



OPEN SCIENCE IN

THE CORE OF RESEARCH

2nd October 2019

Thessaloniki

AGENDA

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Germany
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<http://bit.ly/2p4sONY>

Time	Topic
10:00	Welcome and Introduction
10:10 11:00	Open Science practices Open Access Publishing What is Open Access and how to provide it How to implement Open Access and Open Science Open Access in Horizon 2020: how to comply with H2020 Open Science requirements
11:00	Breakout groups discussions on Open Science practices
11:30	Coffee break
11:45 12:30	Managing and Sharing Research Data Open, closed and shared data Data Management Plans Open Data in Horizon 2020: how to comply with H2020 Open Science requirements European Open Science Cloud and OpenAIRE services
12:30	Discussion
13:00	Lunch
14:00 15:00	National services and tools to support your research (Greek National Open Access Desk - OpenAIRE) Plenary discussion: how to implement Open Science principles in my research



Fostering improved training
tools for Responsible
Research & Innovation

www.fit4rri.eu



Open Science
Infrastructure for
Research in Europe

www.openaire.eu

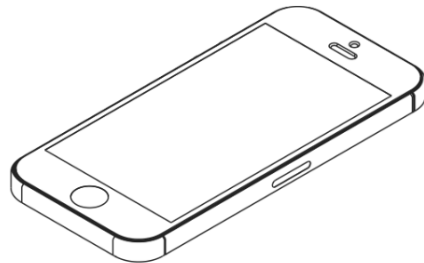




OPEN SCIENCE IN

THE CORE OF RESEARCH

Go to www.menti.com and use the code **33 49 19**



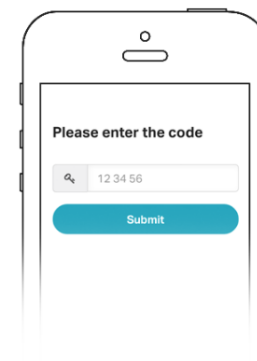
1

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3

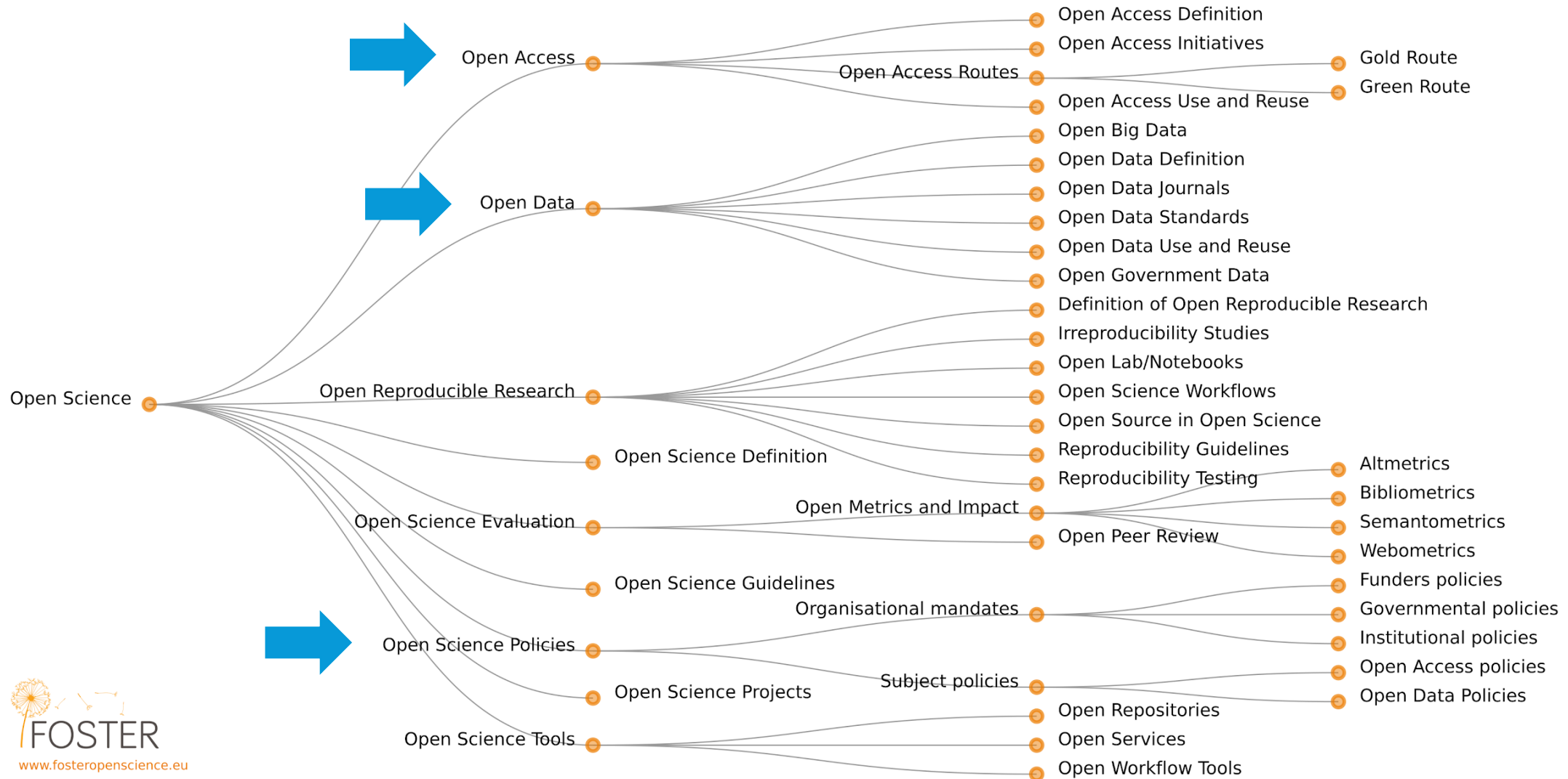
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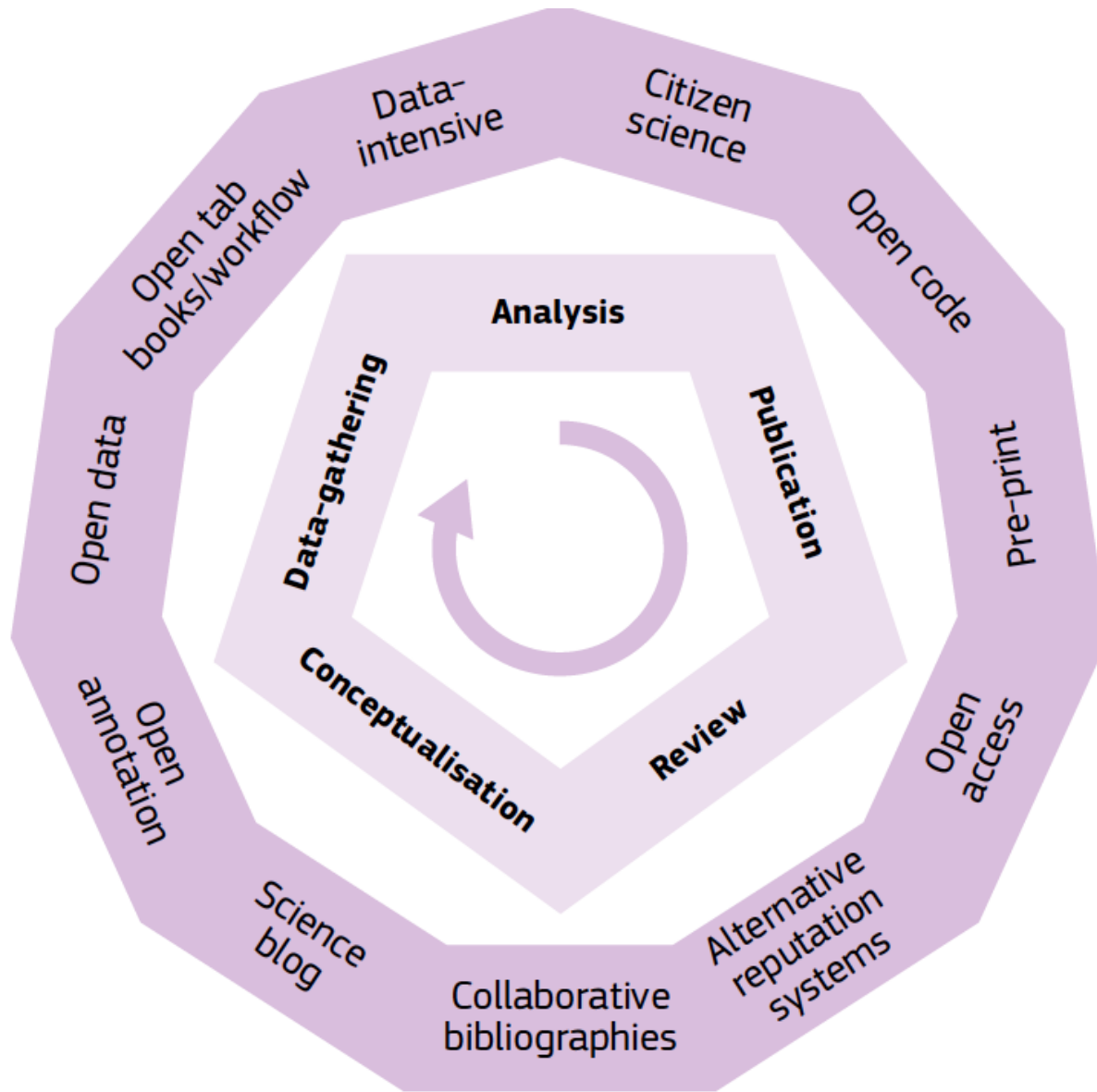
Open Science – Definition

- Open Science is the practice of science in such a way that others can collaborate & contribute, where research data, lab notes & other research processes are freely available, under terms that enable reuse, redistribution & reproduction of the research & its underlying data & methods.
[FOSTER, Open Science Definition:
<https://www.fosteropenscience.eu/foster-taxonomy/open-science-definition>]
- The movement to make scientific research, data and dissemination accessible to all levels of an inquiring society.
[FOSTER, Open Science Definition
<https://www.fosteropenscience.eu/taxonomy/term/7>]



Open Science Taxonomy

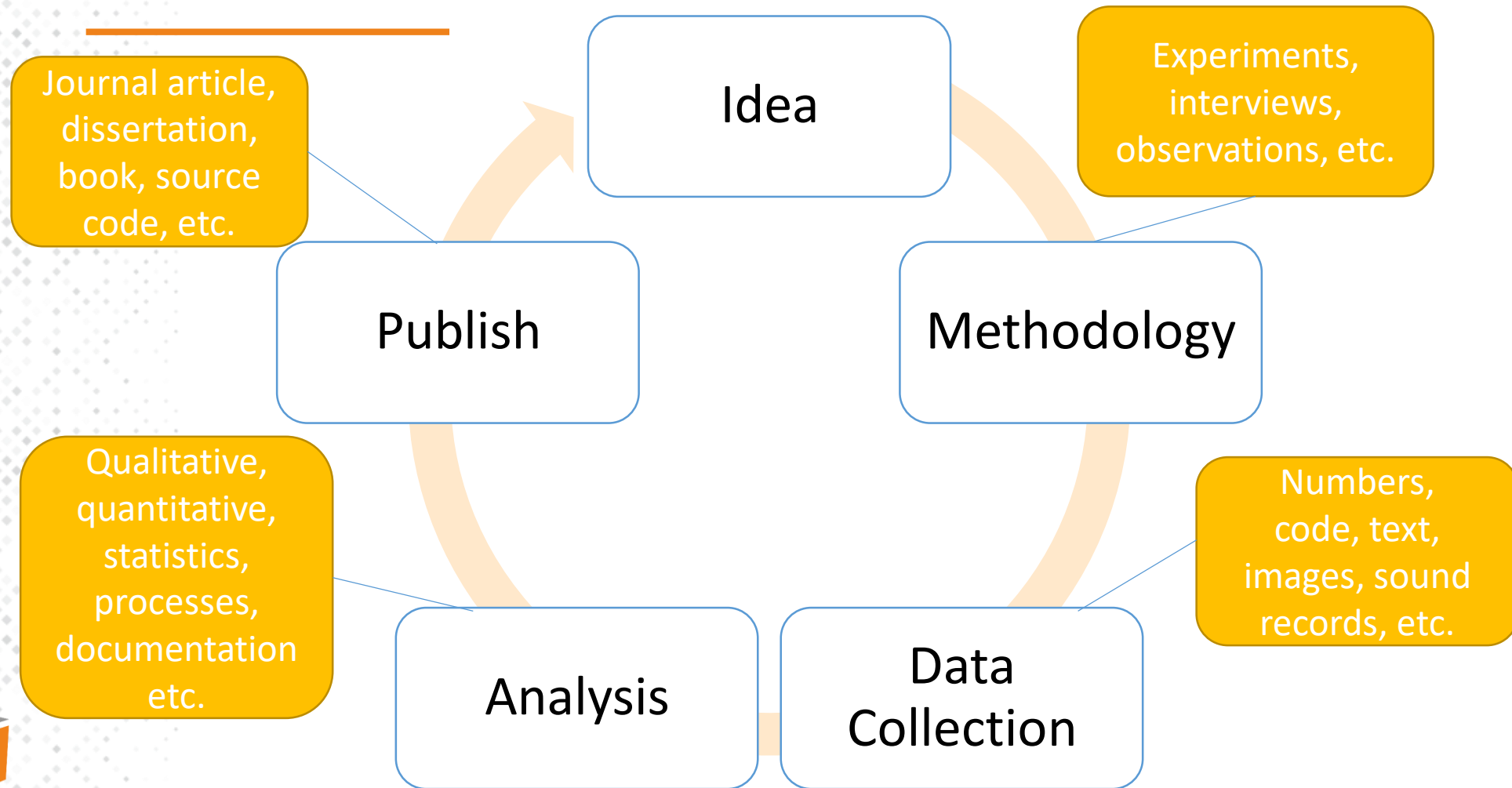




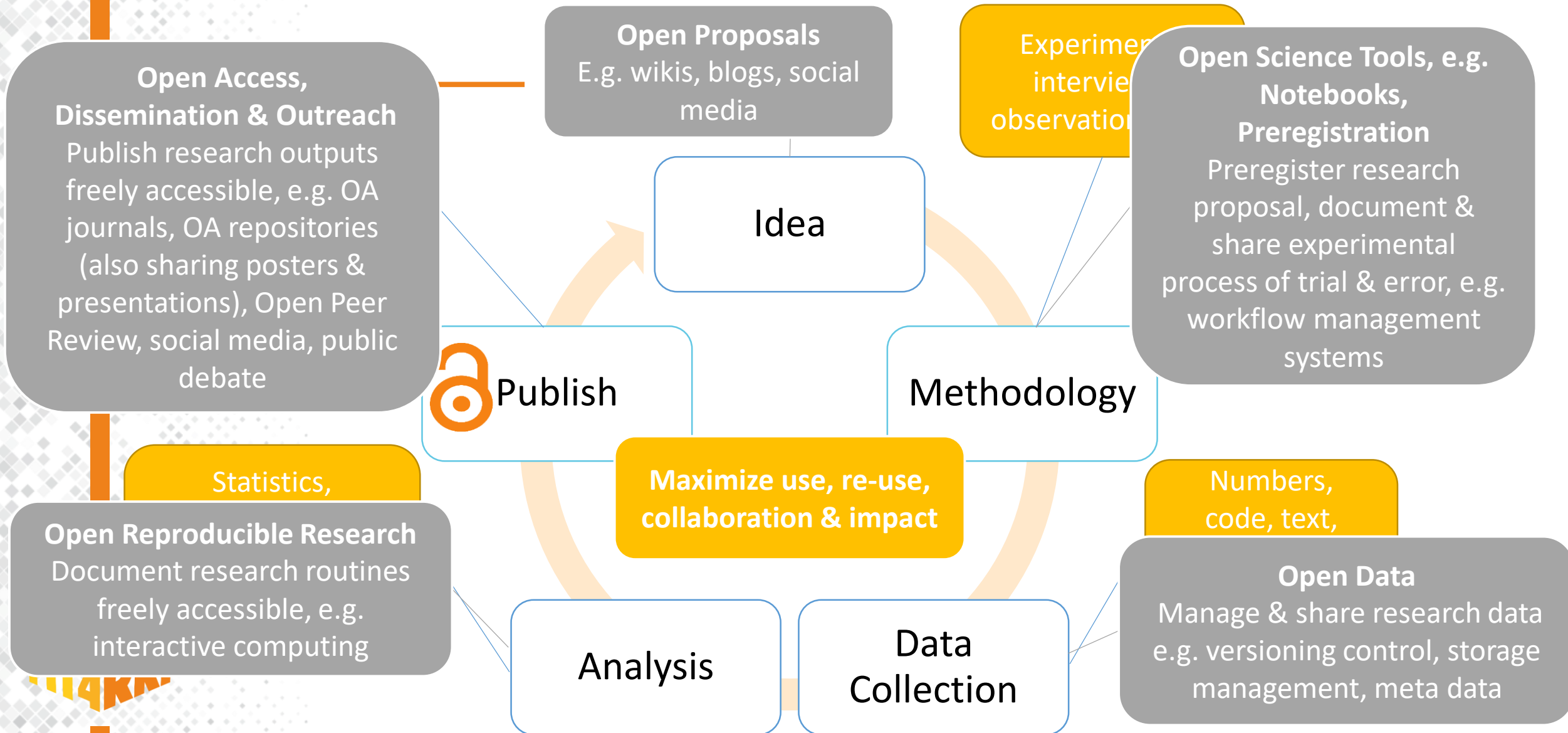
► You can open up your research at every step of the research cycle



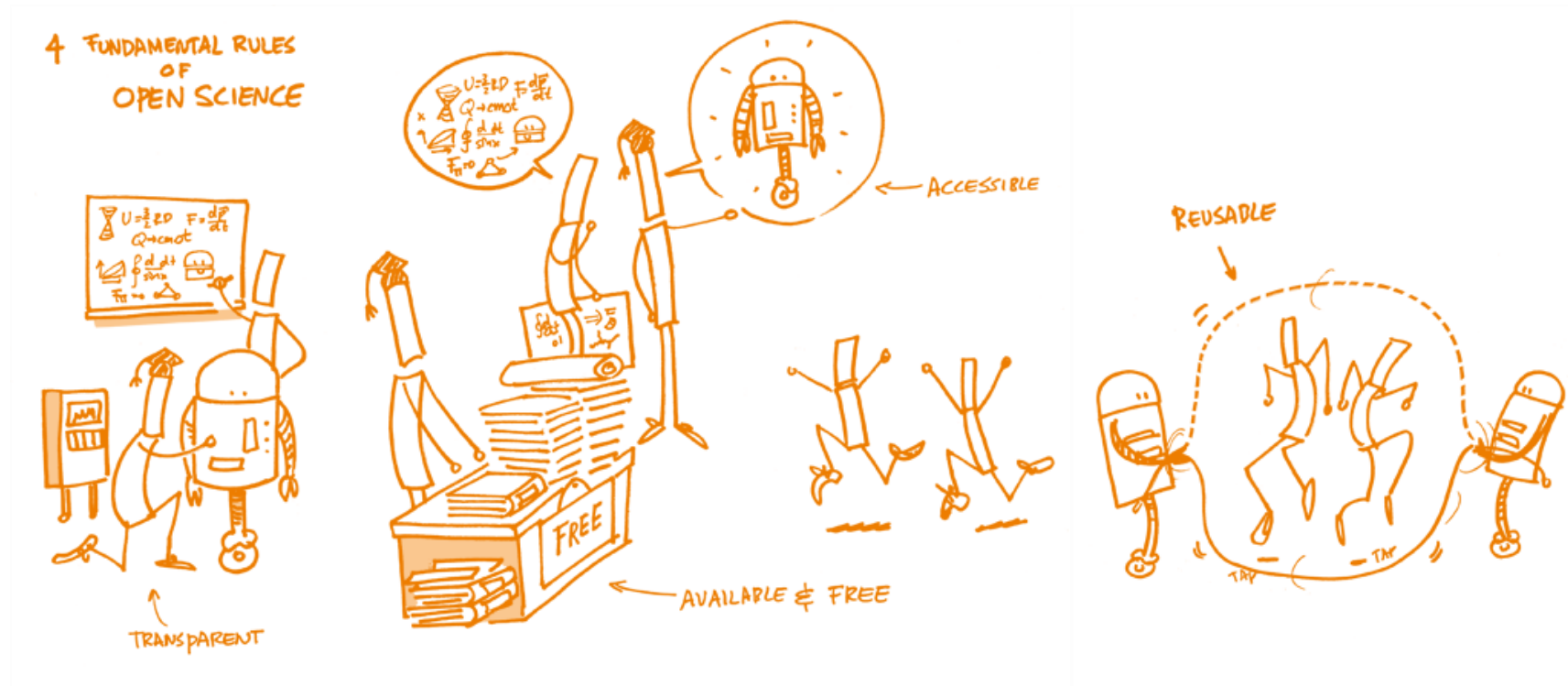
Open Science along the research lifecycle



Opening up the research life cycle



4 fundamental rules of Open Science



Open Science Training Handbook. <https://book.fosteropenscience.eu/>

Basic tools

- Digital Object Identifiers (DOIs)
- Rich meta data
- Long-term archiving e-infrastructure

Definition of Open Access

- "By 'open access' to [research] literature we mean its free availability on the public internet, permitting any users to read, download, copy, distribute, print, search, or link to the full texts of articles, crawl them for indexing, pass them as data to software, or use them for any other lawful purpose, without financial, legal, or technical barriers other than those inseparable from gaining access to the internet itself."

- [\[Budapest Open Access Initiative\]](#)

- Helpful resources

- [PASTEUR4OA Briefing Paper: Open Access](#)
 - [Definitions of Terms Used in Open Science and Open Access](#)



Why Open Access?

- "OA articles receive 18% more citations than average"

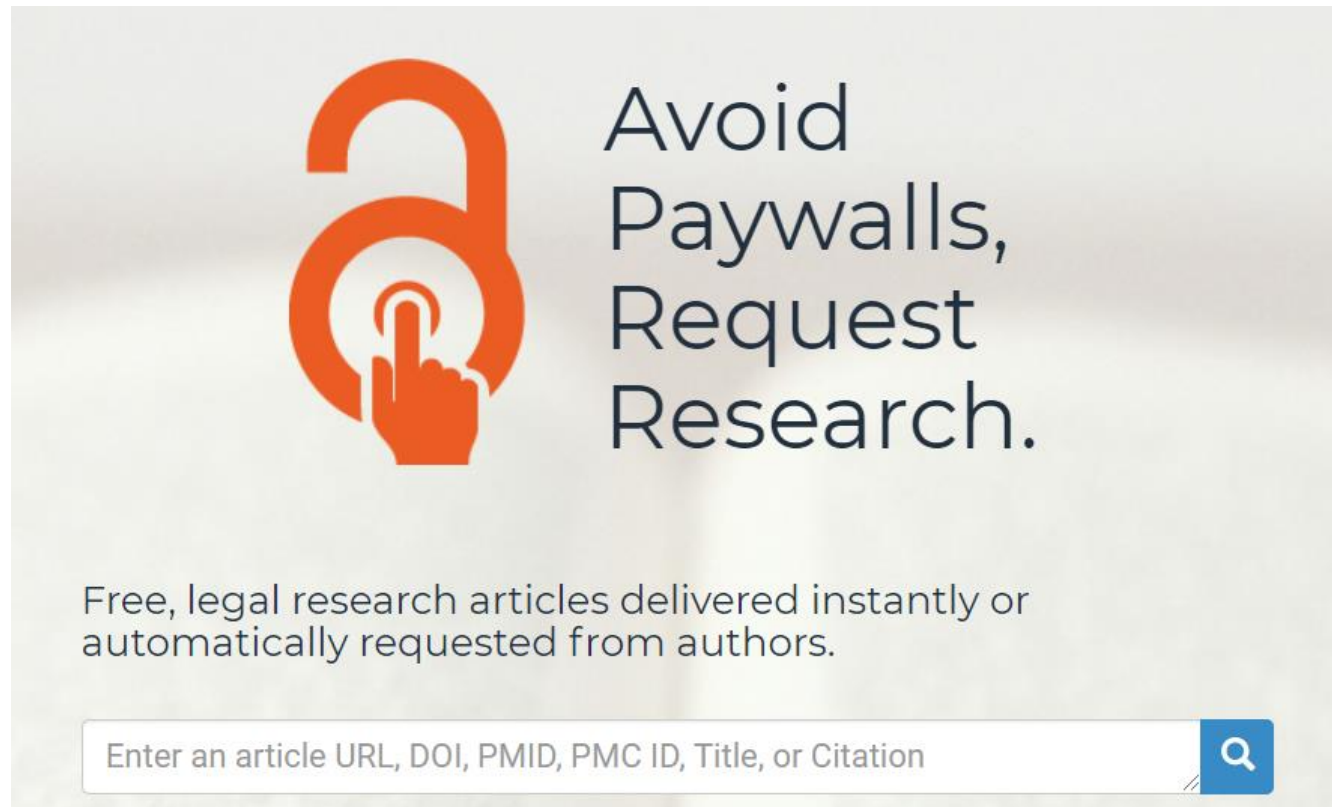
Piwowar et al. 2018, doi: [10.7717/peerj.4375](https://doi.org/10.7717/peerj.4375)

- OA is increasing visibility, usage and impact of research
 - allows the professional, practitioner, business communities, and the interested public, to benefit from research.
- OA is improving the speed, efficiency and efficacy of research, reproducibility and collaborations.



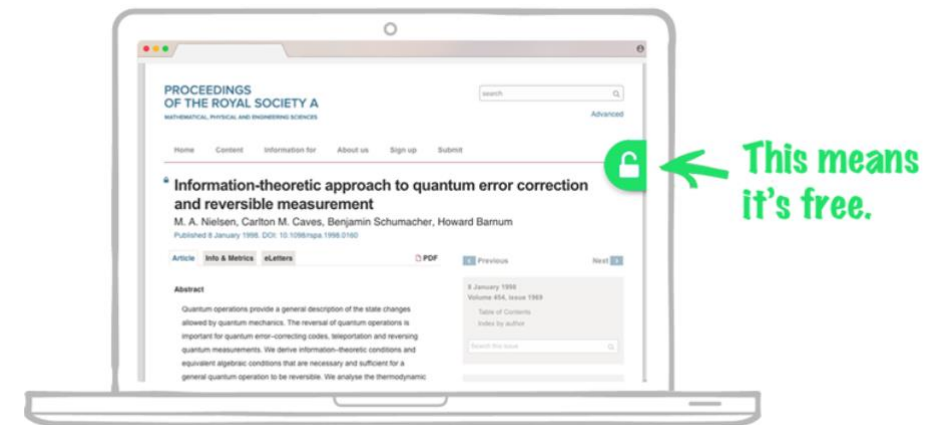
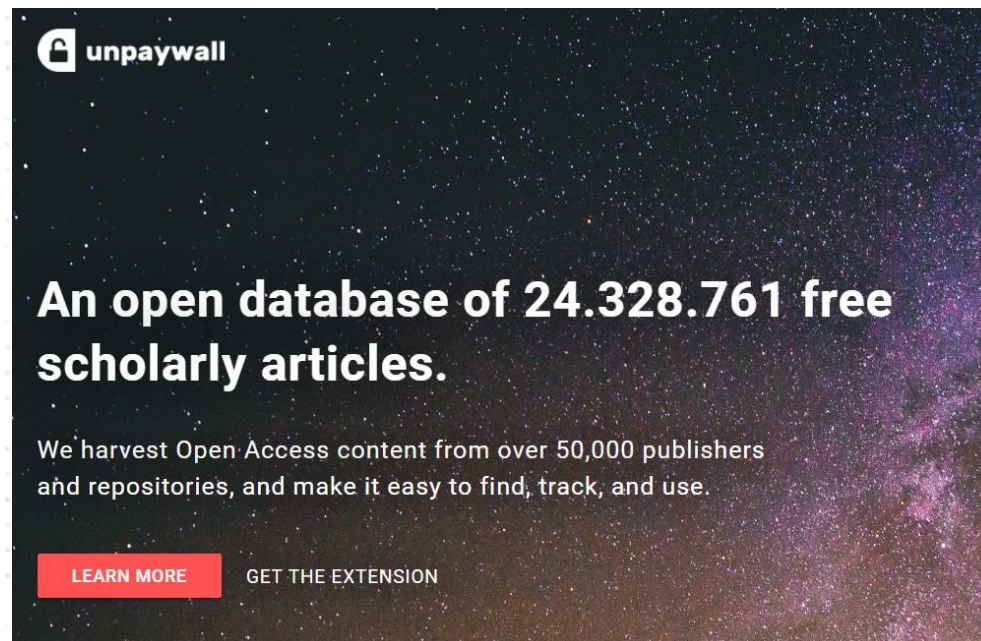
Find OA content – free tools

- [OA Button](#) - access a free copy of pay-walled



Find OA content – free tools

- Unpaywall - access a free copy of pay-walled papers



Realising OA – 2 strategies

➤ Provide tools and assistance to scholars to deposit their refereed journal articles in open electronic repositories.

Green Open Access
(self-archiving)

➤ New generation of journals using copyright and other tools to ensure permanent open access to all the articles they publish.

Gold Open Access
(Open Access publishing)



Green & Gold Open Access

- Video: difference between Gold & Green OA
- <https://www.youtube.com/watch?v=gzRgknylTEM>





How to implement Open Access

Green Open Access: self-archiving

- Published work/final peer-reviewed manuscript is made freely & openly accessible by author or representative, in an online repository.
- With some publishers: Depositing OA only after an embargo period (between several months and several years) possible

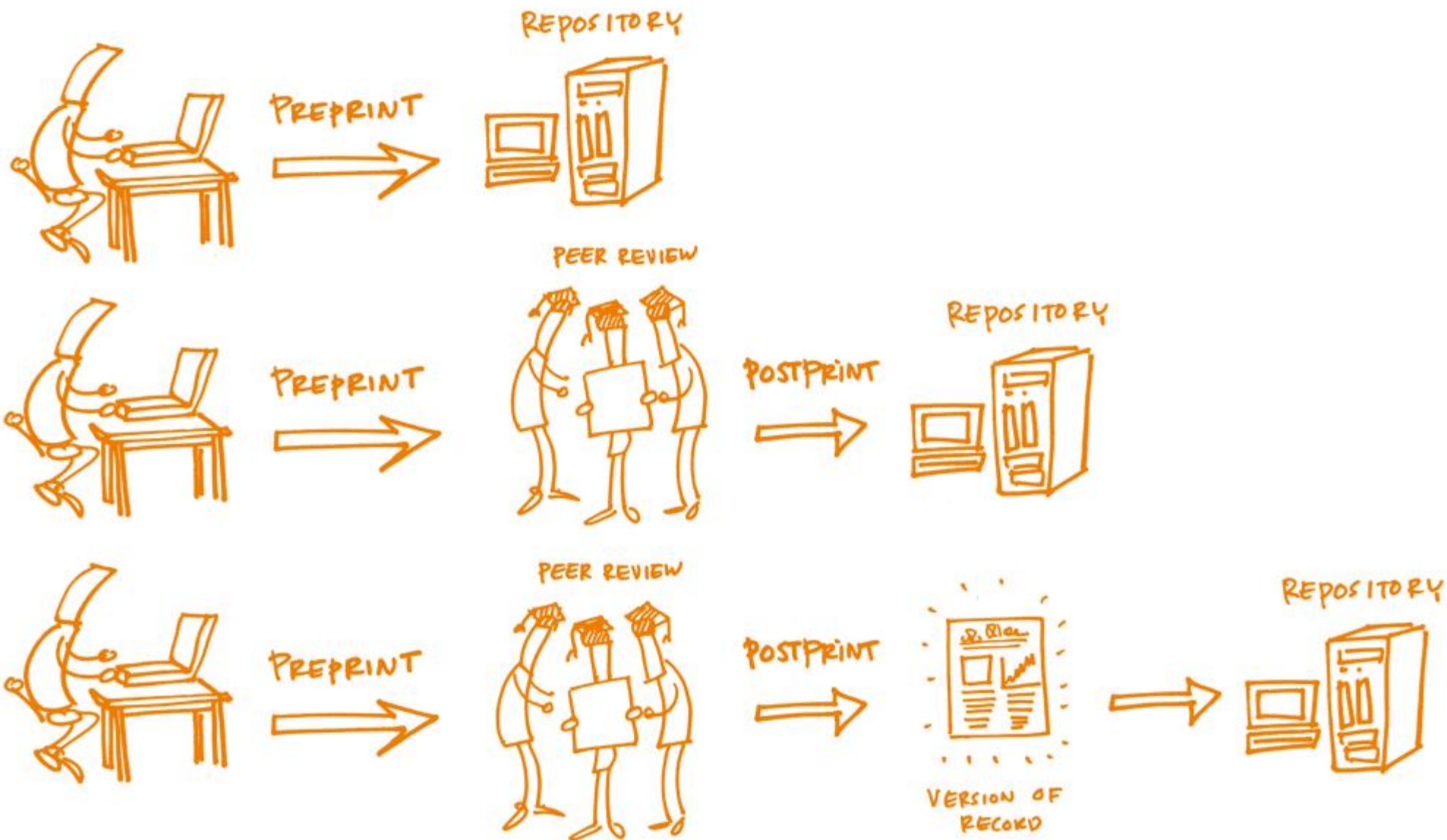


Does your publisher allow self-archiving?

- Journals often require that authors transfer full copyright.
 - authors must ask for permission to reuse their own work
- Which version of the paper can be deposited?
- When it can be publicly available?



MODES OF SELF-ARCHIVING



Does your publisher allow self-archiving?

➤ Check journal self-archiving policy on

1. Publisher's web-site
2. [SHERPA RoMEO](#) (UK based service, but covers self-archiving & copyright policies of international journals)
3. [DULCINEA](#) (Spanish journals)
4. [Héloïse](#) (French journals)



DULCINEA

Derechos de explotación y permisos para el auto-archivo de revistas científicas españolas



Find a suitable repository

Check with your library if your organization has an institutional repository.



Check out [OA Directory](#) to find a discipline-specific repository.

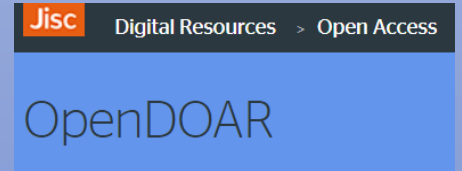


[ROAR](#) - Registry of Open Access Repositories, provides information about growth and status of international repositories.

Registry of Open Access Repositories

Home About Search Search Content

Search in [OpenDOAR](#): an authoritative directory of academic OA repositories.

The FIT4RRI logo, featuring the text "FIT4RRI" in a stylized, 3D font with orange and yellow accents.
The zenodo logo, featuring the word "zenodo" in a white, lowercase, sans-serif font on a blue background.

[Zenodo](#) is a general repository, accepting all kinds of materials from all disciplines.

Social networking site vs. repositories

	Open access repositories	Academia.edu	ResearchGate
Supports export or harvesting	Yes	No	No
Long-term preservation	Yes	No	No
Business model	Nonprofit (usually)	Commercial. Sells job posting services, hopes to sell data	Commercial. Sells ads, job posting services
Sends you lots of emails (by default)	No	Yes	Yes
Wants your address book	No	Yes	Yes
Fulfills requirements of UC's OA policies	Yes	No	No



<http://creativecommons.org/licenses/by/4.0/> University of California OSC

Social networking sites:

→ for boosting
visibility

OA repositories:

→ For preservation &
harvesting

Self-archive in
repository & link to it



How to find an Open Access journal

- Use the [Directory of Open Access Journals](#)

The Directory of Open Access Journals is a community-curated online directory that indexes over 11,000 high quality, open access, peer-reviewed journals.

You can search DOAJ content by ISSN, subject, license, publisher, full text language, date added, DOI, author, title, keywords, and country.

- Analyze the journal's policies
 - Versions you can make available
 - Embargo periods



How to check if a publisher is reputable?

- Analyze article processing charges (APCs)
 - Open Access Journals (may or not charge)
 - Hybrid journals (usually more expensive than full OA)
- Use Think.Check.Submit to see if the publisher is reputable



Choose the right journal for your research

Home Think Check Submit Languages About



Reference this list for your chosen journal to check if it is trusted.

- Do you or your colleagues know the journal?
 - Have you read any articles in the journal before?
 - Is it easy to discover the latest papers in the journal?
- Can you easily identify and contact the publisher?
 - Is the publisher name clearly displayed on the journal website?
 - Can you contact the publisher by telephone, email, and post?
- Is the journal clear about the type of peer review it uses?
- Are articles indexed in services that you use?
- Is it clear what fees will be charged?
 - Does the journal site explain what these fees are for and when they will be charged?

Licenses

Take some time to consider:
who owns the IPR?

➤ As a researcher carrying out your work, you may be the owner of the related IPR for the outputs, but this is not guaranteed.

- Check your employment contract and/or Intellectual Property policies at your **institution**;
- Your **funding body's** directives
- Your **journal publisher** may also have specific requirements relating to licenses



Open Licensing

Licensing your research outputs is an important part of practicing Open Science. In this course, you will:

- know what licenses are, how they work, and how to apply them&nbs...



Licenses

➤ [Choosealicense.com](https://choosealicense.com)



Choose an open source license

An open source license protects contributors and users. Businesses and savvy developers won't touch a project without this protection.

Which of the following best describes your situation?

- I need to work in a community.**
Use the [license preferred by the community](#) you're contributing to or depending on. Your project will fit right in.
If you have a dependency that doesn't have a license, ask its maintainers to [add a license](#).
- I want it simple and permissive.**
The [MIT License](#) is short and to the point. It lets people do almost anything they want with your project, including to make and distribute closed source versions.
[Babel](#), [.NET Core](#), and [Rails](#) use the MIT License.
- I care about sharing improvements.**
The [GNU GPLv3](#) also lets people do almost anything they want with your project, except to distribute closed source versions.
[Ansible](#), [Bash](#), and [GIMP](#) use the GNU GPLv3.

What if none of these work for me?

- My project isn't software.**
There are licenses for that.
- I want more choices.**
More licenses are available.
- I don't want to choose a license.**
Here's what happens if you don't.

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<https://choosealicense.com/>

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LEAST FREE

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Sharing preprints



Sharing preprints

This course shows you how sharing preprints can improve your research and support Open Science. By the end of the course, you will:

- know what preprints are
- be able to...

<https://www.fosteropenscience.eu/learning/sharing-preprints>

Pros

Click the plus sign to expand the text box.

- + Faster dissemination of results
- + Establishing primacy
- + Preprint publishing fosters open science
- + Evidence of productivity and accomplishment
- + Increased visibility of your emerging research increases potential dissemination channels
- + Feedback on your work and potential for improvements, enhanced quality
- + Establishing priority of discoveries and ideas
- + Potential for developing new collaborations earlier
- + OA to your work across the globe

Cons

Click the plus sign to expand the text box.

- + Not all journals accept papers that have been submitted to a preprint server
- + Risk of 'preprint wars'
- + Risk of embargo violations
- + Poor quality and irreproducible data will be posted in preprint form
- + Scientists will rush out data prematurely to claim priority and get credit
- + Scientists will try to "scoop my work" if I post as a preprint

Preprint repositories

Want to give it a try? Here are some links to discipline-specific and general preprint repositories that you can use.

- [arXiv](#) (physics, mathematics, computer science, quantitative biology, statistics, engineering, and economics)
- [AgriXiv](#) (agriculture)
- [bioRxiv](#) (biology)
- [BITSS](#) (research methodology)
- [EarthArXiv](#) (Earth sciences)
- [engrXiv](#) (Engineering),
- [LawArXiv](#) (law)
- [LISSA](#) (library and information science)
- [MarXiv](#) (ocean and marine-climate sciences)
- [Mathematics Preprint Servers](#) (mathematics)
- [MindRxiv](#) (research on mind and contemplative practices)
- [NutriXiv](#) (nutritional sciences)
- [paleorXiv](#) (palaeontology)
- [PsyArXiv](#) (psychology)
- [RAAG](#) (algebra and analytic geometry)
- [SocArXiv](#) (social sciences)
- [SportRxiv](#) (sport and exercise-related research)
- [Figshare](#) (general)
- [OSF Preprints](#) (general)
- [PeerJ](#) (general)
- [Zenodo](#) (general)

Joseph McArthur, Co-founder of the [Open Access Button](#) maintains a [list of preprint repositories](#).

Bear in mind that some Open Access publications repositories allow you to upload preprints as well, for example the [Social Science Open Access Repository \(SSOAR\)](#), run by Germany's Leibniz Association.



How it works

- ✓ articles are not peer-reviewed, edited, or typeset before being posted online.
- ✓ articles undergo a **basic screening process** for **offensive and/or non-scientific content** and for material that might pose a **health or biosecurity risk** and are checked for **plagiarism**.
- ✓ an article should not be posted if it has already been accepted for publication by a journal.
- ✓ once posted, articles are **citable** and therefore cannot be removed.









How it works

- ✓ **authors retain copyright** and choose from distribution/reuse options under which to make the article available (CC-BY, CC-BY-NC, CC-BY-ND, CC-BY-NC-ND, CC0, or no reuse).
- ✓ authors explicitly consent to **text mining** of their work (e.g., by search engines or researchers).
- ✓ **To check if a journal allows preprints, the best source of information is always the journal website.** But you can also search [SHERPA/RoMEO](#), a [crowd-sourced list of journal policies on preprints on Wikipedia](#).



Levels of openness

Access	Reader Rights	Reuse Rights	Copyrights	Author Posting Rights	Automatic Posting	Machine Readability	Access
 OPEN ACCESS 	Free readership rights to all articles immediately upon publication	Generous reuse & remixing rights (e.g., CC BY license)	Author holds copyright with no restrictions	Author may post any version to any repository or website	Journals make copies of articles automatically available in trusted third-party repositories (e.g., PubMed Central) immediately upon publication	Article full text, metadata, citations, & data, including supplementary data, provided in community machine-readable standard formats through a community standard API or protocol	 OPEN ACCESS 
	Free readership rights to all articles after an embargo of no more than 6 months	Reuse, remixing, & further building upon the work subject to certain restrictions & conditions (e.g., CC BY-NC & CC BY-SA licenses)	Author holds copyright, with some restrictions on author reuse of published version	Author may post final version of the peer-reviewed manuscript ("postprint") to any repository or website	Journals make copies of articles automatically available in trusted third-party repositories (e.g., PubMed Central) within 6 months	Article full text, metadata, citations, & data, including supplementary data, may be crawled or accessed through a community standard API or protocol	
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<https://sparcopen.org/our-work/howopenisit/>

You have a say!

- ✓ Instead of transferring copyright, use a License to publish
 - (agreement that does not transfer the author's copyright to the publisher; several publishers allow it)
- ✓ Negotiate with the publisher
 - Rights
 - Sharing policy
 - Embargo periods

Example

<https://pandelisperakakis.wordpress.com/2015/09/09/how-to-negotiate-with-publishers-an-example-of-immediate-self-archiving-despite-publishers-embargo-policy/>



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How to publish Open Access without spending all your budget

Being open doesn't have to break the bank!

~70% of OA journals do not charge.

Many OA journals have low-cost fees.

Most OA journals have fee waivers.

Some institutions have OA publisher memberships.

Some institutions have OA publishing funds.

Some funders provide OA publishing fee support.

Self-archiving openly costs nothing.



eLIFE



wellcometrust



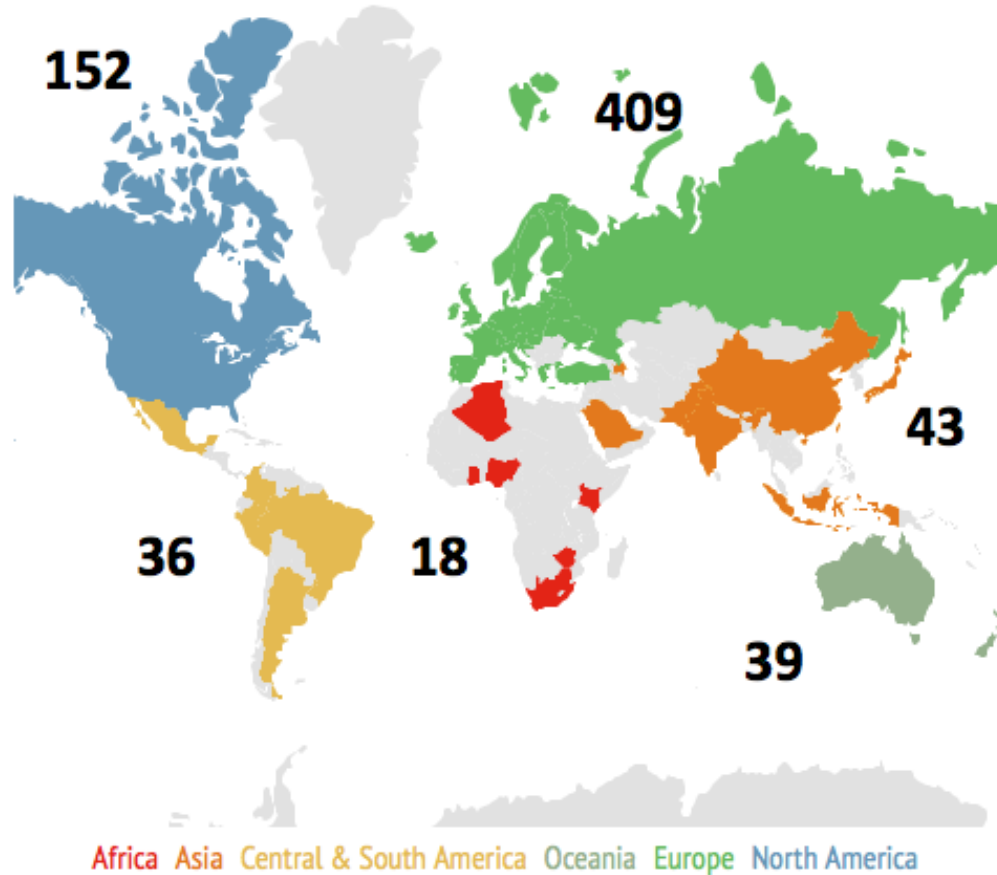
How to increase visibility

- ❖ Use a precise name and an identifier (ORCID)
- ❖ Create and maintain your researcher profiles
- ❖ Send corrections to databases (like Web of Science and Scopus)
- ❖ Use the social web to disclose your publication
- ❖ Publish open access when possible and required





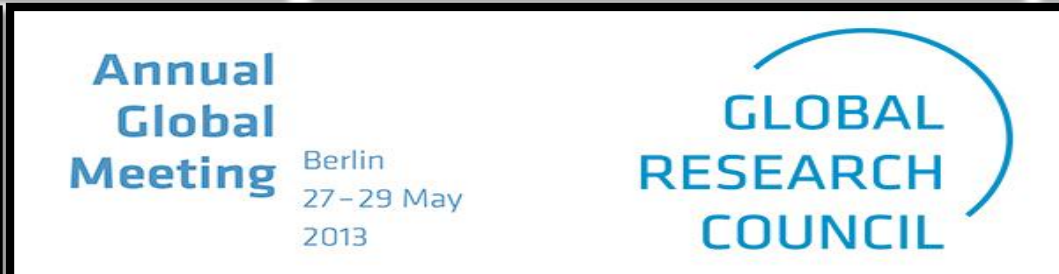
Open Access in Horizon 2020:
how to comply with H2020
Open Science requirements



Open Access Policies by Continent

Open Access Policies

Institutional and Funders policies



“The question is no longer „if“ we should have open access. The question is about „how“ we should develop it further and promote it.”



Neelie Kroes

Comisaria Europea para a Agenda Digital, 2011



Open Innovation, Open Science, Open to the World

Carlos Moedas

Comisario Europeo para a Investigación, Ciencia e Innovación, 2015

Open Access in H2020

WHY OPEN ACCESS TO SCIENTIFIC PEER-REVIEWED PUBLICATIONS?



GOOD FOR SCIENCE

allows scientists to build on previous research results and avoids unnecessary duplication of effort
improved quality and greater efficiency




GOOD FOR THE ECONOMY

speeds up innovation
faster progress to market




GOOD FOR SOCIETY

makes research available to individual citizens and to non-profit organisations
greater transparency




HORIZON 2020
OPEN ACCESS
TO SCIENTIFIC PUBLICATIONS




Each Horizon 2020 beneficiary must ensure open access to peer-reviewed scientific publications arising from its research.
See details for 2020 grant agreements in Grant Agreements


WHY OPEN ACCESS TO SCIENTIFIC PEER-REVIEWED PUBLICATIONS?



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speeds up innovation
faster progress to market




GOOD FOR SOCIETY
makes research available to individual citizens and to non-profit organisations
greater transparency


HOW TO ACHIEVE OPEN ACCESS IN HORIZON 2020



SELF-ARCHIVING
'GREEN' OPEN ACCESS
deposit the final peer-reviewed manuscript in a repository of your choice
Repositories must ensure open access at the publication date of next business day for peer-reviewed publications in the natural sciences and humanities




OPEN ACCESS PUBLISHING
'GOLD' OPEN ACCESS
publish in open access journals or hybrid journals
Article processing charges are eligible for reimbursement during the duration of your project. If you already self-archive your pre-accepted article, you may also be eligible for reimbursement of article processing charges.




BOTH OPTIONS ARE POSSIBLE
if the gold route is chosen, the article must also be deposited in a repository to comply with Article 29.2.


MISCONCEPTIONS ABOUT OPEN ACCESS



OPEN ACCESS IS NOT A REQUIREMENT TO PUBLISH
In Horizon 2020 researchers are free to publish or not.



OPEN ACCESS DOES NOT AFFECT THE DECISION TO EXPLOIT RESEARCH RESULTS COMMERCIALY
e.g. through patenting.




The decision whether to publish through open access comes after the more general decision on whether to publish directly or to first seek protection.




It is important to stress that open access publications undergo the same kind of peer-review process as subscription publications.

Get support

Participant Portal section on Open Access
Open Science
OpenAIRE

 @openaccess
@Horizon2020
@OpenAccessEC



”

Open Access is the default for
research results in H2020



FP7 - Open Access Pilot

FP7 – Pilot for 7 areas (special clause 39)

Horizon2020 - Open Access by default

H2020 - Open Access by default

Multi-beneficiary General Model Grant Agreement

29.2 Open access to scientific publications

29.3 Open access to research data

http://ec.europa.eu/research/participants/data/ref/h2020/grants_manual/amga/h2020-amga_en.pdf



“Ensure open access...
as soon as possible and at the latest on publication,
deposit a machine-readable electronic copy of the
published version or final peer-reviewed manuscript
accepted for publication in a **repository** for
scientific publications together with
bibliographic metadata providing the name of the
action, acronym & grant number”



Authors are free to choose between the two main and non-exclusive routes toward Open Access

Self-archiving (,green' open access)

The article is immediately in open access mode, through the publisher. The associated costs are covered by the author/institution/funder.



Open access publishing (,gold' open access)

The published article or the final peer reviewed manuscript is uploaded in an online repository – access is often delayed (,embargo period')



The article must always be deposited in a repository, even if the gold route has been chosen.

Where to deposit?



- Institutional repository (**Repositorio de UJAUME1**)
 - Disciplinary (arXiv, Europe PubMed Central...)
 - Or use Zenodo.org: EC-cofounded, multidisciplinary, free repository
-
- The Directories of Open Access Repositories:
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What to deposit?



- Final peer-reviewed manuscript
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What can I deposit?

Check publishers policies



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access to the article
is limited

When should I deposit?

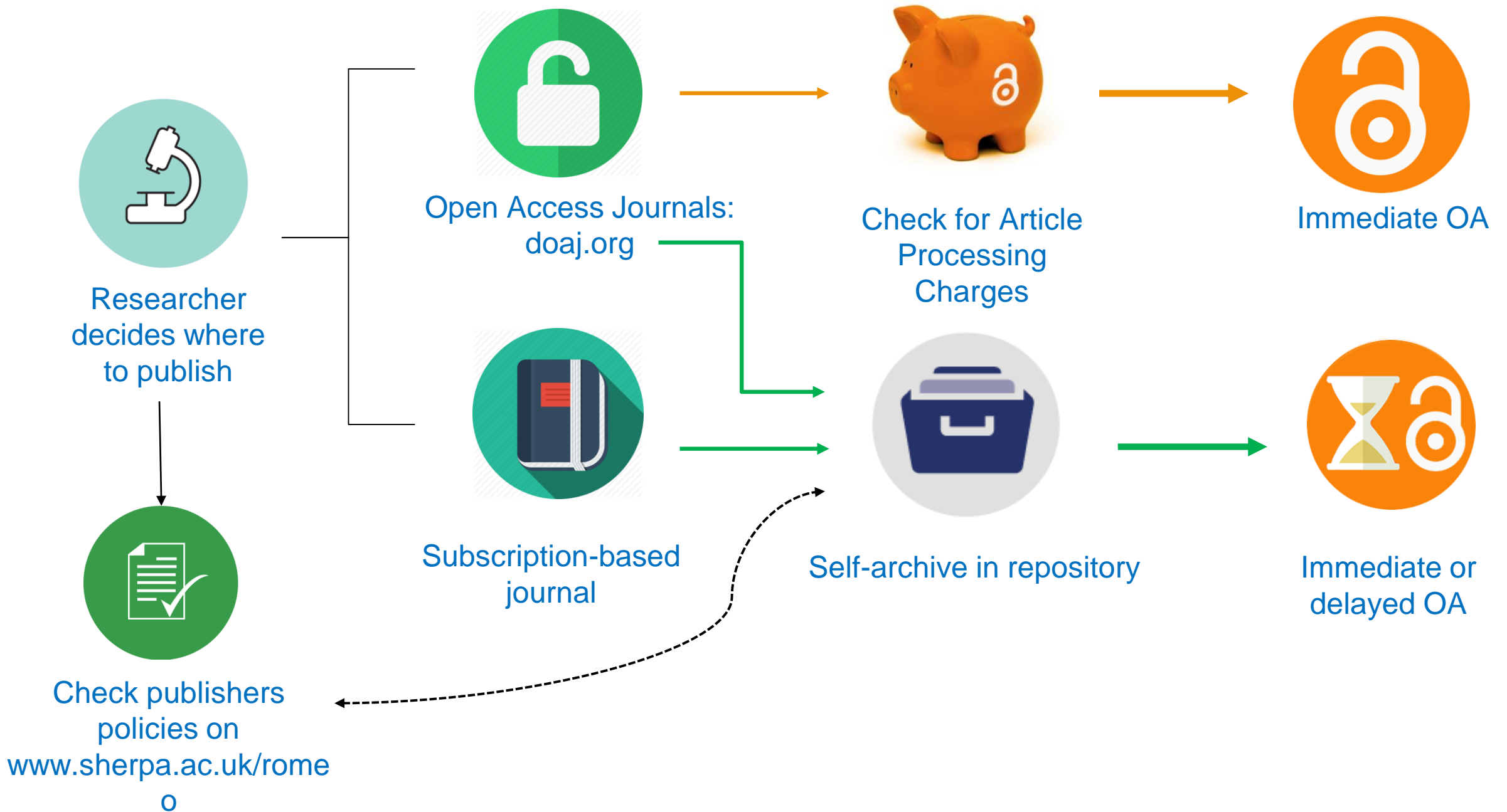
→ As soon as possible, and **at the latest on publication**

When should open access be provided?

- Immediately or
- After embargo period:
 - at most 6 months (12 months for publications in the social sciences and humanities)*

*EC's model amendment to publishing agreements:

http://ec.europa.eu/research/participants/data/ref/h2020/other/hi/oa-pilot/h2020-oa-guide-model-for-publishing-a_en.pdf



Are Article Processing Charges (APCs) supported?



Yes

- Both for OA journals **AND** subscriptions-based journals that offer the possibility of making individual articles openly accessible (hybrid journals)

but...

- Are eligible for reimbursement during the **duration of the action.**

Average APCs

Average:

- 1378 €¹ - 1 978 €²
- 1186 / 1 754 € (OA journal) - 2 280 € (hybrid journal)³
- 1 479 € (OA journal) – 2 493 € (hybrid journal)⁴

Information on APCs per publisher and journal

→ [openAPC project](#)

APCs vary widely



Both types of OA publication cost can be reimbursed in H2020 projects. Currently, there is no price-cap for APCs.

1. [Open access central funds in UK universities. Learned Publishing, \[online\] 25\(2\).](#) Pinfield, S., and Middleton, C., 2012

2. Figure 1: APC pricing distribution. Article processing charges (APCs) and subscriptions. Shamash, K., 2016

3. A study of open access journals using article processing charges. Journal of the American Society for Information Science and Technology, 63(8), pp.1485–1495. Solomon, D.J., and Björk, B.-C., 2012

4. <https://treemaps.intact-project.org/page/about.html>

Some issues to consider



1. Publishing *all* articles in APC based gold OA is not probably the right solution, as this can lead to a substantial amount of the overall project budget.

Therefore, a **mixed strategy of GREEN/GOLD open access is highly recommended.**

2. The growing open access market comes with some challenges

- Lots of new journals/publishers, some of questionable quality (,predatory journals', <http://scholarlyoa.com/publishers/>)



Some caution is needed when publishing, this holds for all journals.
Consult ,white lists' such as DOAJ.

What are projects expected to do?

Projects have to start planning early on

DURING PROPOSAL WRITING PHASE

- Outline of dissemination and exploitation strategy, including OA >> impact section of the proposal (how will results be shared, data be managed and shared?)
- Include resources for publication costs (what journals, how many publications, what does it cost on average?)
- Combine GREEN/GOLD strategies to achieve maximum of OA

DURING THE PROJECT

- Additional provisions in the Consortium Agreement - where to deposit, who is responsible.
- Implementation of the dissemination strategy, report at reviews and update
- What issues occur and how can they be solved? (publisher embargos, repositories for specific material, etc.)

AFTER THE PROJECT END

- Are there publications foreseen after the ending of the project (ie which will not be covered by the budget) – for post-FP7 project publications there is a pilot.
- Who takes care of deposit in repositories after the project end?

What are the consequences for non-compliance with OA requirements?

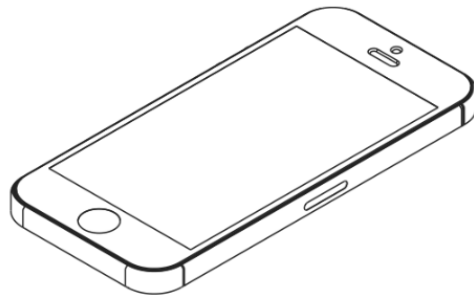
- If a beneficiary breaches any of its obligations, the grant may be reduced (Article 43) and it may also lead to any of the other measures described in Chapter 6 of the General Model Grant Agreement.



http://ec.europa.eu/research/participants/data/ref/h2020/mga/gga/h2020-mga-gga-multi_en.pdf

Group activity

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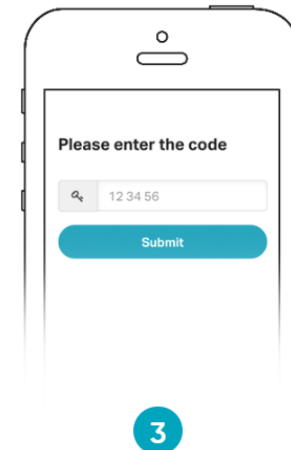
1

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Enter the code **33 49 19** and vote!





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