP is about ‘keeping’ data



- Storing data < > archiving data
  - Archived data < > findable data
  - Findable < > accessible
  - Accessible < > understandable
  - Understandable < > usable
- 
- A USB stick is not safe
  - A persistent ID is essential but no guarantee for usability
  - Data in a proprietary format is not sustainable

# How to deal with data and context?

- Versioning, back-up, storage and archiving
  - During the project and in the long term
- Ethics, consent forms, legal access
- Security and technical access
- Usage licences



# What should be preserved and shared?

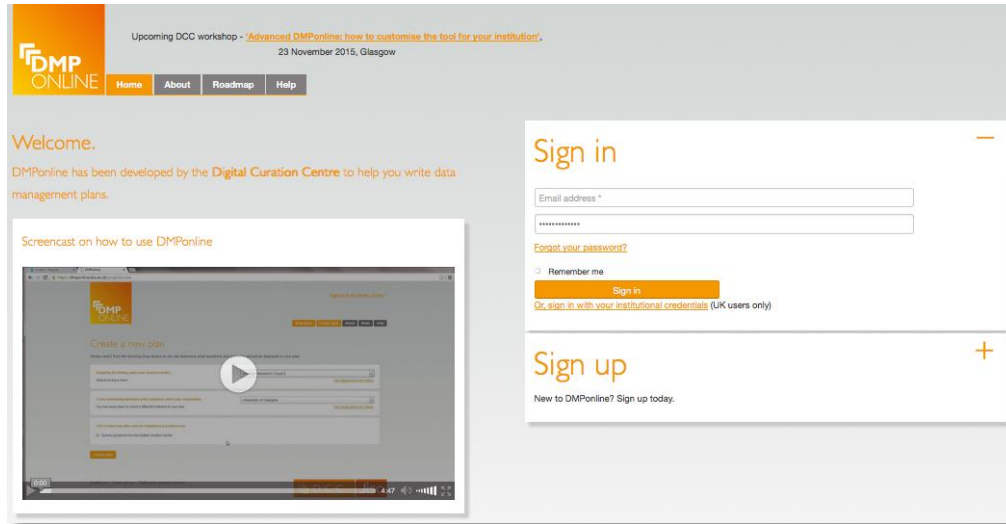
- The **data** needed to validate results in scientific publications (minimally!).
- The associated **metadata**: the dataset's creator, title, year of publication, repository, identifier etc.
  - Follow a metadata standard in your line of work, or a generic standard, e.g. Dublin Core or DataCite, and be FAIR.
  - The repository will assign a persistent ID to the dataset: important for discovering and citing the data.

# What should be preserved and shared? (2)

- **Documentation**: code books, lab journals, informed consent forms - domain-dependent, and important for understanding the data and combining them with other data sources.
- **Software**, hardware, tools, syntax queries, machine configurations - domain-dependent, and important for using the data. (Alternative: information about the software etc.)

Basically, everything that is needed to replicate a study should be available. Plus everything that is potentially useful for others.

A web-based tool to help researchers write DMPs  
Includes a template for Horizon 2020, guidance from  
EUDAT and OpenAIRE



# How the tool works

Create a new plan

Please select from the following drop-downs so we can determine what questions and guidance should be displayed in your plan.

If you aren't responding to specific requirements from a funder or an institution, [select here to write a generic DMP](#) based on the most common themes.

**If applying for funding, select your research funder.**

Otherwise leave blank.

European Commission (Horizon 2020) [Not applicable/not listed.](#)

**To see institutional questions and/or guidance, select your organisation.**

You may leave blank or select a different organisation to your own.

University of Glasgow [Not applicable/not listed.](#)

**Tick to select any other sources of guidance you wish to see.**

☐ DCC guidance

☐ EUDAT

☐ School of Humanities

☐ Computing

Create plan

Click to  
write a  
generic  
DMP

Or choose your  
funder to get  
their specific  
template

Pick your  
uni to add  
local  
guidance  
and to get  
their  
template if  
no funder  
applies

Choose  
any  
additional  
optional  
guidance



## RESEARCH DATA - OPEN BY DEFAULT

Data management costs are fully eligible for funding

No repository imposed: deposit data where you want



# Data description examples

The final dataset will include self-reported **demographic and behavioural data from interviews** with the subjects and **laboratory data** from urine specimens provided.

From [NIH data sharing statements](#)

Every two days, we will subsample *E. affinis* populations growing under our treatment conditions. We will use a microscope to identify the life stage and sex of the subsampled individuals. We will **document the information first in a laboratory notebook and then copy the data into an Excel spreadsheet**. The Excel spreadsheet will be saved as a comma separated value **(.csv) file**.

From DataOne – [E. affinis DMP example](#)

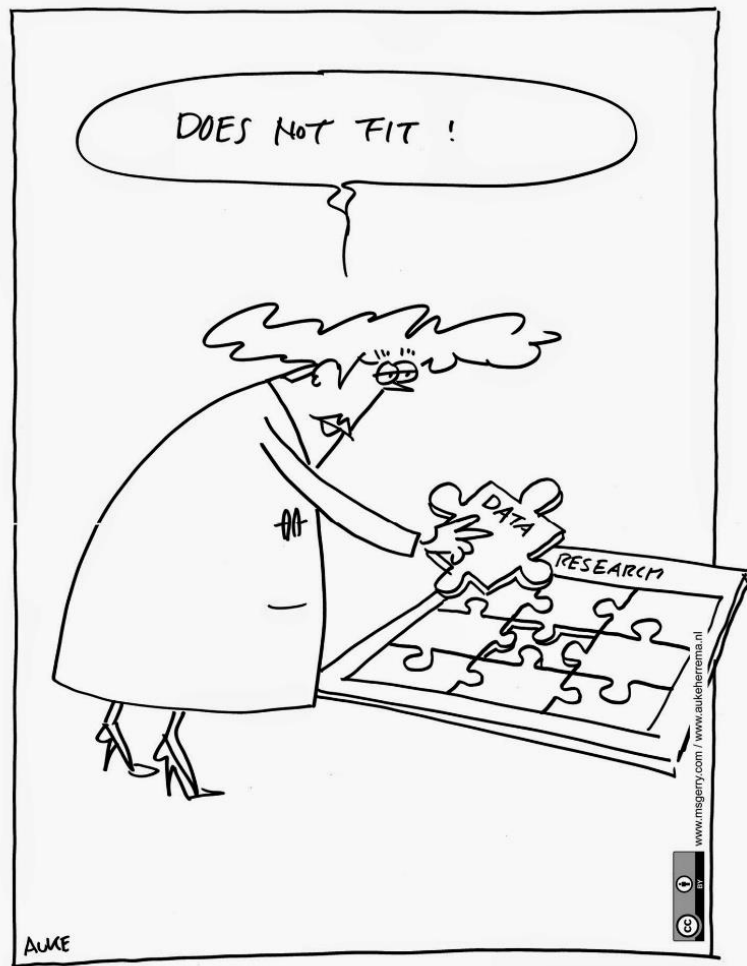
# Metadata examples

Metadata will be tagged in XML using the **Data Documentation Initiative (DDI) format**. The codebook will contain information on study design, sampling methodology, fieldwork, variable-level detail, and **all information necessary for a secondary analyst** to use the data accurately and effectively.

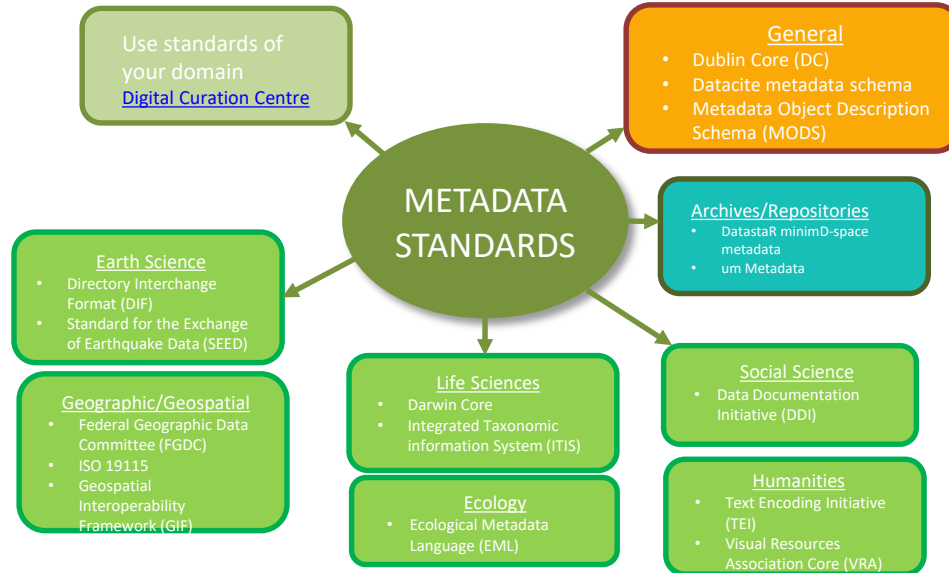
From [ICPSR Framework for Creating a DMP](#)

We will first document our metadata by taking careful notes in the laboratory notebook that refer to specific data files and **describe all columns, units, abbreviations, and missing value identifiers**. These notes will be transcribed into a **.txt document that will be stored with the data file**. After all of the data are collected, we will then use EML (Ecological Metadata Language) to digitize our metadata. **EML is one of the accepted formats used in ecology**, and works well for the types of data we will be producing. We will create these metadata using Morpho software, available through KNB. The metadata will fully describe the data files and the context of the measurements.

From DataOne – [E. affinis DMP example](#)



REUSABLE DATA



# Metadata standards

Use relevant standards for interoperability

Search by Discipline



Biology



Earth Science



General Research Data



Physical Science



Social Science & Humanities



[www.dcc.ac.uk/resources/metadata-standards](http://www.dcc.ac.uk/resources/metadata-standards)

## RDA | Metadata Directory

[View the standards](#)[View the extensions](#)

[View the tools](#)

[View the use cases](#)

Browse by subject areas

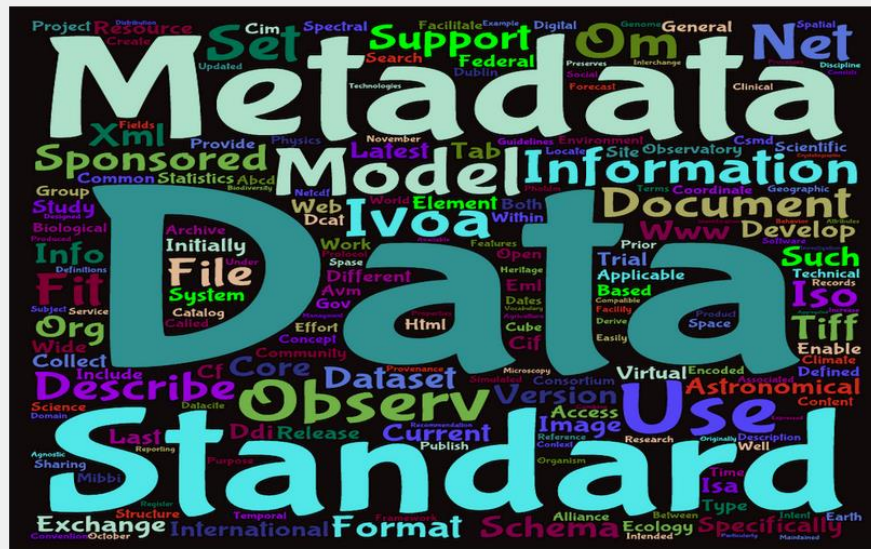
Contribute

Add standards

Add extensions

Add tools

Add use cases



## Metadata Standards Directory Working Group

The RDA Metadata Standards Directory Working Group is supported by individuals and organizations involved in the development, implementation, and use of metadata for scientific data. The overriding goal is to develop a collaborative, open directory of metadata standards applicable to scientific data can help address infrastructure challenges.

The RDA Metadata Standards Directory is maintained by [Sean Chen](#), [Kate Anne Alderete](#), and [Alex Ball](#).

The theme is maintained by [Dustin Allen](#).

This page was generated by [GitHub Pages](#).

<http://rd-alliance.github.io/metadata-directory>

# Data sharing examples

The videos will be made available [via the bristol.ac.uk website](#) (both as streaming media and downloads) HD and SD versions will be provided to accommodate those with lower bandwidth. Videos will also be made available [via Vimeo](#), a platform that is already well used by research students at Bristol. [Appropriate metadata will also be provided](#) to the existing Vimeo standard.

All video will also be available [for download and re-editing by third parties](#). To facilitate this [Creative Commons](#) licenses will be assigned to each item. In order to ensure this usage is possible, the [required permissions will be gathered](#) from participants (using a suitable release form) before recording commences.

From [University of Bristol Kitchen Cosmology DMP](#)

We will make the data and associated documentation available to users under a [data-sharing agreement](#) that provides for: (1) a commitment to using the data [only for research purposes](#) and not to identify any individual participant; (2) a commitment to [securing the data](#) using appropriate computer technology; and (3) a commitment to [destroying or returning the data after analyses](#) are completed.

From [NIH data sharing statements](#)



# Examples restrictions

Because the STDs being studied are reportable diseases, we will be **collecting identifying information**. Even though the final dataset will be stripped of identifiers prior to release for sharing, we believe that there **remains the possibility of deductive disclosure of subjects** with unusual characteristics. Thus, we will make the data and associated documentation available to users **only under a data-sharing agreement**.

From [NIH data sharing statements](#)

# Examples restrictions (2)

1. Share data *privately within 1 year.*

*Data will be held in Private Repository, but metadata will be public*

2. Release data to *public within 2 years.*

*Encouraged after one year to release data for public access.*

3. *Request, in writing, data privacy up to 4 years.*

*Extensions beyond 3 years will only be granted for compelling cases.*

4. Consult with creators of private CZO datasets prior to use.

*Is required to *seek consent before using private data* they can access*

From [Boulder Creek Critical Zone Observatory DMP](#)

# Archiving examples

The investigators will **work with staff at the UKDA** to determine **what to archive and how long** the deposited data should be retained. Future long-term use of the data will be ensured by **placing a copy of the data into the repository**.

From [ICPSR Framework for Creating a DMP](#)

Data will be provided in **file formats considered appropriate for long-term access**, as recommended by the UK Data Service. For example, SPSS Portal format and tab-delimited text for qualitative tabular data and RTF and PDF/A for interview transcripts. Appropriate **documentation necessary** to understand the data will also be provided. Anonymised data will be held for **a minimum of 10 years** following project completion, in compliance with LSHTM's Records Retention and Disposal Schedule. Biological samples (output 3) will be **deposited with the UK BioBank** for future use.

From [Writing a Wellcome Trust Data Management and Sharing Plan](#)

# Sharing data: what is meant?

With collaborators  
while research is active



Data are mutable

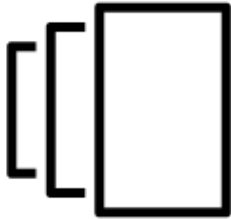
(Open) data sharing



Data are stable,  
searchable, citable,  
clearly licensed

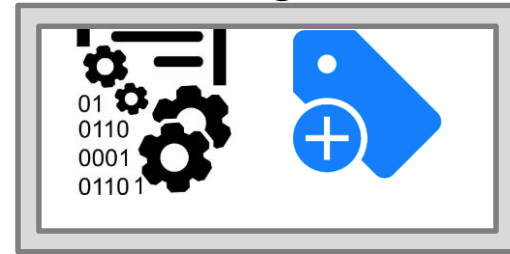
# Storing data: what is meant?

Storing and backing up  
files while research is  
active



Likely to be on a  
networked filestore or  
hard drive

Archiving or  
preserving data in  
the long-term

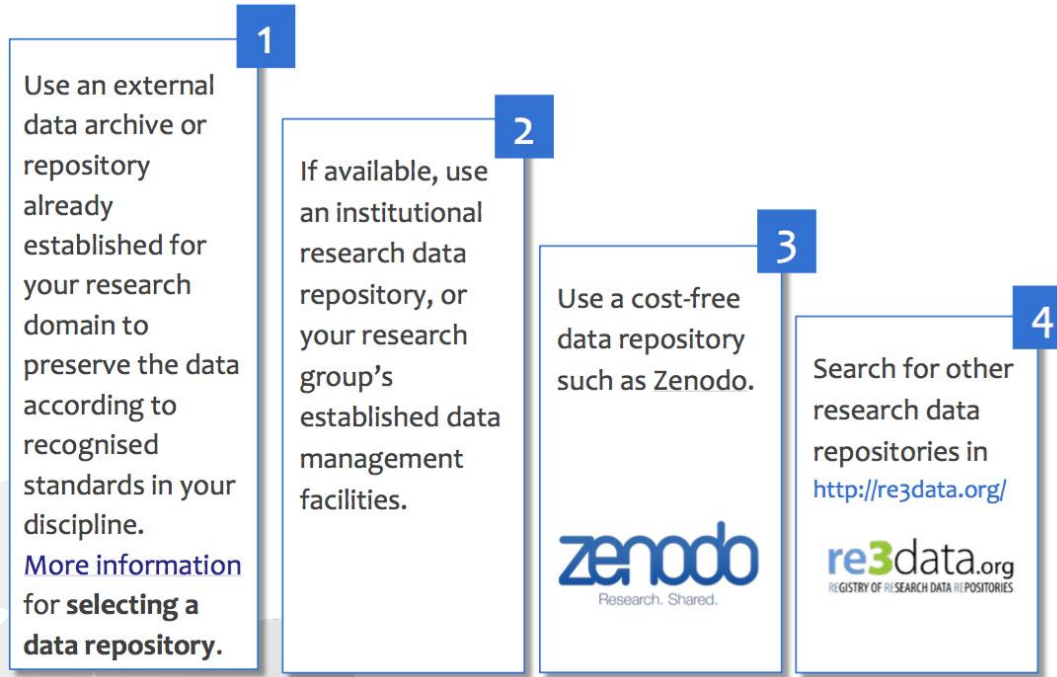


Likely to be  
deposited in a digital  
repository  
afeguarded and  
preserved

# Archiving, repositories, ehm?

- Select a data repository that will preserve your data, metadata and possibly tools in the long term.
- It is advisable to [contact the repository of your choice when writing the first version of your DMP](#).
- Repositories may offer guidelines for sustainable data formats and metadata standards, as well as support for dealing with sensitive data and licensing.

# Where to find a repository?



- More information: <https://www.openaire.eu/opendatapilot-repository>
- Zenodo: <http://www.zenodo.org>
- Re3data.org: <http://www.re3data.org>

# How to select a repository?

- Main criteria for choosing a data repository:  
Certification as a ‘Trustworthy Digital Repository’, with an explicit ambition to keep the data available in the long term.
- Three common certification standards for TDRs:



Data Seal of Approval: <http://datasealofapproval.org/en>

nestor seal: [http://www.langzeitarchivierung.de/Subsites/nestor/EN/nestor-Siegel/siegel\\_node.html](http://www.langzeitarchivierung.de/Subsites/nestor/EN/nestor-Siegel/siegel_node.html)

ISO 16363: <http://www.iso16363.org>



# How to select a repository?

## (2)

- Matches your particular data needs: e.g. formats accepted; mixture of Open and Restricted Access.
- Provides guidance on how to cite the data that has been deposited.
- Gives your submitted dataset a persistent and globally unique identifier: for sustainable citations - both for data and publications - and to link back to particular researchers and grants. [www.openaire.eu/opendatapilot-repository](http://www.openaire.eu/opendatapilot-repository)

# Zenodo (OpenAIRE/CERN repository)

(All) Research.  
Shared.

— your one stop research shop!

All research outputs from across all fields of science are welcome! Zenodo accept any file format as well as both positive and negative results. However, we do promote peer-reviewed openly accessible research, and we curate your upload before putting it on the front-

Citeable.  
Discoverable.


— be found!

Zenodo assigns all publicly available uploads a Digital

Community  
Collections

— create your own repository

Zenodo allows you to create your own collection and accept or reject all uploads to it. Creating a space for your text workshop or project have never been easier. Plus, everything is citeable and discoverable.



Safe

— more than just a drop box!

Your research output is stored safely for the future in same cloud infrastructure as research data from CERN's Large Hadron Collider using a CERN's battle-tested repository software INVENIO used by some of the world's largest repositories such as INSPIRE HEP and CERN Document Server.

Reporting

— tell your funding agency!

Zenodo is integrated into reporting lines for research funded by the European Commission via OpenAIRE. Just upload your research on Zenodo and we will take care of the reporting for you. We plan to extend with further funding agencies in the future so stay tuned!

Flexible  
Licensing

— not everything is under Creative Commons

Zenodo encourage you to share your research as openly as possible to maximize use and re-use of your research results. However, we also acknowledge that one size does not fit all, and therefore allow for uploading under a multitude of different licenses and access levels\*.

\* You are responsible for respecting applicable copyright and license conditions for the files you upload.

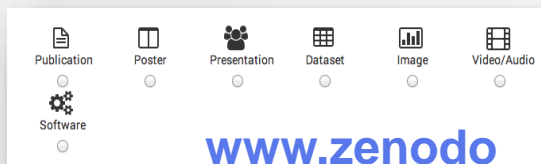


[www.zenodo.org](http://www.zenodo.org)

# Zenodo Repository

**“Catch-all” repository: OpenAIRE-CERN joint effort**

- **Multiple data types**
  - Publications
  - Long tail of research data
- **Citable data (DOI)**
- **Links to funding, pubs, data, software**



[www.zenodo.org](http://www.zenodo.org)



API  
INTEGRATE YOUR APP VIA  
PROGRAMMABLE API.



COMMUNITIES  
YOUR DIGITAL REPOSITORY  
ON ZENODO.



FUNDING  
INTEGRATE INTO  
REPORTING FOR RESEARCH  
FUNDED BY EUROPEAN COM-  
MISSION.



FLEXIBLE LICENSING  
NOT EVERYTHING IS UNDER  
CREATIVE COMMONS.

H2020: Option to gather, preserve and  
share  
project's scientific output

# Get started!

Make your first upload - all research outputs from across all fields of research are welcome.

[New Upload](#)

Delete

Save

Publish

## New upload

**Instructions:** (i) Upload minimum one file or fill-in required fields (marked with a red star). (ii) Press "Save" to save your upload for editing later. (iii) When ready, press "Publish" to finalize and make your upload public.

Files

Choose files

Start upload

Drag and drop files here

— or —

Choose files

(minimum 1 file required, max 50 GB per dataset - [contact us](#) for larger datasets)

Upload type

required

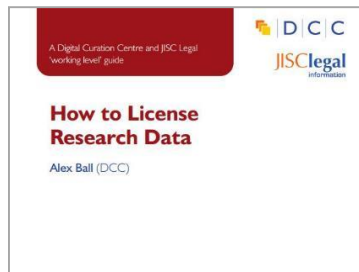


# Guidelines on DMPs

- How to develop a DMP  
[www.dcc.ac.uk/resources/how-guides/develop-data-plan](http://www.dcc.ac.uk/resources/how-guides/develop-data-plan)
- RDM brochure and template  
[https://dans.knaw.nl/en/about/organisation-and-policy/information-material?set\\_language=en](https://dans.knaw.nl/en/about/organisation-and-policy/information-material?set_language=en)
- OpenAIRE guidelines  
[www.openaire.eu/opendatapilot-dmp](http://www.openaire.eu/opendatapilot-dmp)
- ICPSR framework for a DMP  
[www.icpsr.umich.edu/icpsrweb/content/datamanagement/dmp/framework.html](http://www.icpsr.umich.edu/icpsrweb/content/datamanagement/dmp/framework.html)

# Licensing research data

This DCC guide outlines the pros and cons of each approach and gives practical advice on how to implement your licence



Horizon 2020 Open Access guidelines point to:



or



## CREATIVE COMMONS LIMITATIONS



NC Non-Commercial

What counts as commercial?



ND No Derivatives

Severely restricts use

**These clauses are not open licenses**

[www.dcc.ac.uk/resources/how-guides/license-research-data](http://www.dcc.ac.uk/resources/how-guides/license-research-data)



# EUDAT licensing tool

Answer questions to determine which licence(s) are appropriate to use

Do you own copyright and similar rights in your dataset and all its constitutive parts?

Do you allow others to make commercial use of you data?

**Creative Commons Attribution (CC-BY)**  
This is the standard creative commons license that gives others maximum freedom to do what they want with your work.

**Public Domain Dedication (CC Zero)**  
CC Zero enables scientists, educators, artists and other creators and owners of copyright- or database-protected content to waive those interests in their works and thereby place them as completely as possible in the public domain, so that others may freely build upon, enhance and reuse the works for any purposes without restriction under copyright or database law.

<http://ufal.github.io/public-license-selector>



# Other resources

Where to keep research data <http://www.dcc.ac.uk/resources/how-guides-checklists/where-keep-research-data/where-keep-research-data>

Five steps to decide what data to keep

<http://www.dcc.ac.uk/resources/how-guides/five-steps-decide-what-data-keep>

Re3data <http://www.re3data.org/>

Figshare <https://figshare.com/>

Genbank <https://www.ncbi.nlm.nih.gov/genbank/>

How to write a lay summary <http://www.dcc.ac.uk/resources/how-guides/write-lay-summary>

Lay summaries <https://www.bhf.org.uk/research/information-for-researchers/how-to-apply/lay-summaries>

# With thanks to

Marjan Grootveld:

[marjan.grootveld@dans.knaw.nl](mailto:marjan.grootveld@dans.knaw.nl)

Sarah Jones: [sarah.jones@glasgow.ac.uk](mailto:sarah.jones@glasgow.ac.uk)

**Acknowledgements:**

Thanks to DANS and DCC for reuse of slide

[www.eudat.eu](http://www.eudat.eu)

[www.openaire.eu](http://www.openaire.eu)



FOSTER

---

# Research ethics and Data Protection

with thanks to GESIS



# Research ethics: useful links

- National advisory board on research ethics (Helsinki, 2009): Ethical principles of research in the humanities and social and behavioural sciences and proposals for ethical review: <http://www.tenk.fi/sites/tenk.fi/files/ethicalprinciples.pdf>
- RatSWD (German Data Forum): Principles and Review Procedures of Research Ethics in the Social and Economic Sciences: [https://www.ratswd.de/dl/RatSWD\\_Output9.5\\_Summary\\_Research\\_Ethics.pdf](https://www.ratswd.de/dl/RatSWD_Output9.5_Summary_Research_Ethics.pdf)
- Ethics Assessment in Different Fields: Humanities by Rok Benčín, Jelica Šumič Riha, Rado Riha, Scientific Research Centre of the Slovenian Academy of Sciences and Arts (ZRC SAZU): <http://satoriproject.eu/media/2.e-Humanities.pdf>

# Research ethics

“The ethics of data focuses on ethical problems posed by the collection and analysis of large datasets and on issues ranging from the use of big data in biomedical research and social sciences, to profiling, advertising and data philanthropy as well as open data.”

## Research ethics (2)

“Key issues concern possible re-identification of individuals through data-mining, -linking, -merging and re-using of large datasets, as well as risks for so-called ‘group privacy’, when the identification of types of individuals, independently of the de-identification of each of them, may lead to serious ethical problems, from group discrimination (e.g. ageism, ethnicism, sexism) to group-targeted forms of violence.”

## Research ethics (3)

“Trust and transparency are also crucial topics in the ethics of data, in connection with an acknowledged lack of public awareness of the benefits, opportunities, risks and challenges associated with data science. For example, transparency is often advocated as one of the measures that may foster trust. However, it is unclear what information should be made transparent and to whom information should be disclosed.”

## Research ethics (4)

“The ethics of algorithms addresses issues posed by the increasing complexity and autonomy of algorithms broadly understood (e.g. including artificial intelligence and artificial agents such as Internet bots), especially in the case of machine learning applications. In this case, some crucial challenges include moral responsibility and accountability of both designers and data scientists with respect to unforeseen and undesired consequences as well as missed opportunities.”



## Research ethics (5)

“Unsurprisingly, the ethical design and auditing of algorithms' requirements and the assessment of potential, undesirable outcomes (e.g. discrimination or the promotion of antisocial content) is attracting increasing research.”

## Research ethics (6)

“Finally, the ethics of practices (including professional ethics and deontology) addresses the pressing questions concerning the responsibilities and liabilities of people and organizations in charge of data processes, strategies and policies, including data scientists, with the goal to define an ethical framework to shape professional codes about responsible innovation, development and usage, which may ensure ethical practices fostering both the progress of data science and the protection of the rights of individuals and groups. **Three issues are central in this line of analysis: consent, user privacy and secondary use.**”

What is Data Ethics? | Philosophical Transactions of the Royal Society of London A: Mathematical, Physical and Engineering Sciences - Mozilla Firefox

rst.royalsocietypublishing.org/content/374/2083/20160360

THE ROYAL SOCIETY PUBLISHING

PHILOSOPHICAL TRANSACTIONS OF THE ROYAL SOCIETY A

MATHEMATICAL, PHYSICAL AND ENGINEERING SCIENCES

Home Content Information for About us Sign up Propose an issue

Check for updates

✓ **What is data ethics?**

Luciano Floridi, Mariarosaria Taddeo

Published 14 November 2016. DOI: 10.1098/rsta.2016.0360

Article Info & Metrics eLetters PDF

Previous Next

28 December 2016  
Volume 374, issue 2083

Theme issue 'The ethical impact of data science' compiled and edited by Mariarosaria Taddeo and Luciano Floridi

**Abstract**

This theme issue has the founding ambition of landscaping *data ethics* as a new branch of ethics that studies and evaluates moral problems related to data (including generation, recording, curation, processing, dissemination, sharing and use), algorithms (including artificial intelligence,

We use cookies to help us improve this website. [Learn more](#)

Close

<http://rst.royalsocietypublishing.org/content/374/2083/20160360>

# Some more useful links

- Guide to Research Ethics - Research with human participants (faculty of humanities):  
[http://www.humanities.uct.ac.za/sites/default/files/image\\_tool/images/2/HumFaculty%20Ethics%20Guidebook%20August%20l%202016%281%29.pdf](http://www.humanities.uct.ac.za/sites/default/files/image_tool/images/2/HumFaculty%20Ethics%20Guidebook%20August%20l%202016%281%29.pdf)
- CESSDA User Guide on Research Data Management Data Consent and Ethics:  
[https://cessda.net/content/download/245/2411/file/CESSDA%20User%20Guide%20for%20data%20management\\_8\\_Data%20consent%20and%20ethics.pdf](https://cessda.net/content/download/245/2411/file/CESSDA%20User%20Guide%20for%20data%20management_8_Data%20consent%20and%20ethics.pdf)

Research Ethics and Legal Compliance: Informed Consent and Data Licensing | FOSTER - Mozilla Firefox

https://www.fosteropenscience.eu/content/research-ethics-and-legal-compliance-informed-consent-and-data-licensing 90% Search

# Research Ethics and Legal Compliance: Informed Consent and Data Licensing

CESSDA Training at the Data Archive for the Social Sciences GESIS - Leibniz Institute for the Social Sciences @CESSDA\_Data

Preview



**Research Ethics and Legal Compliance: Informed Consent and Data Licensing**

Sebastian Netscher

CESSDA Training at the Data Archive for the Social Sciences  
GESIS - Leibniz Institute for the Social Sciences  
@CESSDA\_Data

Download PDF  
Download EPUB

**Authors:** Sebastian Netscher  
**Publication year:** 2015  
**Language:** English (EN)  
**Level of knowledge:** Advanced: apply  
**Usage rights:**

CC BY

Topics

Legal Issues  
Research Data Management  
Ethics

Audience

PHD Students  
Researchers and Students

<https://www.fosteropenscience.eu/content/research-ethics-and-legal-compliance-informed-consent-and-data-licensing>

# Data Protection

- Data protection is especially difficult in qualitative data (interviews, videos)
- Informed consent, participation is voluntary, aim and scope of survey and (re-)use of data must be transparent
- Participants in surveys can withdraw consent at any point in time, also after survey was completed, but only until data is completely anonymized


## Data Protection (2)

Complete anonymisation is often very difficult or impossible to achieve for micro data, data can be shared when it is “factually anonymous” (at least by German court ruling, not quite sure about other countries)

CESSDA User Guide for data management\_6\_Data security.pdf - Mozilla Firefox

https://www.cessda.eu/content/download/243/2401/file/CESSDA User Guide for data management\_6\_Data security.pdf

1 of 5 Automatic Zoom



**cessda**  
Consortium of  
European Social Science  
Data Archives

## Data security

Data security is about keeping your data safe from accidental or malicious damage. Security is a consideration at all stages of your research, particularly if working with disclosive or licensed data. The responsibility to protect data from theft, breach of confidentiality, premature and unauthorized release, and ensure secure disposal is an essential part of a research data management strategy.

Security has different dimensions. Physical security refers to the status of devices on which data are stored and accessed. Consequently, ensure access to rooms, cupboards, and drawers where data is stored is controlled and anyone with access to disclosive data should sign a non-disclosure agreement outlining the nature of confidentiality, storage conditions, and data retention policies. This will provide formal assurance of secure data handling.

Computers should be password protected, with file permissions controlled so users, depending on their status, can "read only", "write", or "execute" files. Enable computer firewalls and keep anti-malware software up-to-date and operational. Computers connected networks should not store

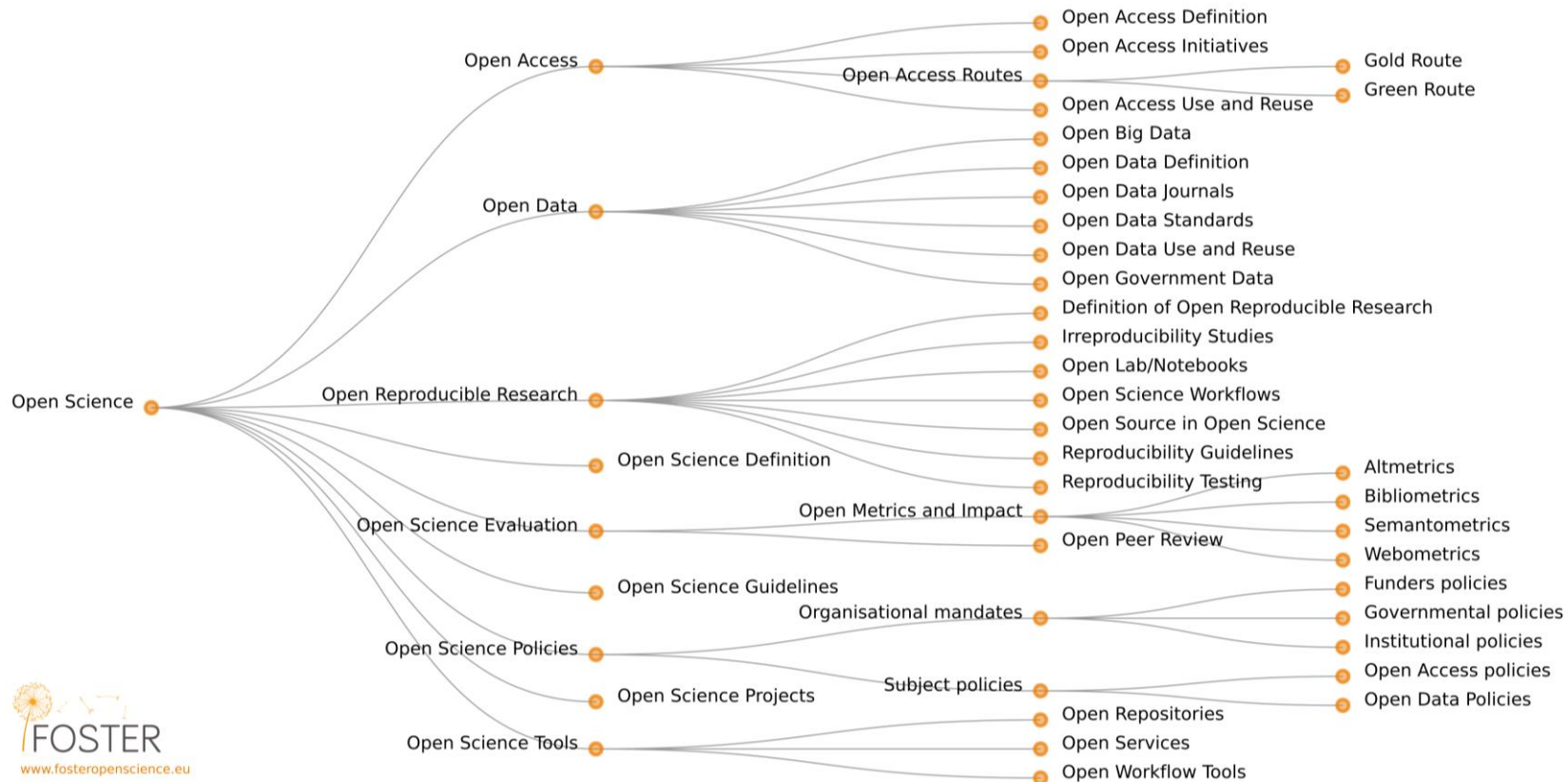
[https://cessda.net/content/download/243/2401/file/CESSDA%20User%20Guide%20for%20data%20management\\_6\\_Data%20security.pdf](https://cessda.net/content/download/243/2401/file/CESSDA%20User%20Guide%20for%20data%20management_6_Data%20security.pdf)



# Data protection: tips

- Collected survey data and personal data (such as addresses, telephone number etc. needed for field work) must be stored separately
- Sensitive information (such as on race, ethnicity, health, religion, political views and engagement, sexual orientation) need even stronger protection
- Re-identification of survey participants is strictly forbidden for both primary researchers and secondary users

# Open Science taxonomy



# FOSTER – an Open Science portal

## COURSE: Introduction to Open Science

Intended audience Researchers and Students Level: Introductory: no previous knowledge is required

The following course is a general introduction to the various components and philosophies of Open Science, that can directly enrich each step of the scholarly lifecycle (Open Notebook Science, OpenData, Open Research Software, Open Access). The overall objective of the course is to provide an introduction to why Open Science is essential to rigorous, reproducible and transparent research, as well as to future research evaluation criteria focused on societal impact.

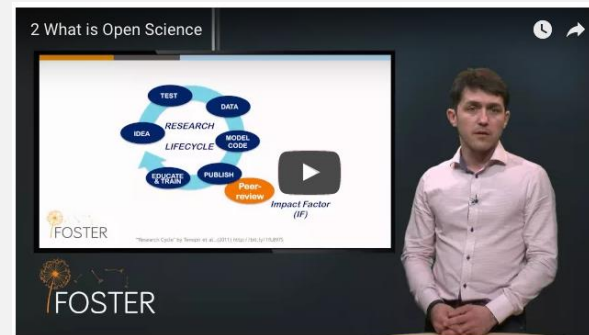
Open Science is the practice of science in such a way that others can collaborate and contribute, where research data, lab notes and other research processes are freely available, under terms that enable reuse, redistribution and reproduction of the research and its underlying data and methods.

The learning outcomes of this course are:

- Understand the relevance of OS in relation to research integrity, reproducibility and impact;
- Identify suitable tools to help you embrace OS at each stage of the research lifecycle;
- Understand the potential of OS in supporting innovation and economic growth

# Course: Introduction to Open Science

- Online material with re-usable/open licenses
- Videos, readings, quizzes, certificate
- Self-paced for the time being
- Forum where learners can post questions





# FOSTER Objectives & project activities 2017-2019

- Strengthening Open Science **training capacity** in ERA
- Focusing on **practical implementation** of Open Science & ‘**training the trainers**’
- Training resources: new topics **RDM & Open Data** + **intermediate & advanced** level, and **discipline specific**
- Involving disciplines:
  - **Humanities**
  - **Social sciences**
  - **Life sciences**



New Open Science training resources:  
**toolkit & training handbook**



New functionalities on portal:  
**badging & gaming**

More e-learning & face to face  
**trainings & training calendar**



Initiate **bootcamp & network** for  
Open Science trainers





## Save the date: Open Science Trainer Bootcamp 18-20 April

---

Become an Open Science  
trainer in our 3 day  
programme in Barcelona!

For more information  
check the news on our  
website.



[www.fosteropenscience.eu/news](http://www.fosteropenscience.eu/news)





## Book sprint: Open Science Training Handbook, 12-15 February, Germany

---

Share your experience and help to write a book!

Open Science trainers and educators will collaboratively author a training handbook.

Check the news on our website and apply now.



[www.fosteropenscience.eu/news](http://www.fosteropenscience.eu/news)

Thank you!  
Questions?

[iryna.kuchma@eifl.net](mailto:iryna.kuchma@eifl.net)