

# Implementing Funders' Open Access Policies: a European Perspective

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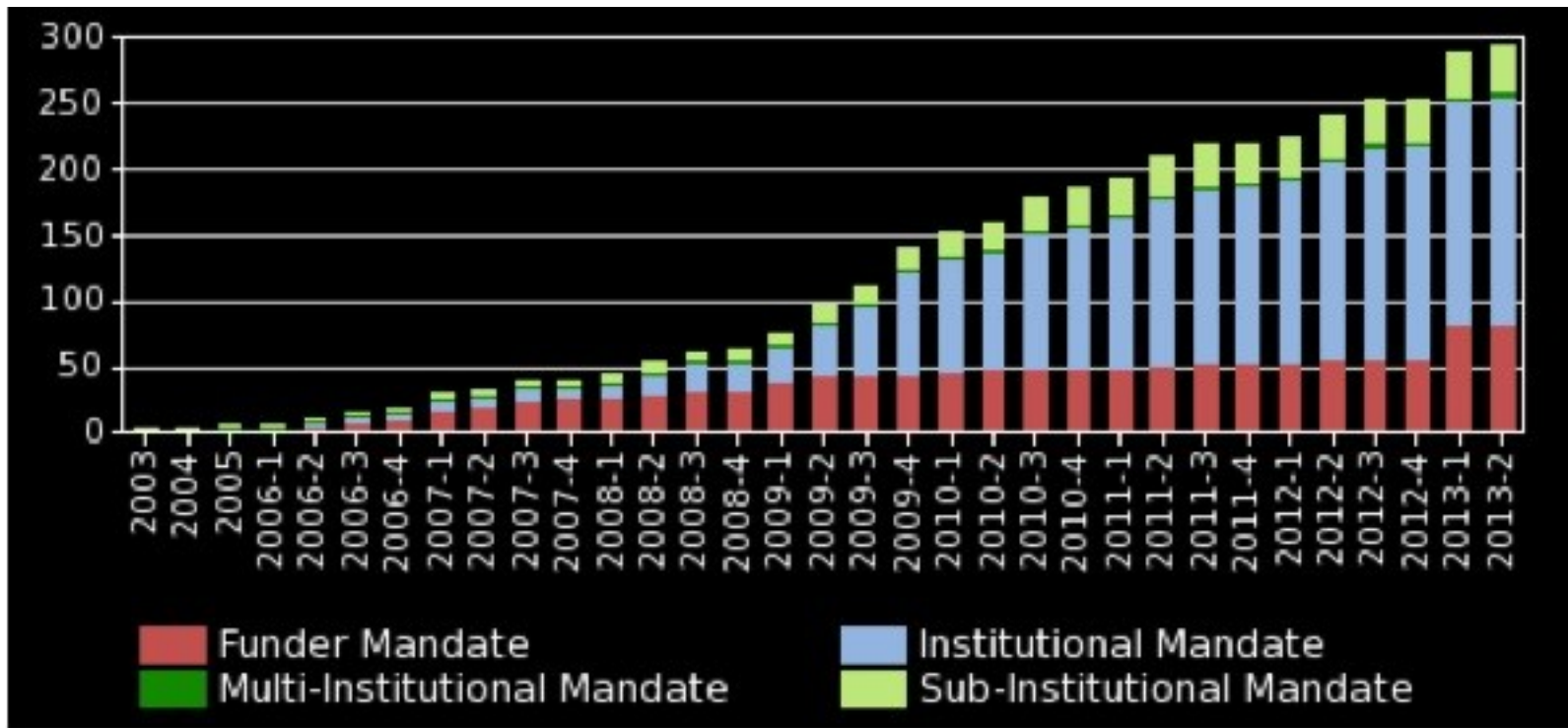
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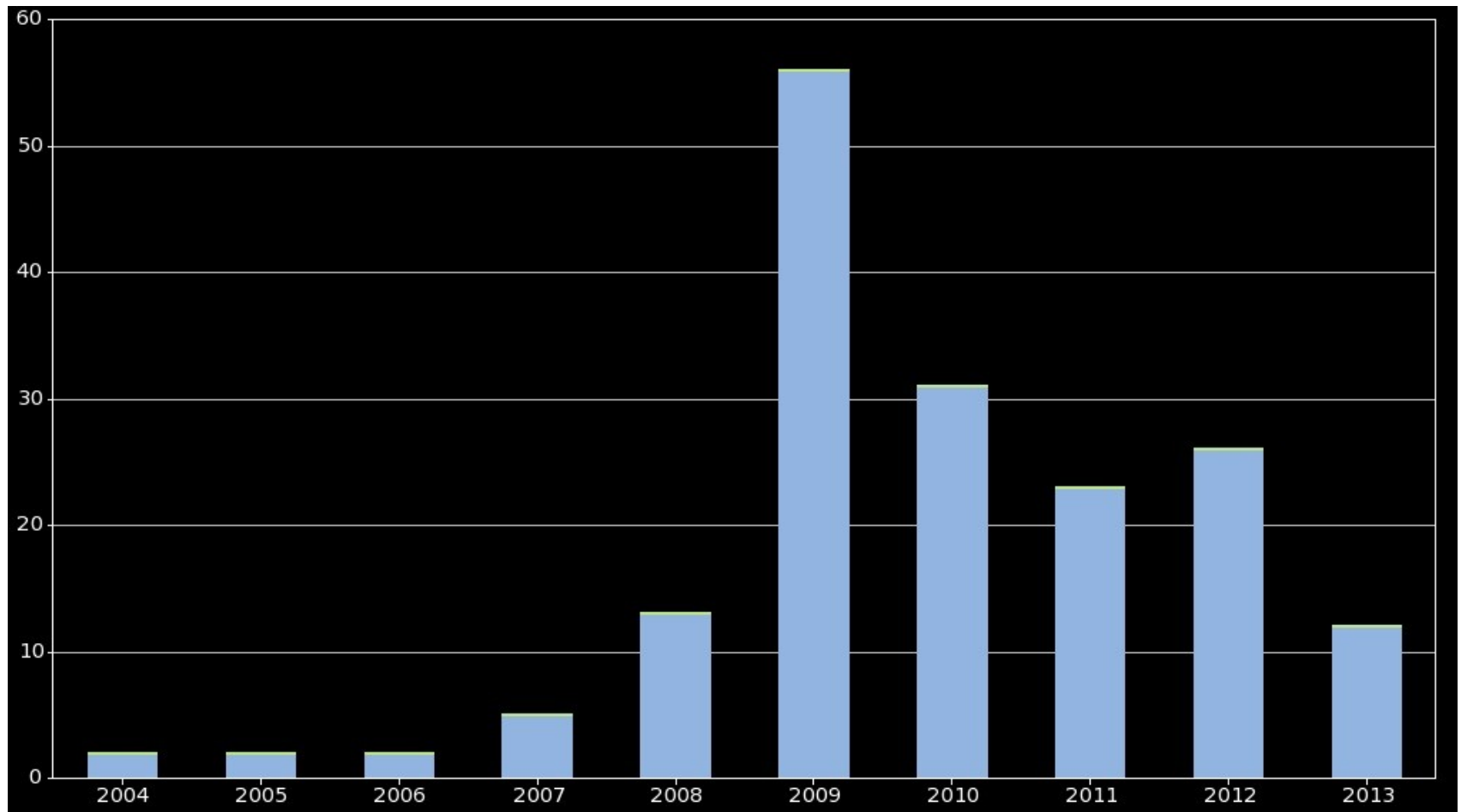
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# Open Access mandatory policies



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# Institutional mandates



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# THE LERU ROADMAP TOWARDS OPEN ACCESS

LERU OPEN ACCESS WORKING GROUP

LEAGUE OF EUROPEAN RESEARCH UNIVERSITIES



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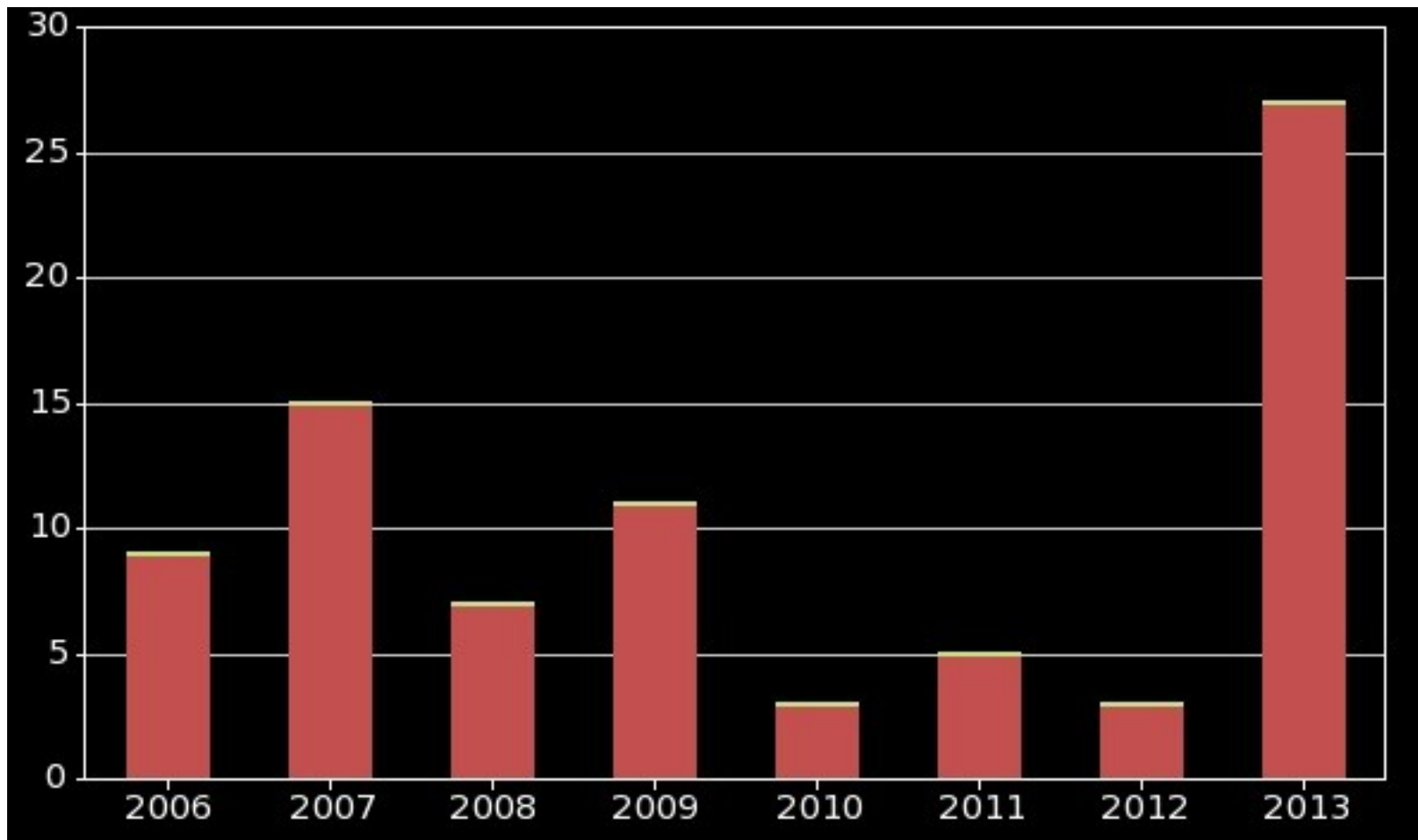
# LERU Roadmap Towards Open Access

- 🌐 Laid out the background and case for OA
- 🌐 Described the two routes ('Green' and 'Gold')
- 🌐 Explained how universities can achieve OA through either (or both) of these routes



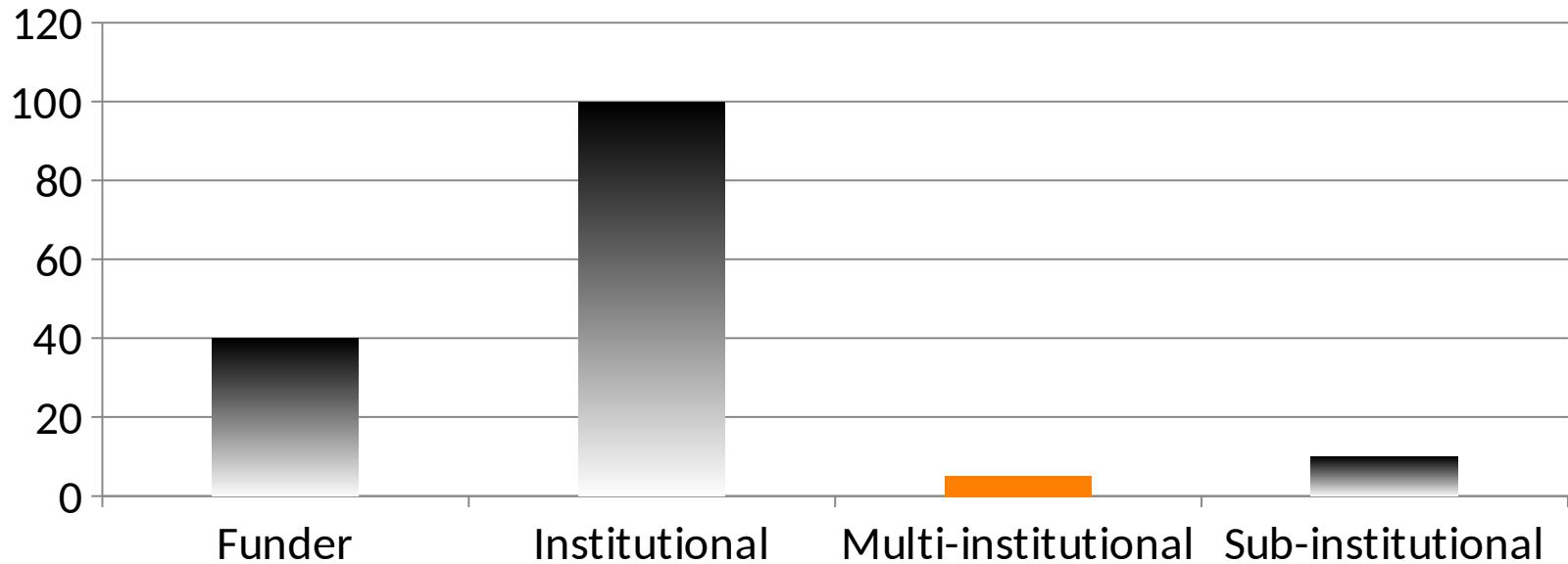
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# Funder mandates



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# European mandatory policies



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# New OA policies in Europe

## Institutional mandates:

- Belgium 1
- Portugal: 3
- Spain: 1
- UK: 1
- Ukraine 1

## Funder mandates:

- Denmark (joint policy from 5 national funders)
- Ireland (joint policy from 17 organisations, including RPOs and funders)
- EU: European Research Council: Updated guidelines (2012)
  - 6 months embargo
  - including primary data
- UK: RCUK: revised policy
- EU: European Commission: Horizon 2020



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# H2020 and Open Access

- 🌍 Mandatory (non-policed mandate on 20% FP7 research)
- 🌍 'Green' OA mandate:
  - Authors deposit into local repositories
  - 'Harvested' by OpenAIRE (Commission-funded European repository)
  - Shop window for European research
- 🌍 Permits payments from grants for 'Gold' OA



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# Scientific data

- 🌐 “Our vision is a scientific e-infrastructure that supports seamless access, use, re-use and trust of data. In a sense ... the data themselves become an infrastructure – a valuable asset on which science, technology, the economy and society can advance.” (Neelie Kroes, 2011)
- 🌐 H2020 has a **proposed DATA PILOT**



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# Policy alignment

- 🌍 Irons out potential dissonances for researchers working in interdisciplinary areas or on international teams
- 🌍 Supports EU harmonisation agenda for ERA (research conditions, researcher mobility, etc)
- 🌍 Key issue in changing author practices and norms
- 🌍 Allows generic infrastructural services to be established in support of policy
- 🌍 Alignment in general terms across all national policies (and H2020) so far ....
- 🌍 ....Bar one



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# Already +/- aligned in the ERA

-  Austria (Austrian Research Council, 2006)
-  Belgium (Flanders, 2007)
-  Belgium (Wallonia, 2013)
-  Denmark (the 5 research councils, 2012)
-  Hungary (Hungarian Research Fund, 2009)
-  Ireland (the 4 research funders 2007, 2008, 2009: research organisations, 2012)
-  Norway (Norwegian Research Council, 2009)
-  Spain (National Government policy 2011)
-  Sweden (the 2 research councils, 2009, 2010)
-  Switzerland (Swiss National Science Foundation, 2007)



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# Policy analysis

49 mandatory policies in ROARMAP:

Type	Number
Green OA mandate	36
Green OA mandate with Gold option	12
Gold preference with Green option	1

Gold costs can be paid from research grant = 19  
or claimed from funder



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# RCUK

- 🌍 Policy built on Finch recommendations
- 🌍 Favours 'Gold' OA
- 🌍 Based on:
  - Acquiring rights for re-use
  - Protecting publishers
- 🌍 No real attempt by Finch or RCUK to protect the UK research community from escalating publishing costs



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## Budapest Open Access Initiative

An old tradition and a new technology have converged to make possible an unprecedented public good.

## Westminster Open Access Initiative

An old tradition and a new technology have converged to make possible an unprecedented injection of public cash.

*Dr Les Carr, University of Southampton, 2013*



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# The (Publishers Association's) decision tree



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# Springer

“Authors may self-archive the author’s accepted manuscript of their articles on their own websites. Authors may also deposit this version of the article in any repository, provided it is only made publicly available 12 months after official publication or later. ...”



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# Emerald

- 🌐 “As a result of the changing policy-making environment, particularly in the UK where the government has enacted legislation to accelerate implementation of Open Access, Emerald is introducing a new Open Access policy.
- 🌐 The deposit of the post-print ... may now only be done after a **24-month embargo period** from the official online date of publication. This embargo period is in line with the limits set by the RCUK for social science content.”



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# The REF (HEFCE)

- Post-2014 REFs
- Only articles made OA will qualify
- Must have been OA (deposited) from the time the article was published
- No batch uploads in REF years
- Aligns with many existing policies and the likely wording of H2020 and FASTR



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# Other complications

- 🌐 Publishers making 'agreements' with funders
- 🌐 Publishers making 'agreements' with institutions
- 🌐 Publishers making 'agreements' with journal owners (Emerald/IFLA - 9 months)
- 🌐 The poor author!



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# What to do?

- 🌐 Repository managers are more important than ever now
- 🌐 Make things as simple as possible for authors
- 🌐 Deposit at acceptance
- 🌐 Open the full-text at the appropriate time
- 🌐 Be prepared to support the process more than hitherto



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Thank you for listening

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